

## Electronic states of A-site ordered double perovskite YBaCo<sub>2</sub>O<sub>x</sub> (x = 5.3 and 6) thin films investigated by X-ray spectroscopy

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### 1 Introduction

A-site double perovskite cobaltite RBaCo<sub>2</sub>O<sub>x</sub> (R = rare earth element), in which the A-site cations R and Ba order along the c-axis, exhibits intriguing electronic and electrochemical properties, such as spin crossover, high magnetoresistance, and high electronic and ionic conductivities, and these properties are strongly affected by the ionic size of R and the oxygen content (x). In particular, as the ionic size of R decreases, the Co 3d orbitals distort more with the shrinking of the c-axis, leading to a rich variety of electronic phases. Indeed, A-site-ordered YBaCo<sub>2</sub>O<sub>x</sub> with a small Y ion shows Co<sup>2+</sup>/Co<sup>3+</sup> charge ordering (x = 5.0) [1], spin-state ordering between low and high spin-state Co<sup>3+</sup> ions (x = 5.5) [2], and metallicity and in-plane ferromagnetism with a Curie temperature of 130–140 K [3,4]. Furthermore, YBaCo<sub>2</sub>O<sub>6</sub> thin films exhibit huge magnetic anisotropy with a magnetic anisotropy constant of 1.5 × 10<sup>8</sup> erg cm<sup>-3</sup>, which is comparable to that of SmCo<sub>5</sub> [3].

In this study, we investigated the electronic states of ferromagnetic metallic YBaCo<sub>2</sub>O<sub>6</sub> and antiferromagnetic insulating YBaCo<sub>2</sub>O<sub>5.3</sub> epitaxial thin films by X-ray absorption spectroscopy (XAS), X-ray photoemission spectroscopy (PES), and X-ray magnetic circular dichroism (XMCD) measurements.

### 2 Experiment

YBaCo<sub>2</sub>O<sub>5.3</sub> epitaxial thin films were grown on SrTiO<sub>3</sub>(001) substrates by pulsed laser deposition (PLD). The obtained films were subjected to successive topotactic oxidation using a NaClO aqueous solution to prepare YBaCo<sub>2</sub>O<sub>6</sub> thin films. The typical film thicknesses were measured to be 60–80 nm using a stylus surface profiler.

The PES and XAS measurements were performed at a pressure of ~1 × 10<sup>-10</sup> Torr and 200 K for the YBaCo<sub>2</sub>O<sub>6</sub> film and 300 K for the YBaCo<sub>2</sub>O<sub>5.3</sub> film at

the undulator beamline BL-2A. The PES spectra were collected using a VG-SCIENTA SES-2002 electron-energy analyzer. The Fermi energy of an *in situ* evaporated gold film was used for energy interference. The XMCD measurements of the YBaCo<sub>2</sub>O<sub>6</sub> thin film were performed at a pressure of ~1 × 10<sup>-9</sup> Torr and temperatures 30 K and 200 K using a vector-magnet XMCD apparatus with circularly polarized soft X-rays at the helical undulator beamline BL-16A2. The strength of the applied magnetic field was 5 T. The XAS and XMCD spectra were measured in the total electron yield mode.

### 3 Results and Discussion

The valence numbers and spin states of Co in YBaCo<sub>2</sub>O<sub>x</sub> (x = 5.3 and 6) thin films were determined by Co L<sub>2,3</sub> XAS measurements. Figure 1 shows the Co L<sub>2,3</sub> and Ba M<sub>4,5</sub> XAS spectra of the YBaCo<sub>2</sub>O<sub>6</sub> and YBaCo<sub>2</sub>O<sub>5.3</sub> films measured at 200 K and 300 K, respectively. The figure also includes the spectra of BaFeO<sub>3</sub>, Sr<sub>2</sub>CoO<sub>3</sub>Cl, SrCoO<sub>3</sub>, and CoO as references for Ba<sup>2+</sup>, high-spin (HS) Co<sup>3+</sup>, intermediate spin (IS) Co<sup>4+</sup>, and HS Co<sup>2+</sup>, respectively [5–7]. The observed XAS spectra were well reproduced by a linear combination of referenced XAS spectra normalized by the areas. The Co L-edge XAS spectrum of the YBaCo<sub>2</sub>O<sub>6</sub> thin film was well fitted with a superposition of 50% HS Co<sup>3+</sup> and 50% IS Co<sup>4+</sup>, indicating that the Co valence number in the YBaCo<sub>2</sub>O<sub>6</sub> film is ~3.5 [3]. Moreover, the best fit to the spectrum of the YBaCo<sub>2</sub>O<sub>5.3</sub> thin film was obtained by assuming a superposition of 80% HS Co<sup>3+</sup> and 20% HS Co<sup>2+</sup>, yielding an average Co valence of ~2.8. These Co valences are in good agreement with those calculated from the nominal compositions, 3.5 and 2.8, respectively.

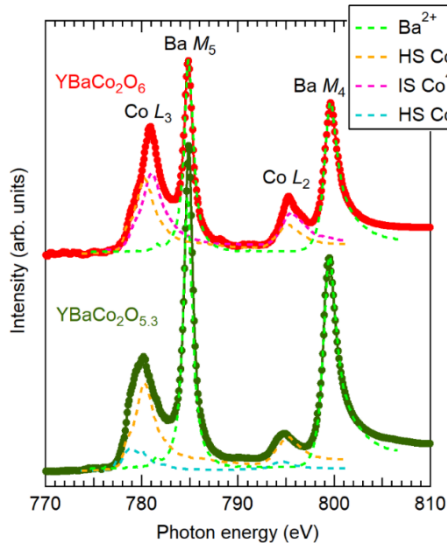


Fig. 1: Co  $L_{2,3}$  and Ba  $M_{4,5}$  XAS spectra of the thin films of  $\text{YBaCo}_2\text{O}_6$  and  $\text{YBaCo}_2\text{O}_{5.3}$  at 200 K (red solid line) and 300 K (green solid line), respectively. The light green, orange, pink, and light blue dashed lines are references for  $\text{Ba}^{2+}$  ( $\text{BaFeO}_3$  [5]), HS  $\text{Co}^{3+}$  ( $\text{Sr}_2\text{CoO}_3\text{Cl}$  [6]), IS  $\text{Co}^{4+}$  ( $\text{SrCoO}_3$  [7]), and HS  $\text{Co}^{2+}$  ( $\text{CoO}$  [6]), respectively. Reproduced from [8], with the permission of AIP Publishing.

Figure 2(a) shows polarization-dependent XAS spectra of the  $\text{YBaCo}_2\text{O}_6$  thin film around the Co  $L_{2,3}$  edges obtained at 30 K, where  $\mu_+$  and  $\mu_-$  denote the absorption coefficients for photon helicity parallel and antiparallel to the Co  $3d$  majority-spin direction, respectively. The spectra were normalized by the peak height of Ba  $M_5$  structure, which does not show XMCD. The inset of Fig. 2(a) shows a sketch of the XMCD experiment geometry. In this geometry, the obtained XMCD signal reflects the in-plane  $[110]$  magnetization. As shown in Fig. 2(a), an XMCD signal was detected at the Co  $L_{2,3}$  edges, indicating that  $\text{YBaCo}_2\text{O}_6$  is ferromagnetic at 30 K. In contrast, no XMCD signal was detected at 200 K, which is consistent with the result of magnetization measurement revealing ferromagnetic-paramagnetic transition at 130 K [3].

Figure 2(b) shows an XMCD spectrum ( $\Delta\mu = \mu_+ - \mu_-$ ) of the  $\text{YBaCo}_2\text{O}_6$  thin film at 30 K. Notably, the Co  $L_3$  XMCD signal observed in the photon energy area of 776.2 to 782.5 eV split into two peaks separated by 1 eV, with almost the same intensity. Referring to the XAS spectrum shown in Fig. 1, these two peaks can be assigned to the XMCD components from  $\text{Co}^{3+}$  and  $\text{Co}^{4+}$ . Thus, it is concluded that both spin states contribute to the ferromagnetism of  $\text{YBaCo}_2\text{O}_6$ . From the spectrum, the orbital and spin magnetic moments ( $M_{\text{orb}}$  and  $M_{\text{spin}}$ ) were estimated to be  $0.046 \mu_B/\text{Co}$  and  $0.17 \mu_B/\text{Co}$  using XMCD sum rules [9]. The total magnetic moment ( $M_{\text{total}} = M_{\text{orb}} + M_{\text{spin}}$ ) was  $0.22 \mu_B/\text{Co}$ , which is smaller than the saturated moment of  $0.75 \mu_B/\text{Co}$ , determined by  $M$ - $H$  measurements at 5 K under  $H$  along the  $[110]$  direction [3]. This

discrepancy can be attributed to the presence of a nonmagnetic surface layer because the probing depths of XAS and XMCD are much smaller than the film thickness [10]. Here, we focus on the  $M_{\text{orb}} / M_{\text{spin}}$  ratio, which is less influenced by the non-magnetic surface dead layer. The  $M_{\text{orb}} / M_{\text{spin}}$  ratio of the  $\text{YBaCo}_2\text{O}_6$  thin film was estimated to be 0.27. According to the ionic model reported by Okamoto *et al.* [11], wherein three different spin states (low-spin (LS), HS, and IS) were considered for  $\text{Co}^{3+}$  and  $\text{Co}^{4+}$  ions, the  $M_{\text{orb}} / M_{\text{spin}}$  ratios of LS  $\text{Co}^{3+}$ , LS  $\text{Co}^{4+}$ , IS  $\text{Co}^{3+}$ , IS  $\text{Co}^{4+}$ , HS  $\text{Co}^{3+}$ , and HS  $\text{Co}^{4+}$  can be calculated as 0, 2.236,  $\lesssim 0.5$ ,  $\lesssim 0.33$ , 0.215, and  $\sim 10^{-3}$ , respectively [11]. The experimentally observed  $M_{\text{orb}} / M_{\text{spin}}$  ratio for the  $\text{YBaCo}_2\text{O}_6$  thin film (0.27) does not contradict the expected value of HS  $\text{Co}^{3+}$  or IS  $\text{Co}^{4+}$ , supporting the claim that ferromagnetism arises from the HS  $\text{Co}^{3+}$  and IS  $\text{Co}^{4+}$  mixed states.

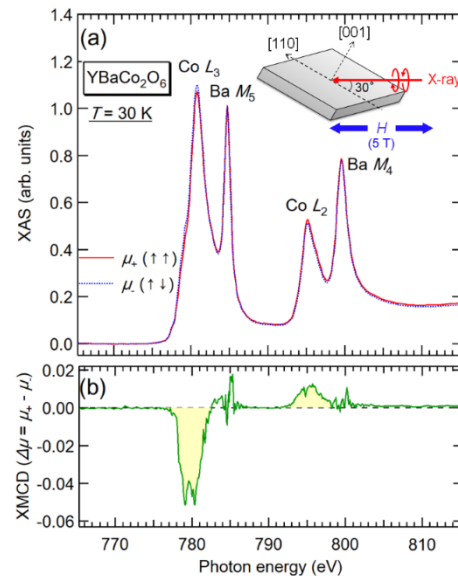


Fig. 2: (a) Polarization-dependent XAS spectra of the  $\text{YBaCo}_2\text{O}_6$  thin film around the Co  $L_{2,3}$  edges at 30 K. The XMCD experiment geometry is also shown. These spectra were normalized by the peak height of the Ba  $M_5$  peaks. (b) Co  $L_{2,3}$ -edges XMCD spectrum of the  $\text{YBaCo}_2\text{O}_6$  thin film. Reproduced from [8], with the permission of AIP Publishing.

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