Phase transition of the Ag/Si(111)-($\sqrt{3} \times \sqrt{3}$) surface studied by photoelectron diffraction

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One- and two-dimensional superstructures, which are formed on semiconductor surfaces by the adsorption of metal atoms, have been a topic of experimental investigation for the creation of nanoscale quantum structures with high perfection. These nanoscale structures are quite nice systems for the study of lowdimensional physics, e.g., phase-transitions in one- or two-dimensional systems [1], and they are also of a profound interest from the technological point of view. Among the great number of metal induced surface reconstructions, the Ag/Si(111) – $(\sqrt{3} \times \sqrt{3})$ surface is one of the most well known. Based on the STM image and theoretical calculation [2], different structural models have been proposed for the room temperature (RT) phase and the low temperature (LT) phase, i.e., the models called a "honeycomb-chained triangle" (HCT) and an "inequivalent triangle" (IET) model (Fig. 1). However, it was reported that the RT phase is not a static HCT structure but rather a thermally fluctuating structure between IET(+) and IET(-) structure in a recent photoemission study [3]. In order to determine the origin of the transition observed on the Ag/Si(111) – $(\sqrt{3} \times \sqrt{3})$ surface, we have performed Si 2p photoelectron diffraction (PED) measurements at different temperatures.

PED measurements were performed at BL-1C KEK-PF at RT and 10 K. The temperature was measured by thermocouples fixed on the sample holder close to the sample. The Si(111) sample was cleaned *in situ* by direct current heating up to 1520 K. The Ag/Si(111)– $(\sqrt{3} \times \sqrt{3})$ surface was prepared by evaporating approximately 1 ML of Ag on the Si(111)- (7×7) clean surface at a sample temperature of 823 K, followed by further annealing at 873 K. The quality of the surface was ascertained by the observation of a sharp $\sqrt{3} \times \sqrt{3}$ LEED pattern and the valence-band photoemission spectra. Incident photon energies from 130 eV to 280 eV were used in the present study.

The Si 2p core-level spectrum of the Ag/Si(111)– ($\sqrt{3} \times \sqrt{3}$) surface is composed by one bulk component and two surface components [4]. By analyzing all the spectra obtained in the present study by a standard leastsquares-fitting method using spin-orbit split Voigt functions, and using the relative binding energy of the three components reported in Ref. 4, we have obtained the kinetic energy-dependent intensity for each Si 2p component. Figure 2 shows the kinetic energy dependence of the Si 2p core-level intensity from Si atoms bonded to Ag, measured at RT (open circles) and 10 K (filled circles). The similar energy-dependent intensity suggests that the geometric structure of the Ag/Si(111) –($\sqrt{3} \times \sqrt{3}$) surface is the same at RT and 10 K, and thus that the origin of the phase transition of this surface is an order-disorder transition.



Fig.1; HCT and IET models proposed for the Ag/Si(111) $-(\sqrt{3} \times \sqrt{3})$ surface.



Fig. 2; Kinetic energy dependence of the Si 2p core-level intensity from Si atoms bonded to Ag, measured at RT (open circles) and LT (10 K; filled circles).

[1] *see for example*, J.M. Carpinelli, H.H. Weitering, E.W. Plummer, and R. Stumpf, Nature (London) **381**, 398 (1996) for a two-dimensional system, and P. Segovia, D. Purdie, M. Hengsberger, and Y. Baer, Nature (London) **402**, 504 (1999) for a one-dimensional system.

[2] H. Aizawa *et al.*, Surf. Sci. **429**, 509 (1999), and references therein.

[3] I. Matsuda et al., Phys. Rev. B. 68, 085407 (2003).

[4] R. I. G. Uhrberg *et al.*, Phys. Rev. B. **65**, 081305(2002).

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