

PF研究会「X線トポグラフィーの現状と展望」

KEK4号館2階輪講室1, 2

2011年1月11日(火) 15:10 ~ 15:50

# TEMによる転位の3次元分布観察

九州大学 大学院総合理工学研究院

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# Acknowledgements

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# Outline

## 1. 回折コントラストによるTEMトモグラフィー観察法の検討

- ・回折条件設定と試料傾斜の両立 → 3軸トモグラフィーホルダー
- ・3次元観察に適した像コントラスト → 走査透過電子顕微鏡法STEM

## 2. 転位観察への適用

- ・オーステナイト鋼
- ・シリコン単結晶のクラック先端転位
- ・純アルミニウム多結晶の変形組織

# Procedure of TEM/STEM tomography

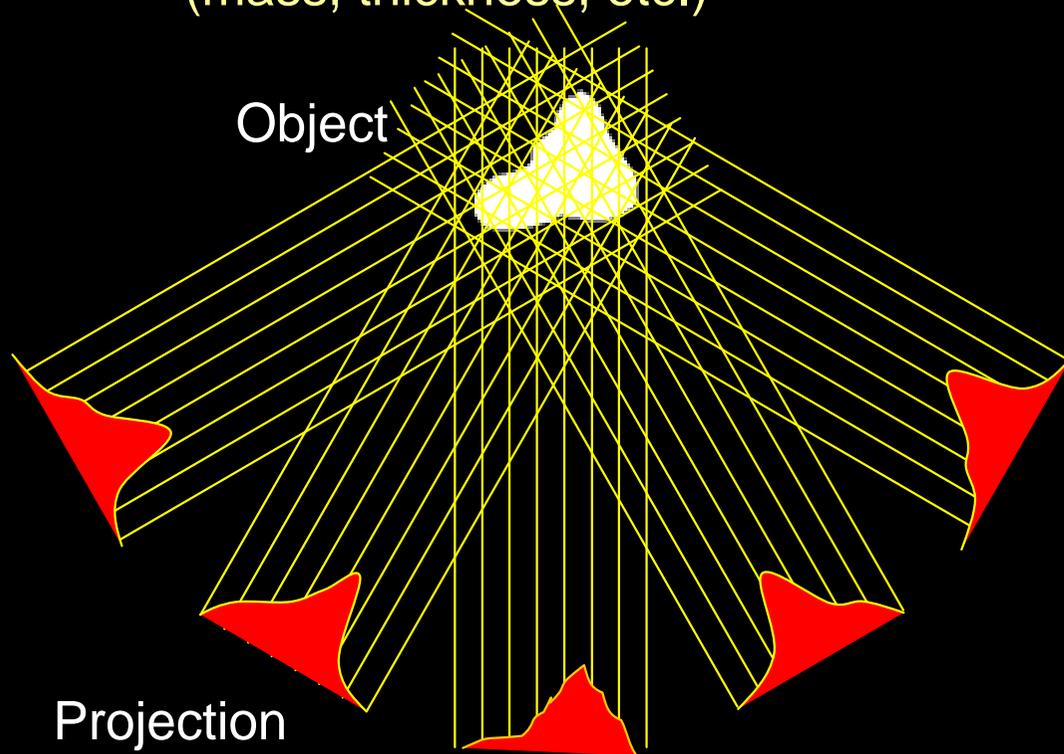
## Tilt series (TEM/STEM)

Specimen tilt:  $\pm 60^\circ \sim \pm 80^\circ$  (a special specimen holder necessary)

Increment:  $1^\circ \sim 4^\circ$

Total No. of images: 31 ~ 161

Projection requirement  
(mass, thickness, etc.)

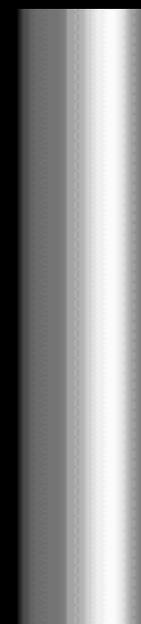


## 3D reconstruction (PC)

Align image shift in the tilt series

Determine the tilt axis

Reconstruct 3D volume using  
filtered back projection, SIRT, etc.



# Development of TEM tomography

Ziese and de Jong: Applied Catalysis A (2004)

1960's: first applications of tomography related technique in electron microscopy in biological sciences (1982 Nobel Prize for Klug)

1990's: routine application of TEM tomography in biological sciences

2000: first application of TEM tomography in catalysis by Geus/Janssen/de Jong/Koster [3,4]

2001: routine application of TEM tomography in catalysis by Janssen/de Jong/Koster [6,7].

2001: first applications of electron tomography to HAADF-STEM and spectroscopic (EFTEM, EDX) images by Midgley/Weyland [14] and Möbus [15]

1999: development and application of 3D HAADF-STEM system for nanomaterials by RIKEN, JAEA, Nagoya Univ., Kogakuin Univ., and HITACHI

Timeline

1917: formulation of mathematical base for tomographic techniques by Radon (*Radon Transform*)

1960's: development of X-ray computerized tomography (1979 Nobel Prize for Cormack and Haunsfield)

1990's: development of automated TEM tomography by Agard [18] and Baumeister [19]  
1990: first commercial systems enable data acquisition in ~4 h

2001: development of pre-calibration electron tomography by Koster/Ziese [20,21]  
2001: commercial systems making use of pre-calibration enable improved accuracy and data acquisition in ~30–60 min

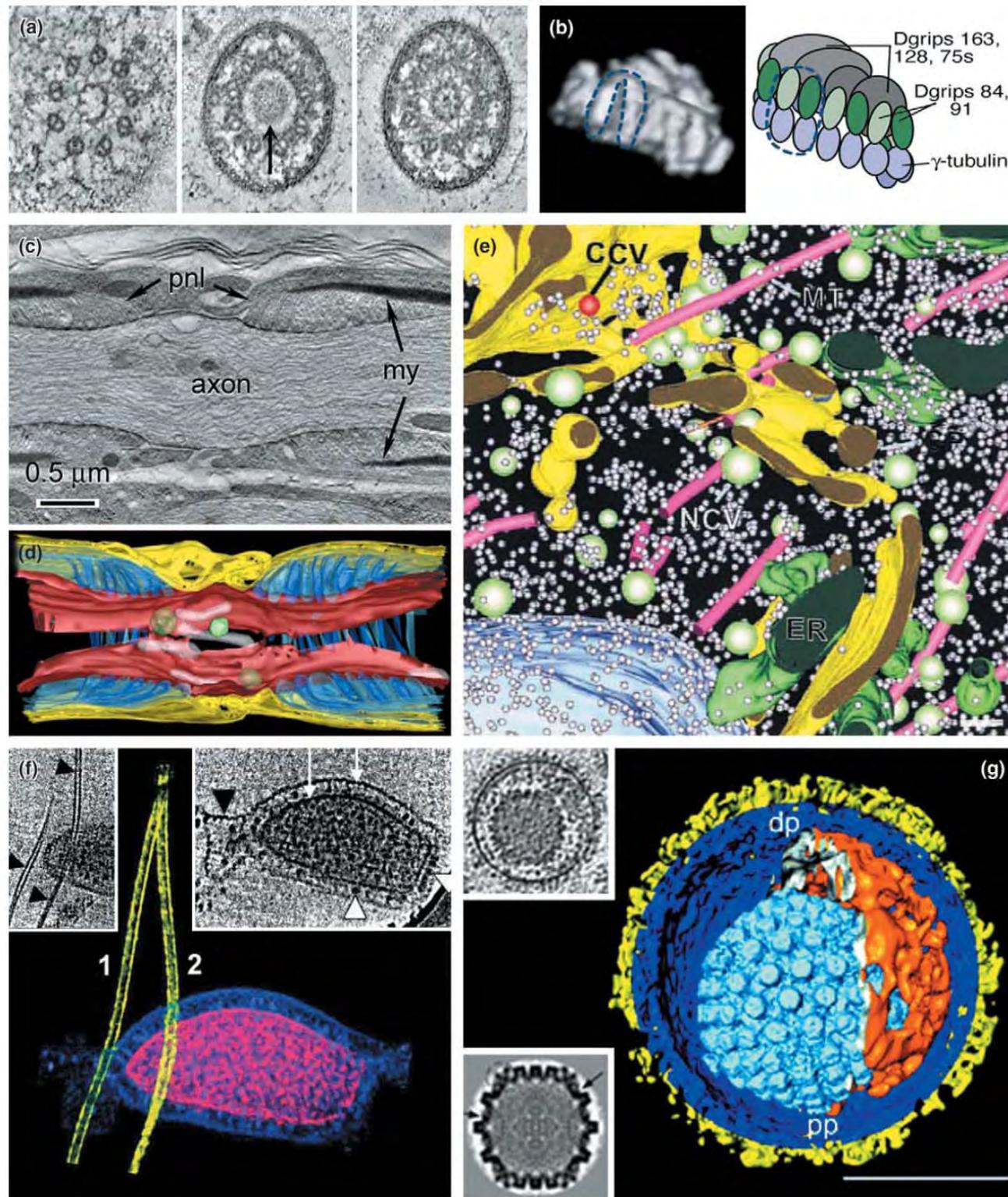
# Electron tomography for cell biology

Non-crystalline  
Non-periodic  
Complex  
nanostructures

## 分解能

1 nm: 単粒子解析  
2~4 nm: TEM-CT  
(Baumeister, IMC17  
(2010))

McIntosh et al.: TRENDS in  
Cell Biology (2005)

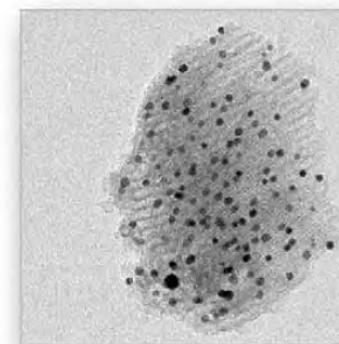
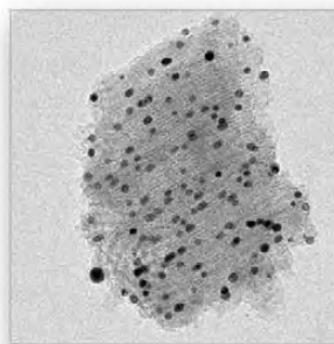
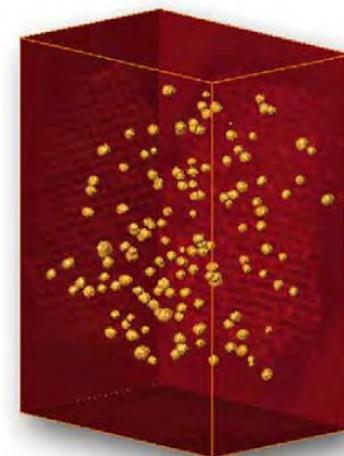
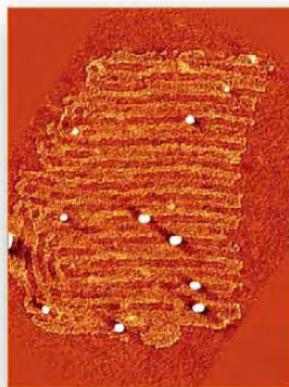


# Electron tomography for nanoparticles

3D shape, volume connectivity and location of nanopores inside a support material

1~2 nm resolution becomes in routine

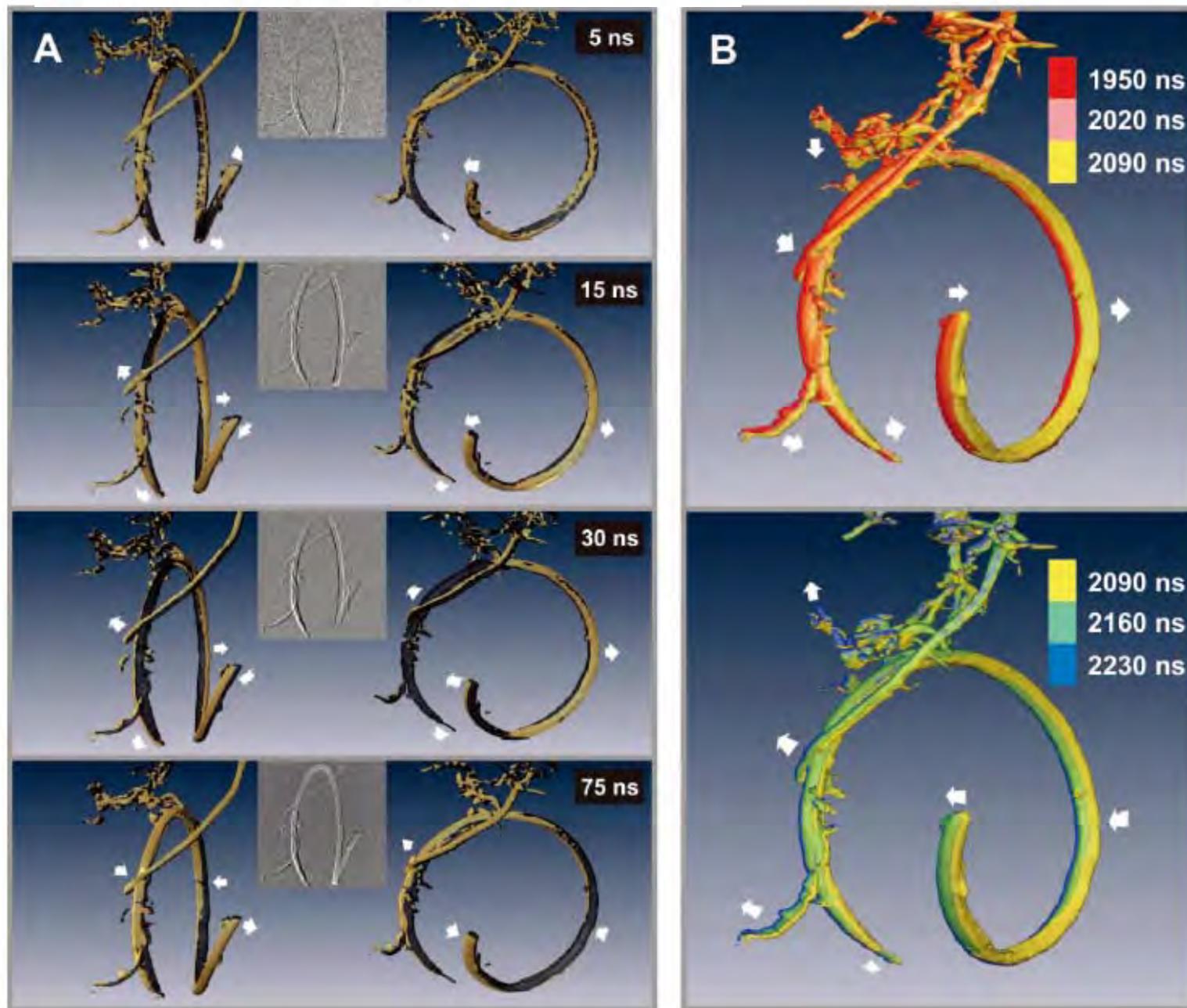
Preliminary result of atomic-resolution TEM-CT  
(IMC17, 09/2010)



# 4D Electron Tomography

Oh-Hoon Kwon and Ahmed H. Zewail\*

**Fig. 4.** 4D tomographic visualization of motion. **(A)** Representative 3D volume snapshots of the nanotubes at relatively early times. Each 3D rendered structure at different time delay (beige) is shown at two view angles. A reference volume model taken at  $t = 0$  ns (black) is merged in each panel to highlight the resolved nanometer displacements. Arrows in each panel indicate the direction of motion. **(B)** The time-dependent structures visualized at later times and with various colors to indicate different temporal evolution. The wiggling motion of the whole bracelet is highlighted with arrows. From these tomograms, movies were constructed in the two different time domains (movies S2 and S3). Note that the time scale given here is chosen to display clearly the objects' motions, as opposed to the early ultrashort time domain (see text).



## CNTの弾性変形

パルス照射(熱、電子)、  
超高速撮像とTEMトモ  
グラフィの融合

# TEM tomography using diffraction contrast

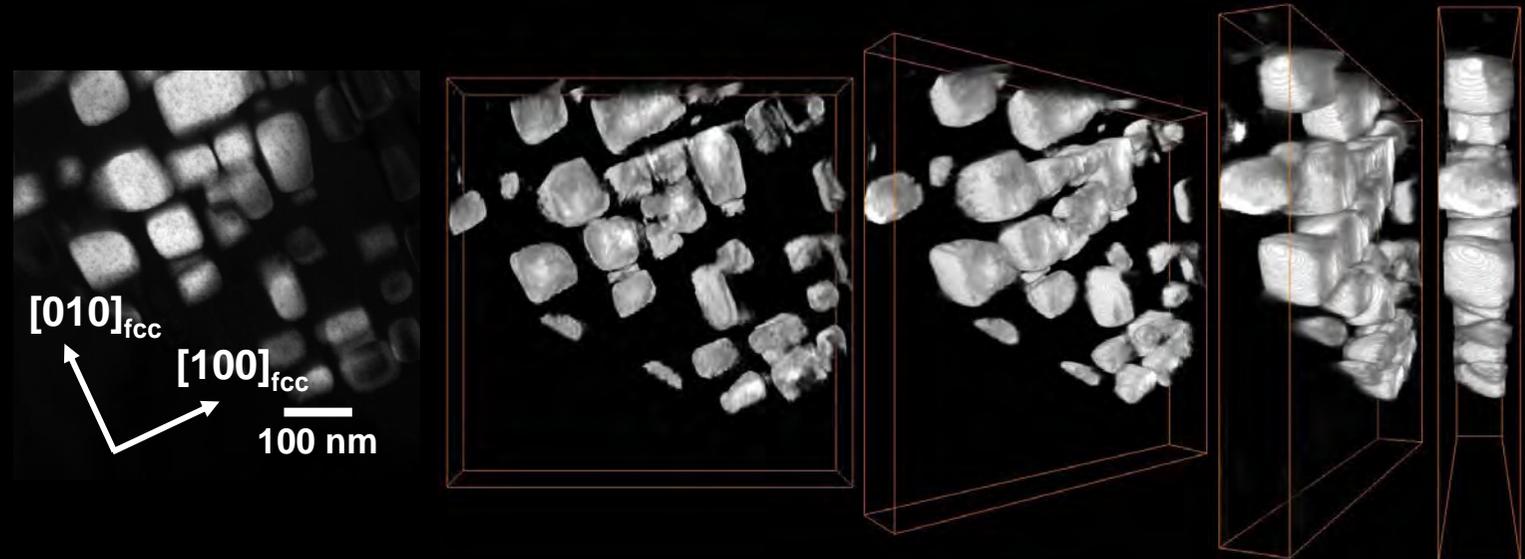
Visualize microstructure in crystals (Mass-thickness contrast is not appropriate)

## Dark-field TEM tomography for ordered structures

Imaging of superlattice domain structure

Kimura *et al.*: *J. Electron Microsc.* (2005)

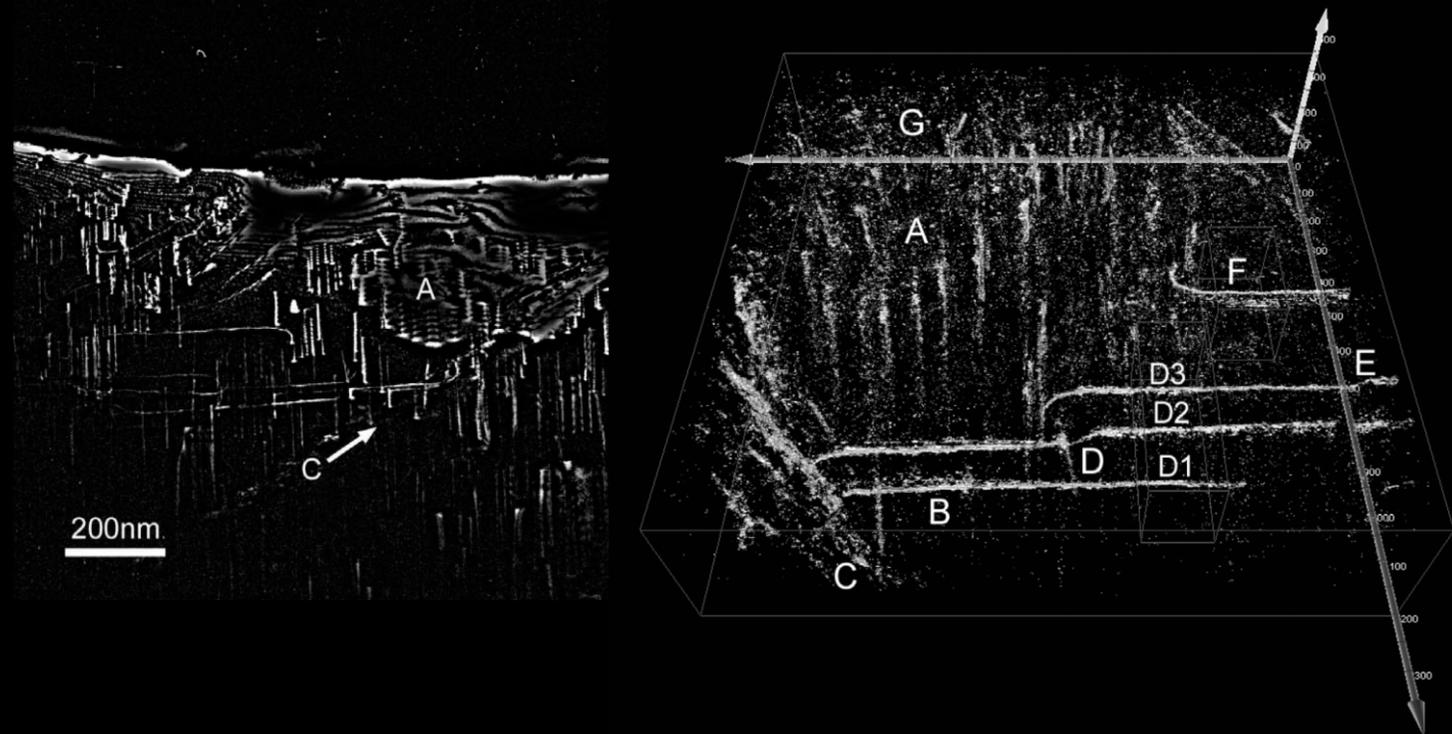
Hata *et al.*: *Adv. Mater.* (2008)



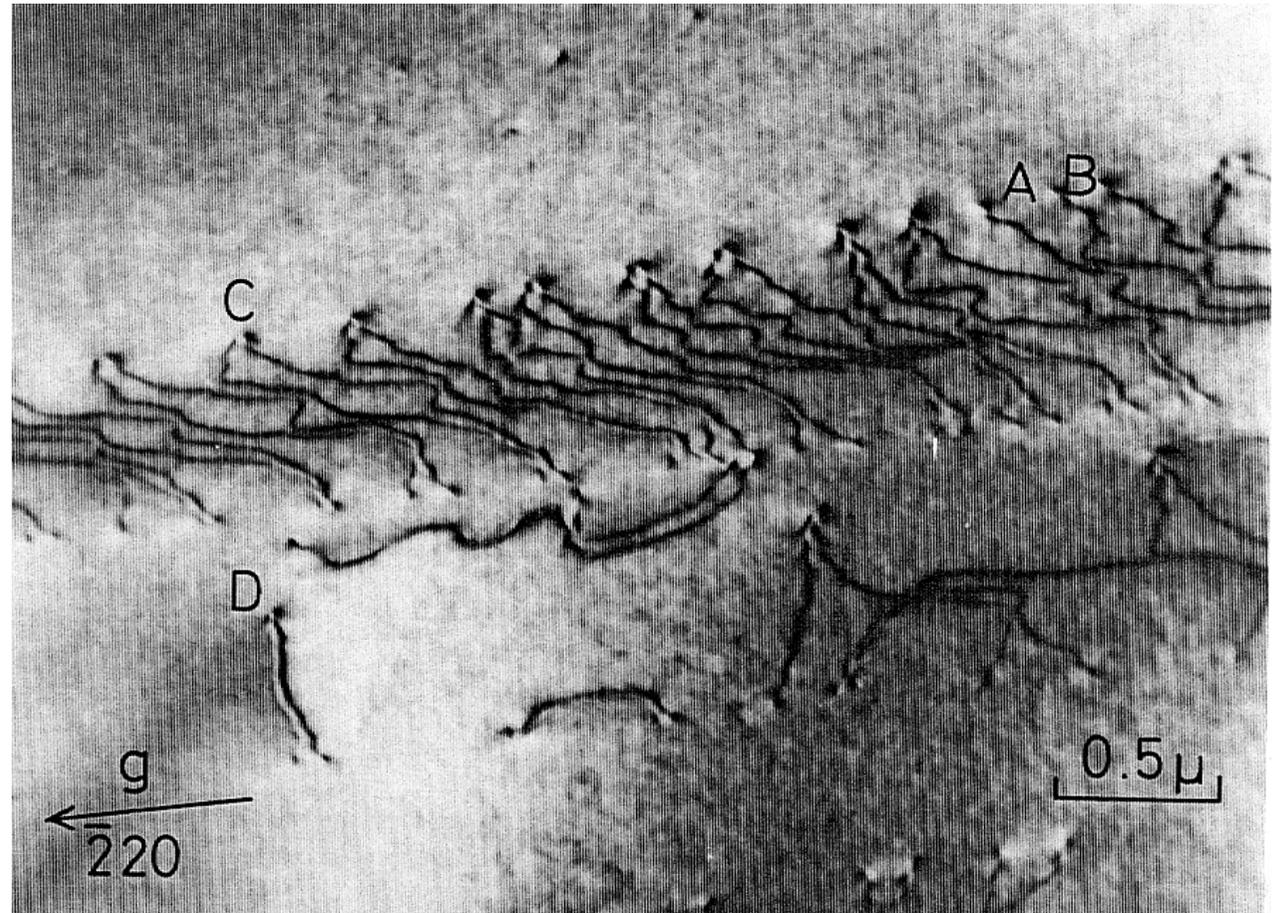
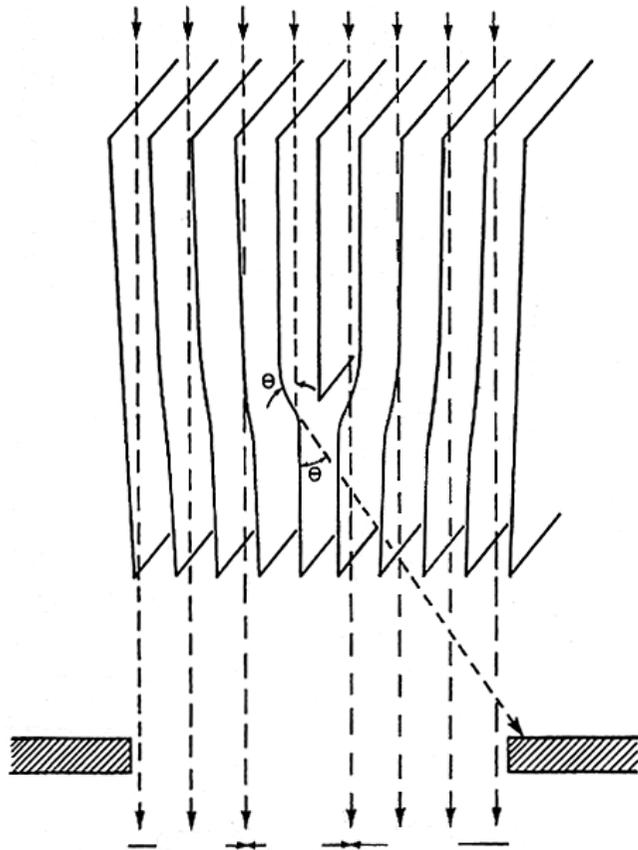
## Weak-beam dark-field TEM tomography for dislocations

Dislocation networks in GaN film

Barnard *et al.*: *Science & Philos. Mag.* (2006)



# 転位線のコントラストの基本的解釈



**転位：線状欠陥**

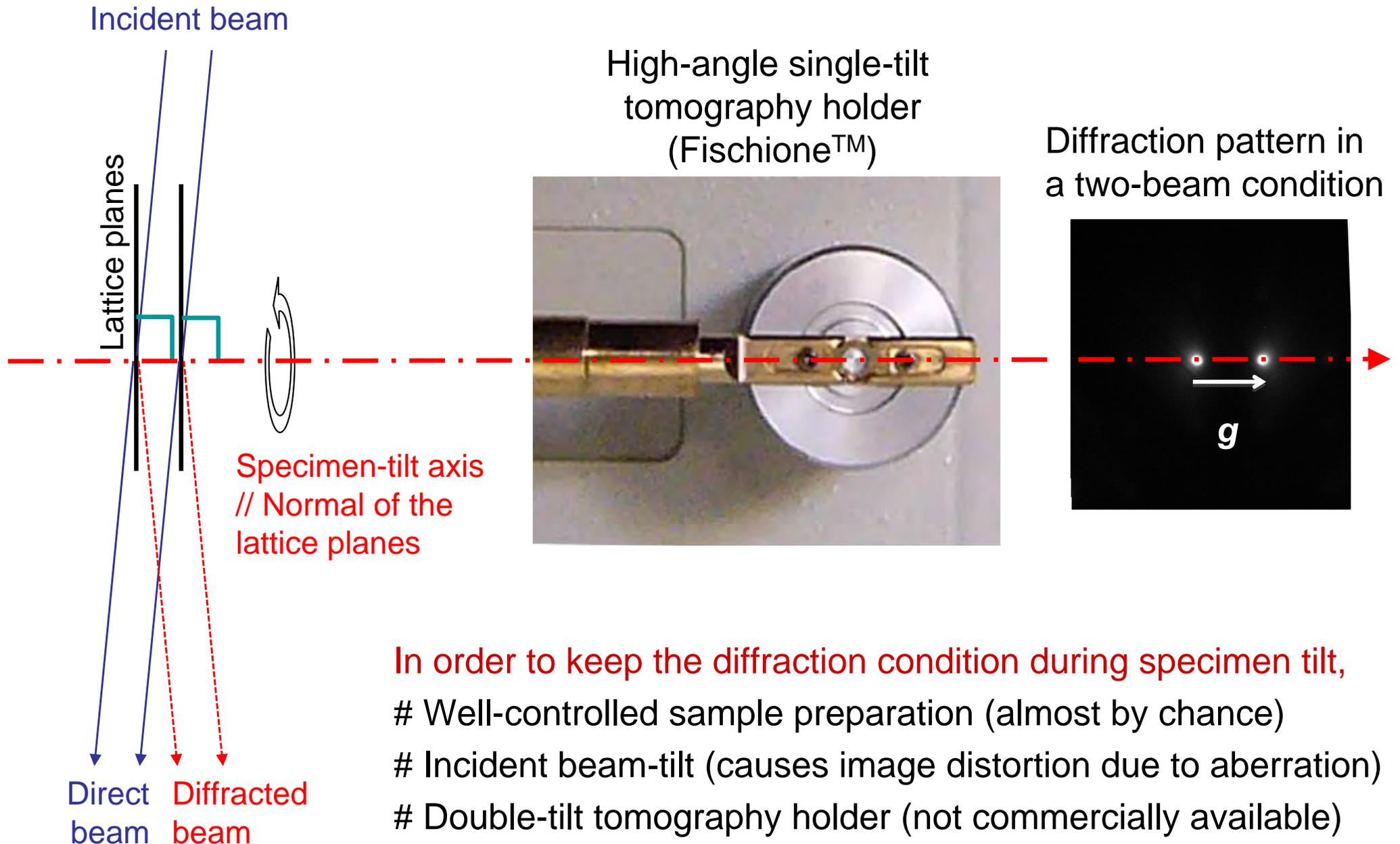
格子面が湾曲した転位芯近傍で  
Bragg 反射した波が絞りでカットされる



明視野像で転位線が暗線となって見える

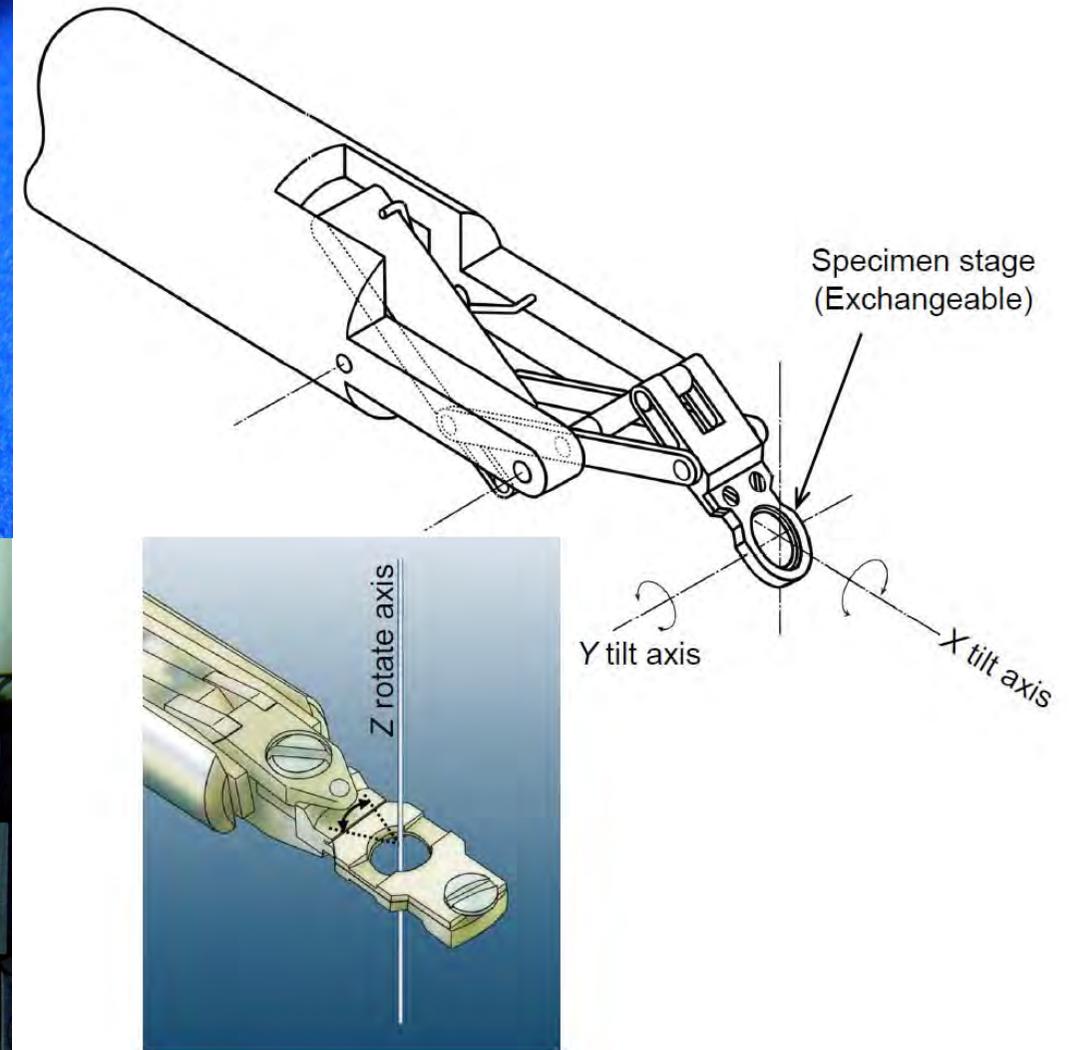
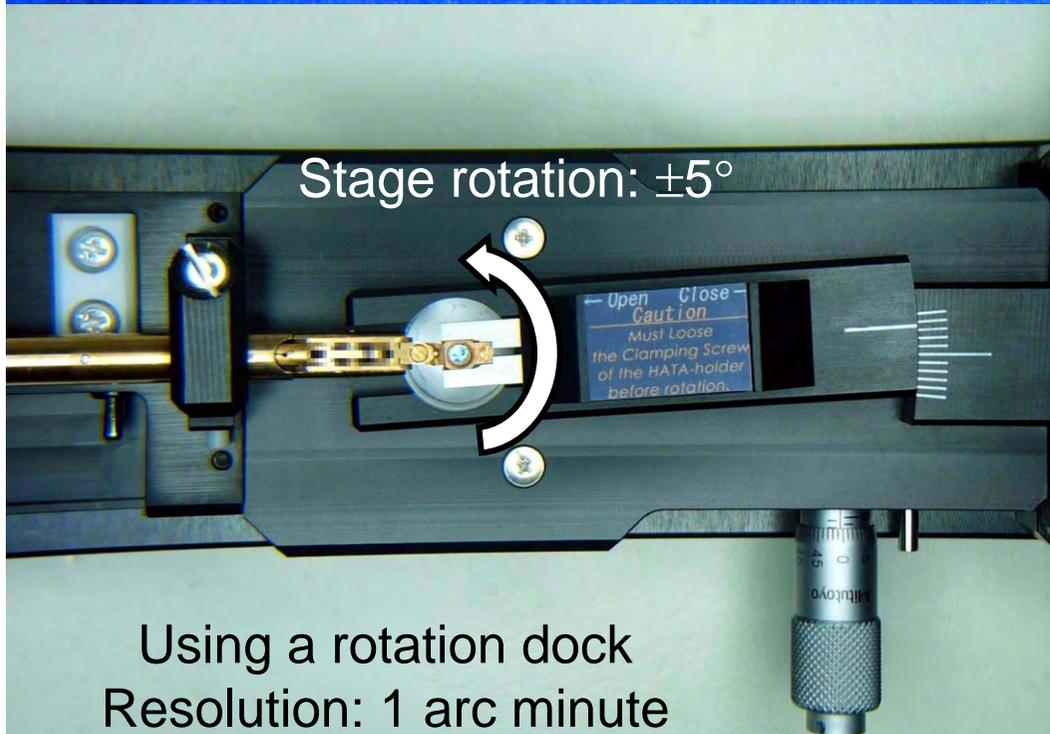
Courtesy of  
Prof.  
Tomokiyo

# 3D diffraction contrast imaging: keep a diffraction condition during specimen tilt.



- In order to keep the diffraction condition during specimen tilt,
- # Well-controlled sample preparation (almost by chance)
  - # Incident beam-tilt (causes image distortion due to aberration)
  - # Double-tilt tomography holder (not commercially available)

# We developed a high-angle triple-axis (HATA) specimen holder.



# TEM (transmission electron microscopy) and STEM (scanning TEM)

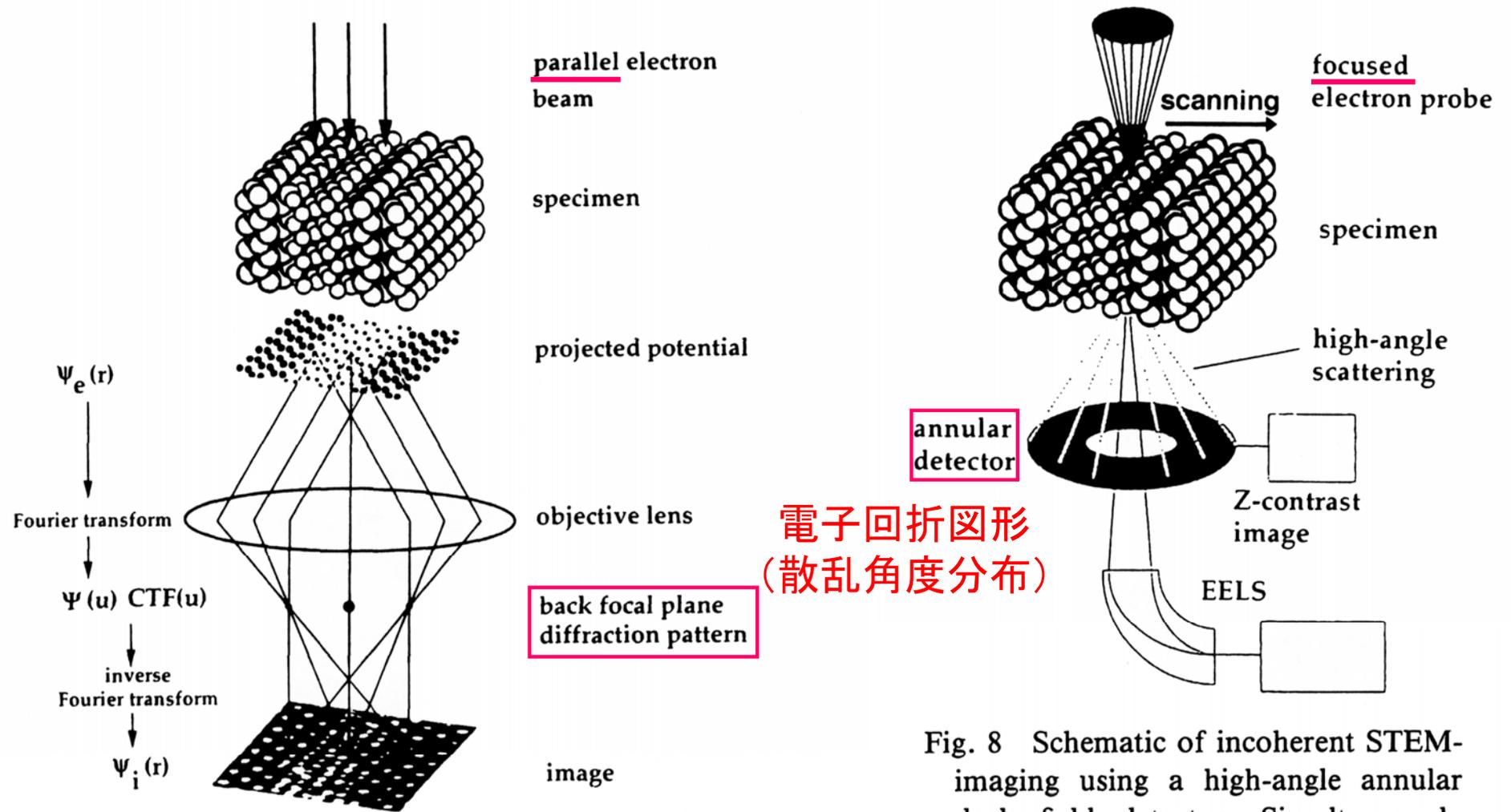


Fig. 1 Schematic of the imaging process in a high-resolution electron microscope.

Fig. 8 Schematic of incoherent STEM-imaging using a high-angle annular dark field detector. Simultaneously bright-field imaging or electron energy loss spectroscopy is possible.

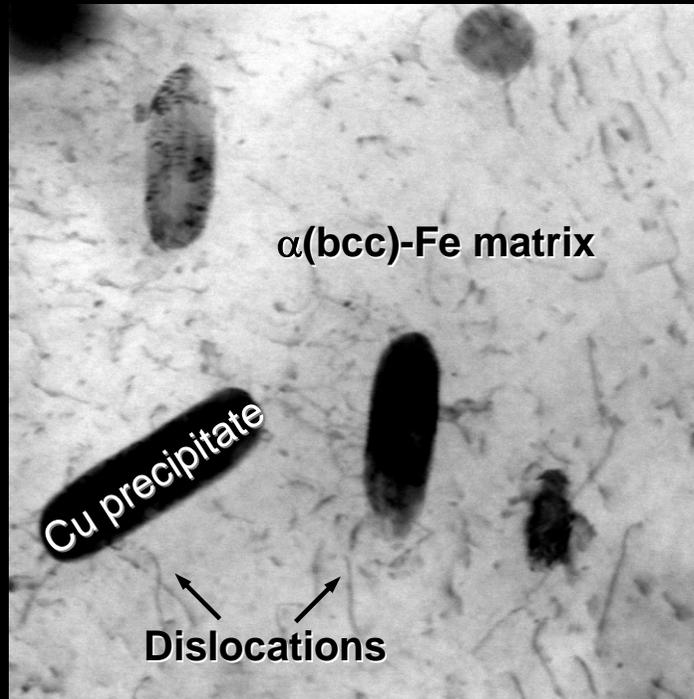
# STEM imaging modes

Fe-3 mass% Cu alloy

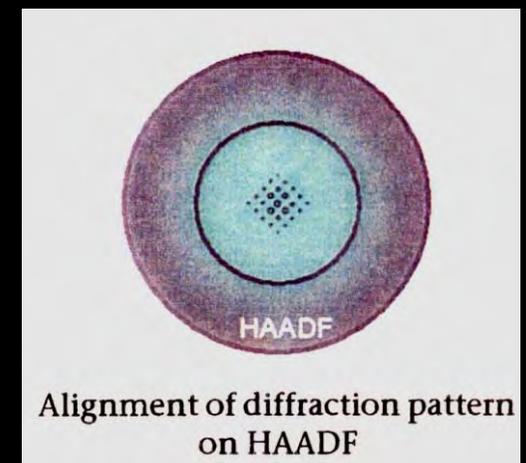
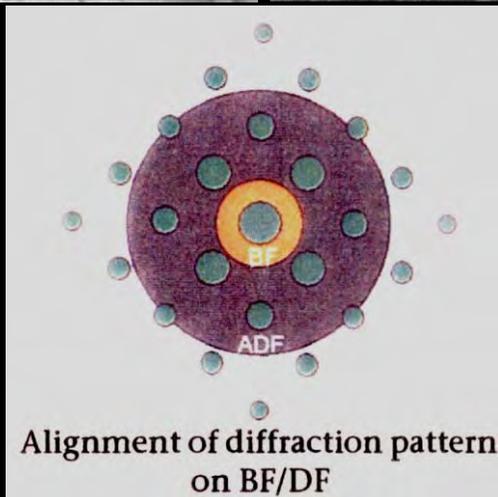
Bright field

Low-angle annular dark-field

High-Angle ADF



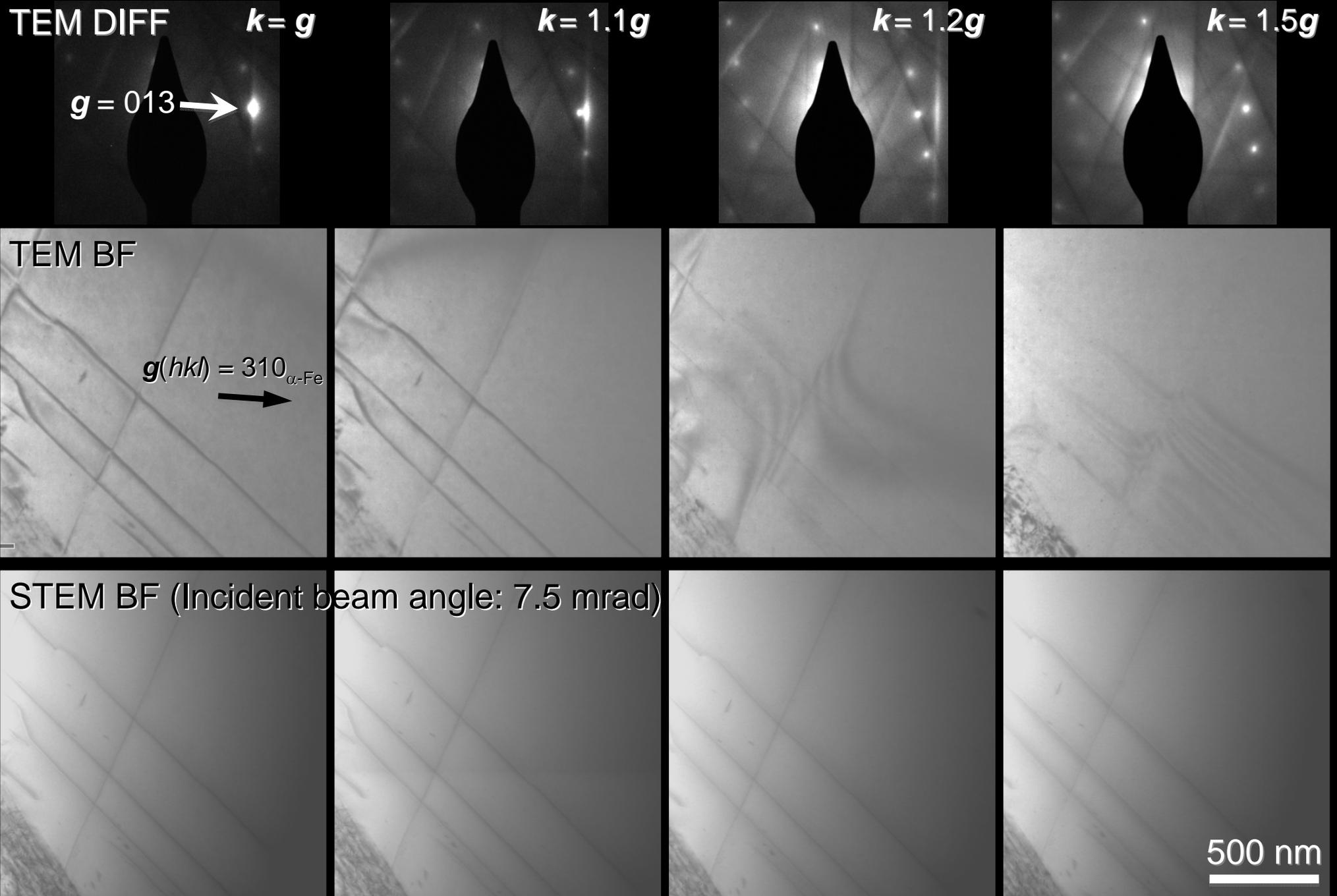
100 nm



Courtesy of Profs.  
Tsuchiyama,  
Takaki (Kyushu  
U.) and FEI

# Influence of deviations from Bragg conditions

Dislocations in bcc ferrite ( $\alpha$ -Fe)



**TEM BF  
(Parallel  
beam)**



**200 nm**  

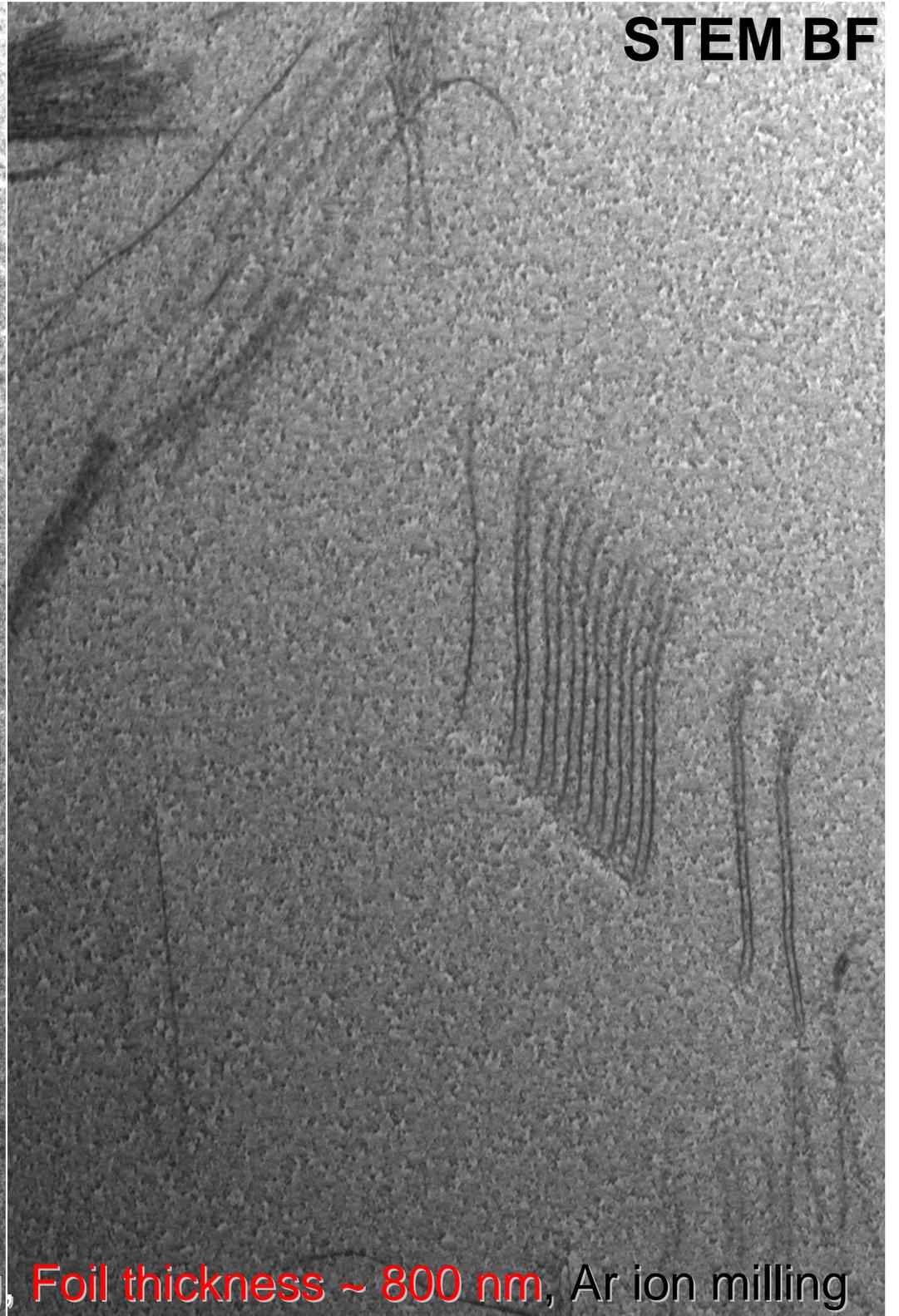
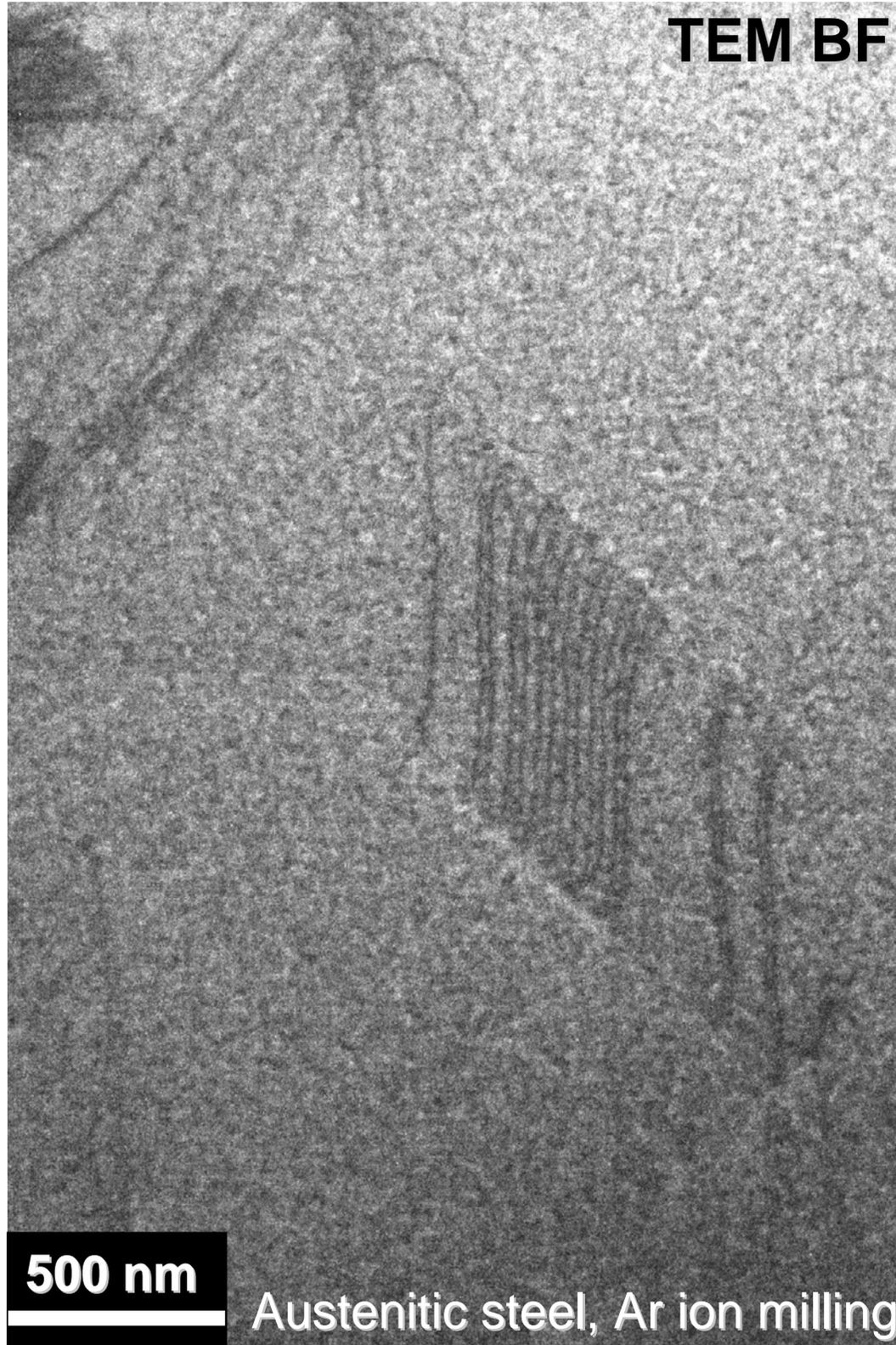

**STEM BF  
(Convergent  
beam)**



Austenitic steel, Ar ion milling

TEM BF

STEM BF



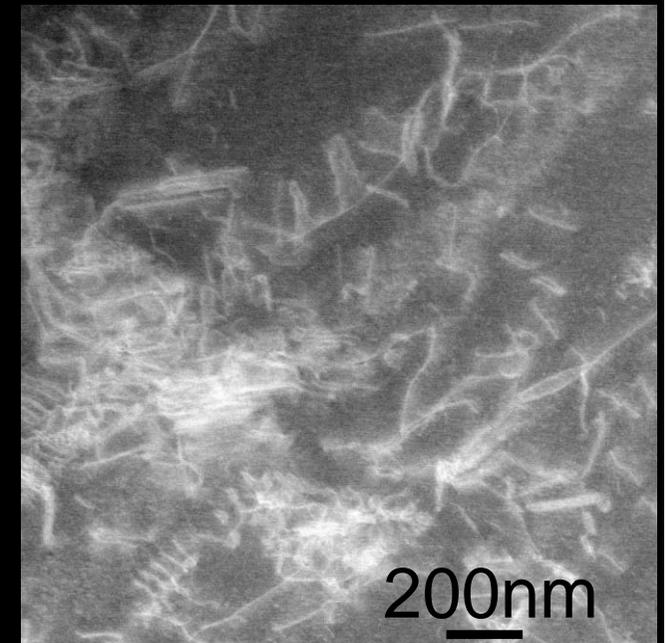
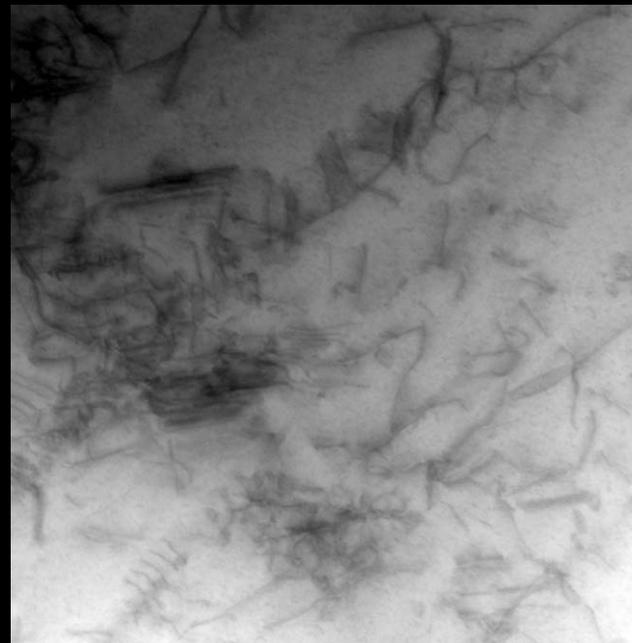
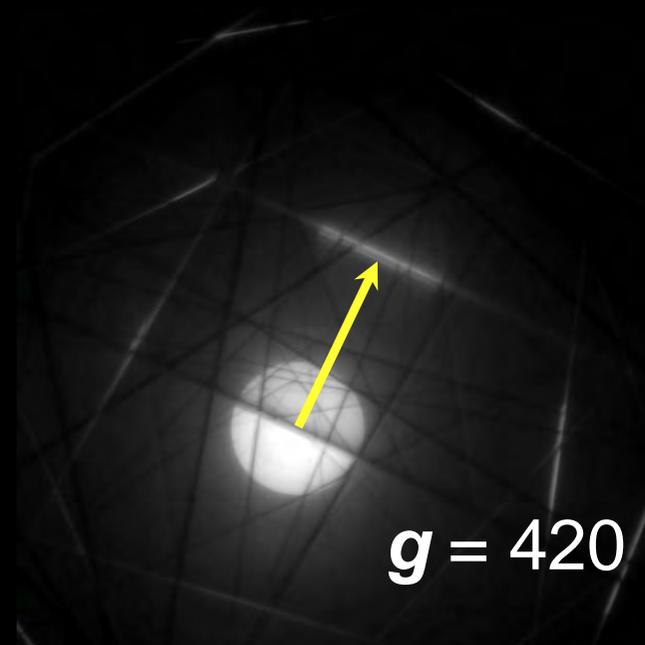
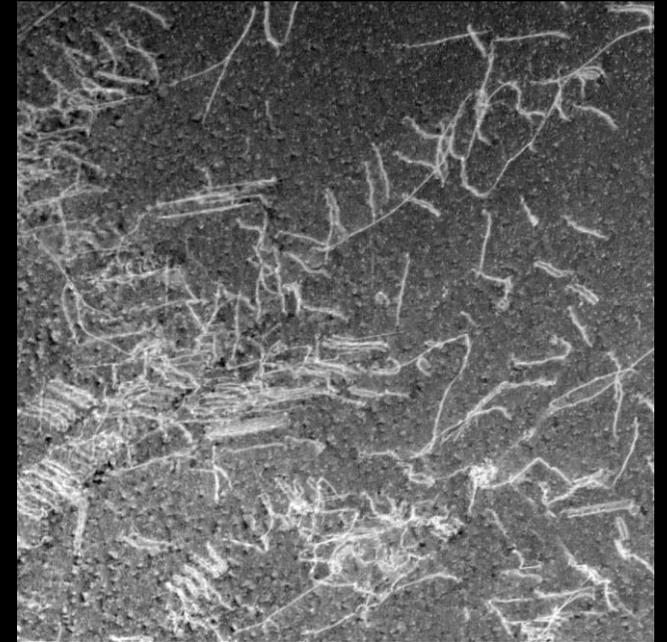
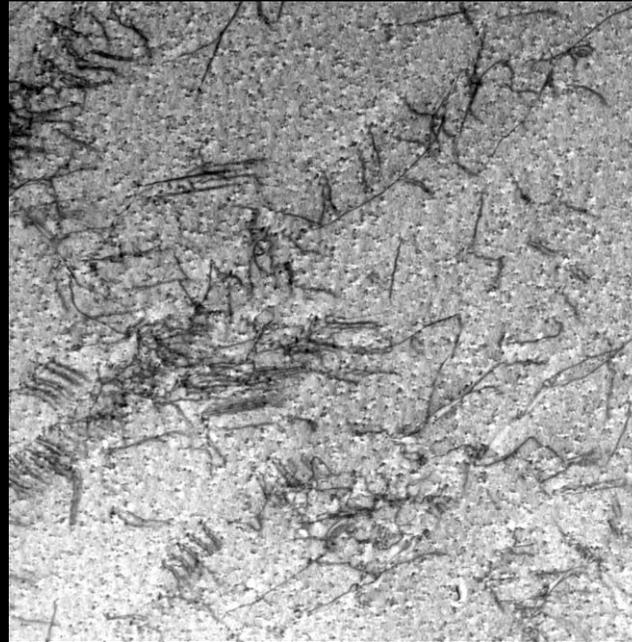
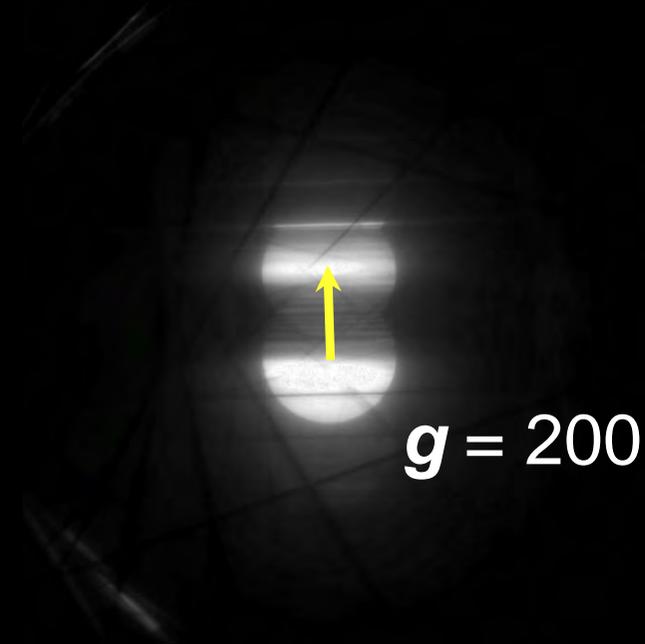
500 nm

Austenitic steel, Ar ion milling, Foil thickness ~ 800 nm, Ar ion milling

# STEM dislocation images with different $g$ vectors

Austenitic steel with FCC structure

$b = \langle 110 \rangle a/2$  (perfect dislocation) or  $\langle 112 \rangle a/6$  (partial dislocation)



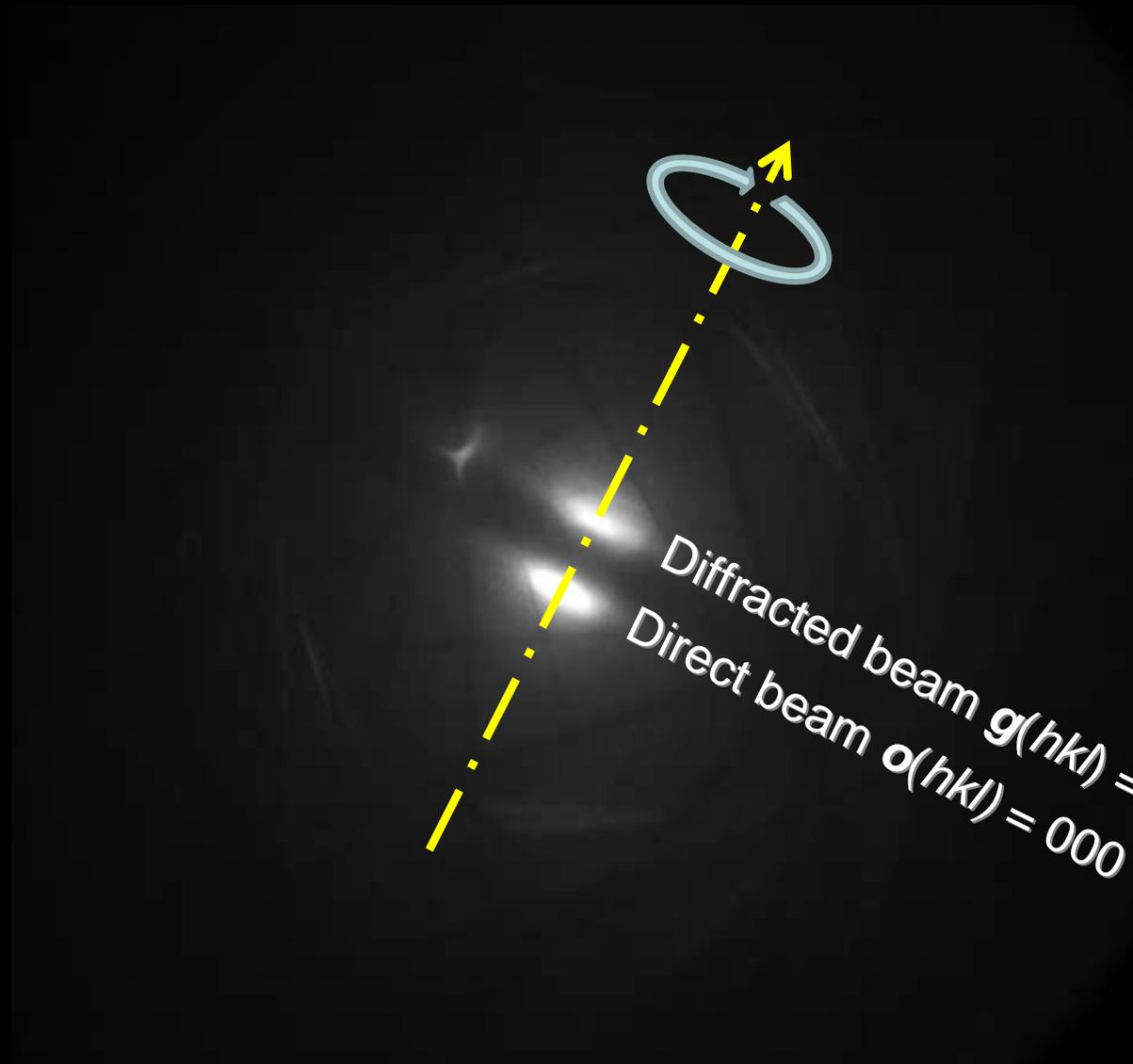
# Keep two-beam condition (STEM mode)

Austenitic (fcc) steel

Diffraction condition  
 $K = g(200)$

Specimen-tilt angle  
 $-70^\circ \sim +70^\circ$

Misorientation angle  
between  
specimen-tilt axis  
and  $g(200) < 1^\circ$



# STEM tilt series of dislocations

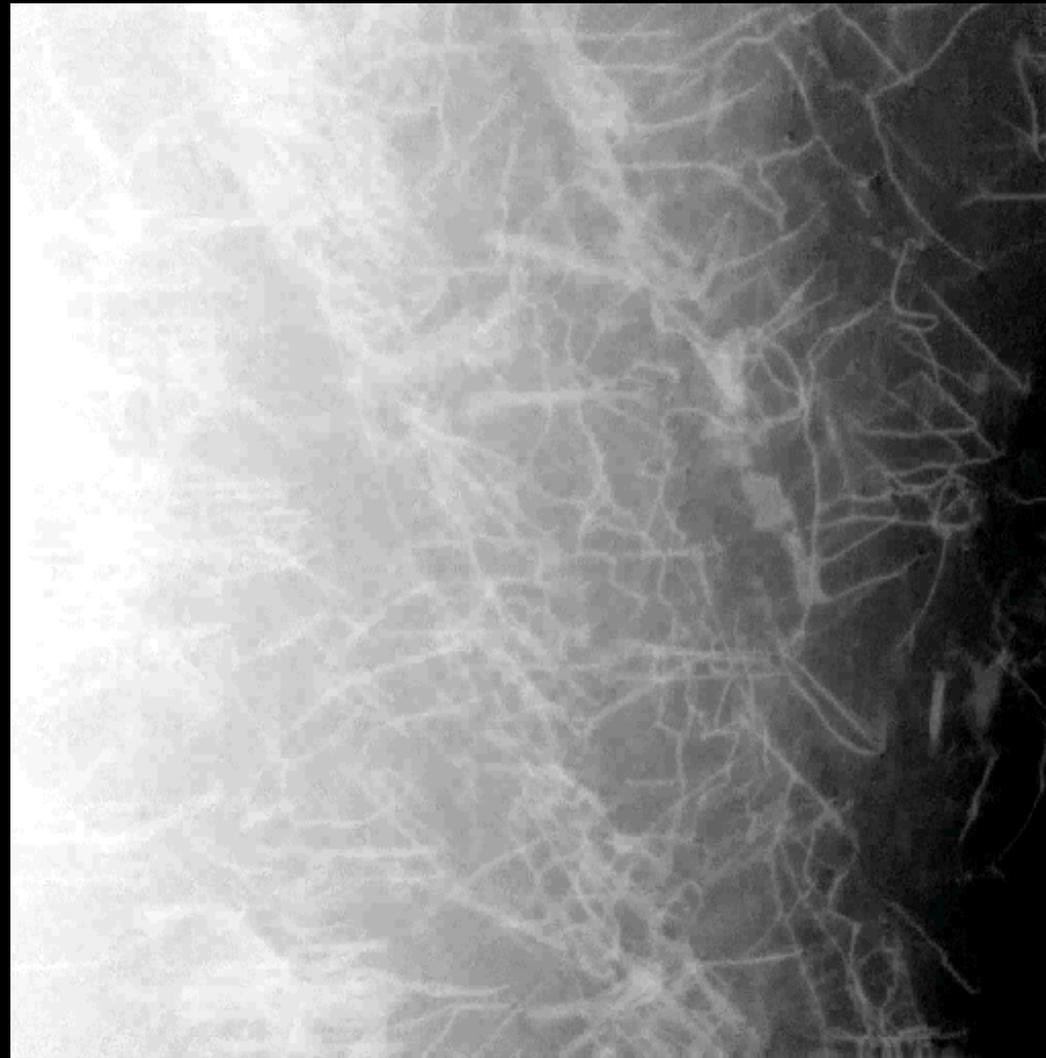
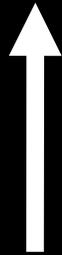
SUS316 after 5% compressive deformation at room temperature

STEM BF tilt series  
(Contrast reversal)

Specimen-tilt angle  
 $-70^\circ \sim +70^\circ$

HATA holder

Specimen-tilt axis //  
 $g(hkl) = 200_{\text{fcc}}$



500 nm

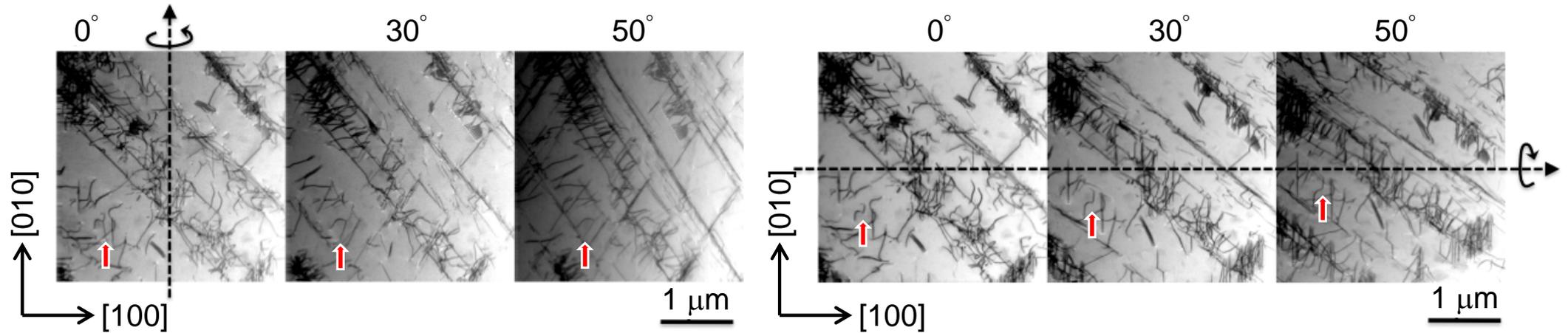
# 3D reconstruction of dislocations

SUS316 after 5% compressive deformation at room temperature

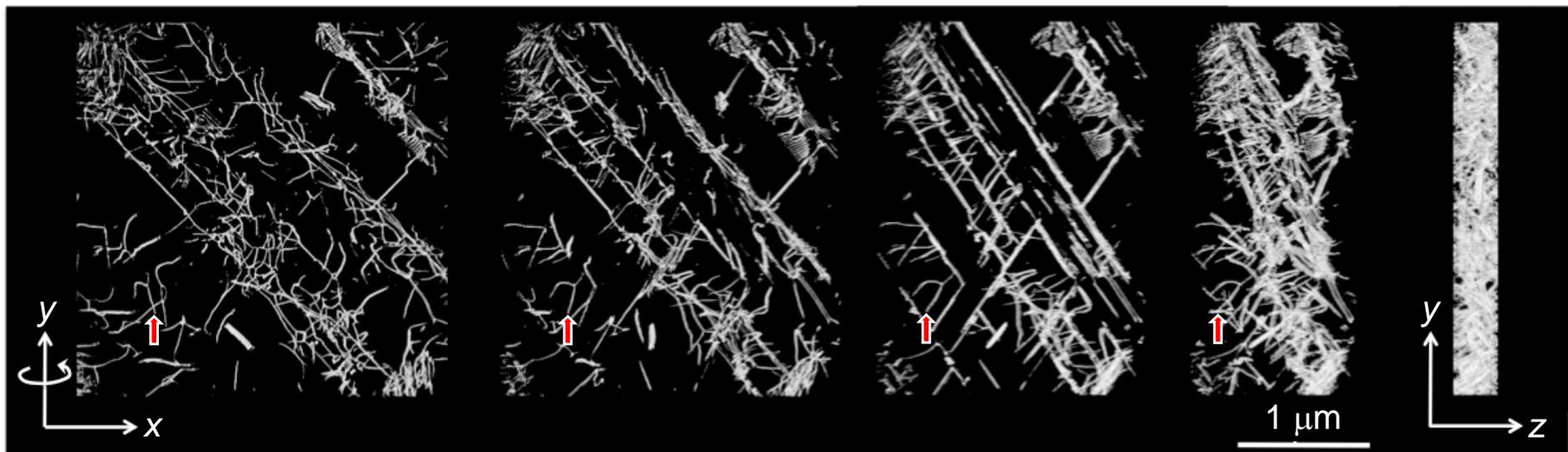


# Complete visualization of dislocation arrangements by dual-axis tomography (Austenitic steel)

(a) Specimen-tilt axis parallel to  $\mathbf{g}_1(hkl) = 020_{\text{FCC}}$  (b) Specimen-tilt axis parallel to  $\mathbf{g}_2(hkl) = 200_{\text{FCC}}$



(c) 0° [001] 30° 50° 70° 90°  $[\bar{1}00]$

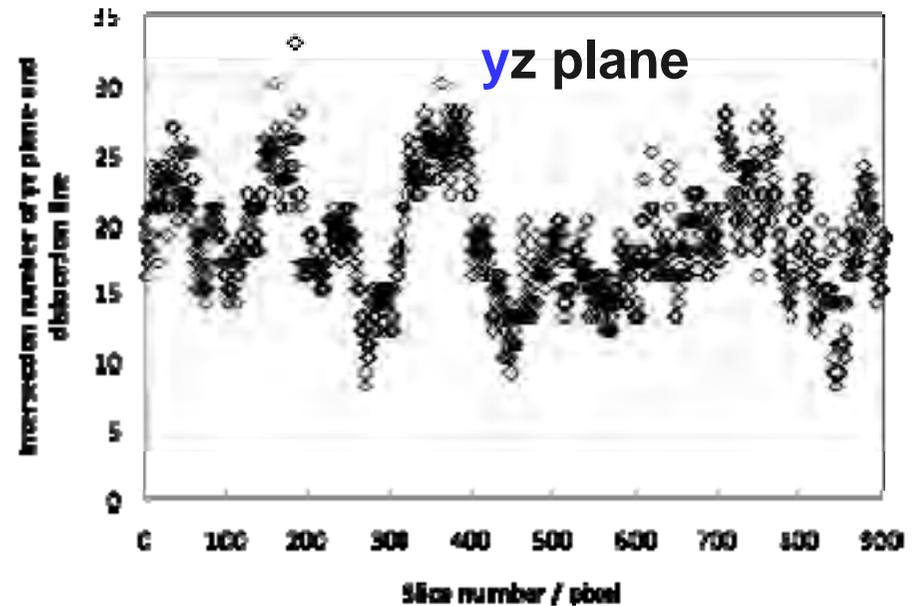
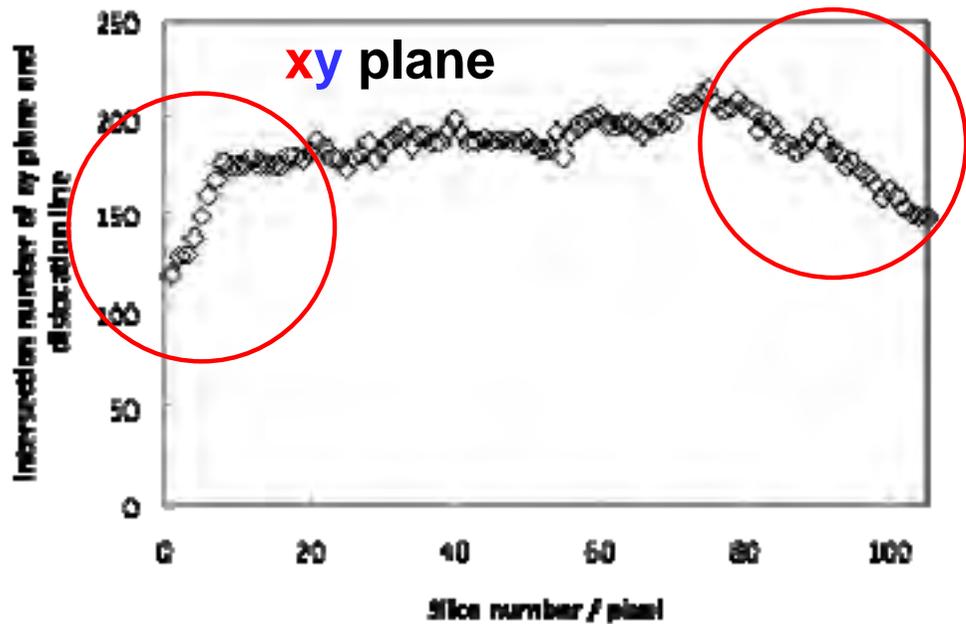
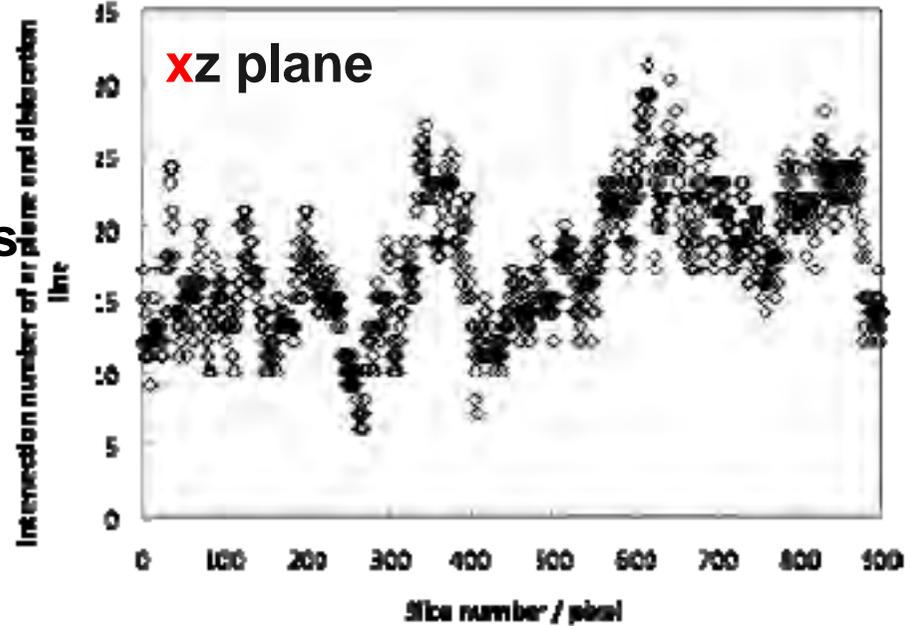
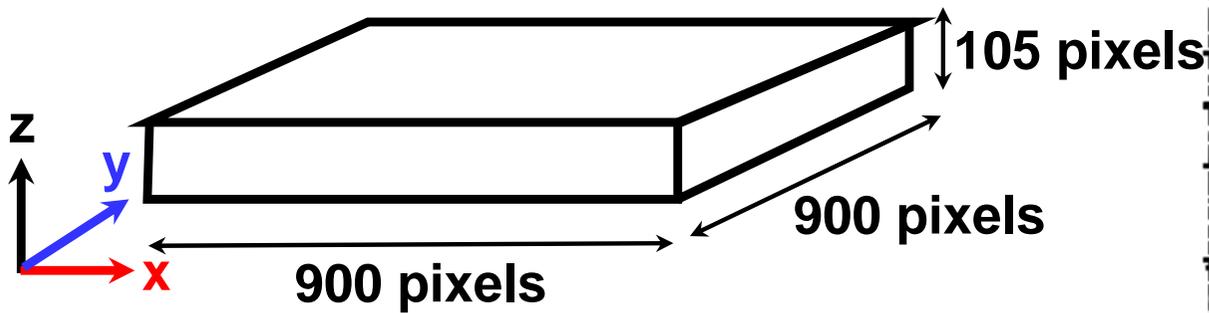


$$\rho = 4.0 \times 10^{13} \text{ m}^{-2}$$

# Number of dislocations in 2D tomograms

SUS, 3% strained at R. T.

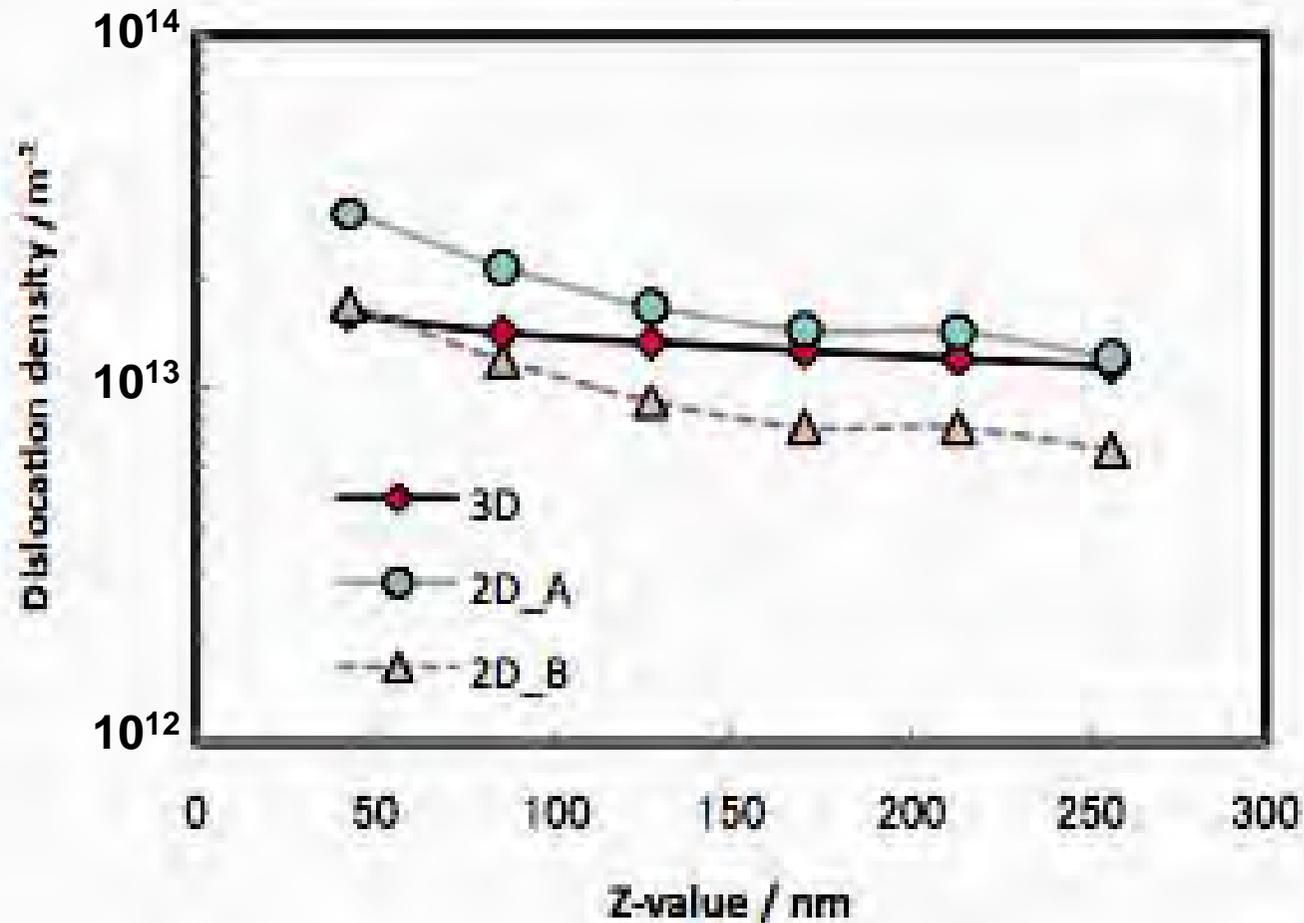
Reconstructed 3D volume (1 pixel = 3 nm)



20-30% reduction of dislocation density near the specimen surface

# Dislocation density evaluated from 2D image and 3D volume

Austenitic steel, 10% compressive deformation at R. T.



2D\_A

$$\rho = \frac{2N}{Lt}$$

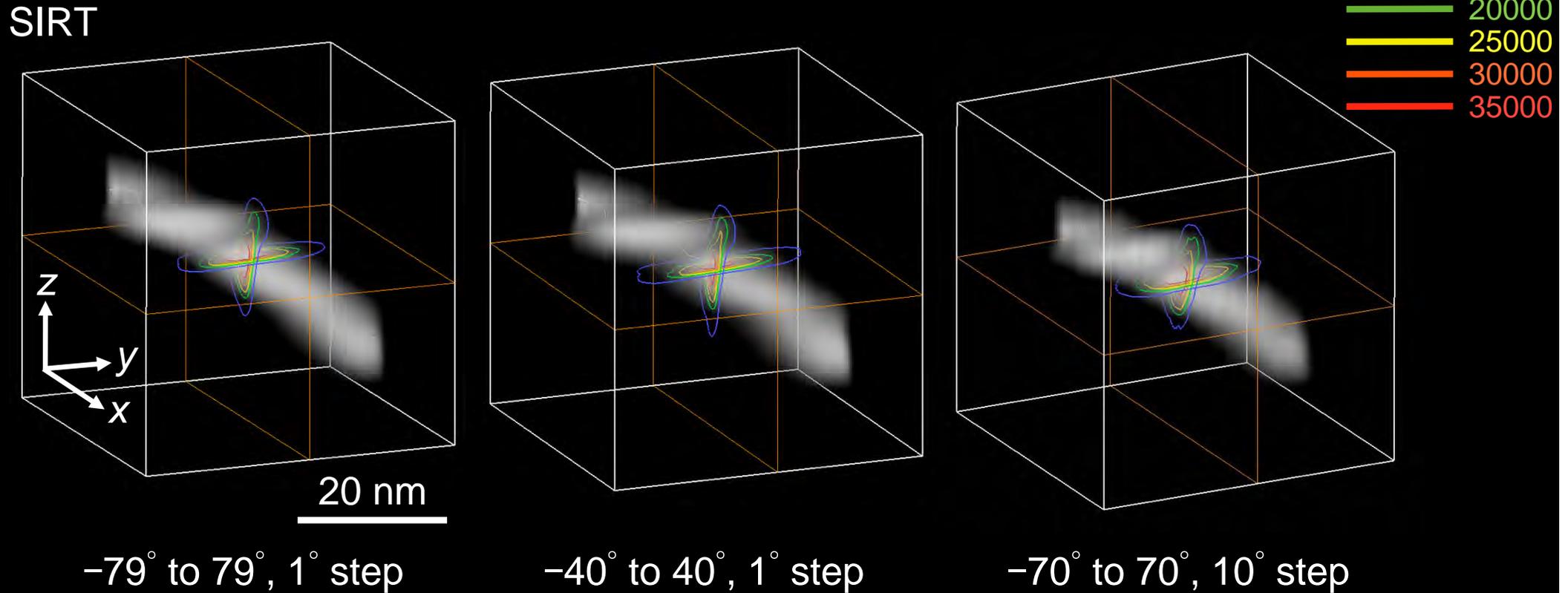
$L$ : total length of straight lines on 2D image  
 $N$ : intersection number of straight lines and dislocations  
 $t$ : specimen thickness

2D\_B

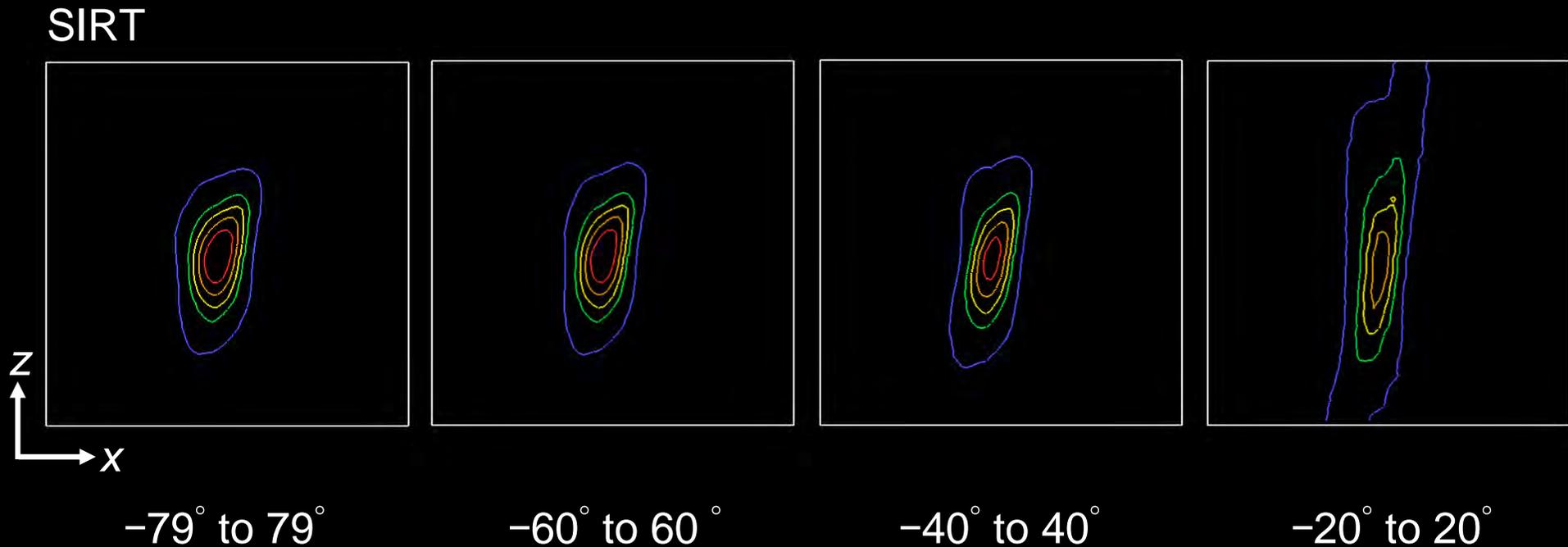
$$\rho = \left( \frac{n_1}{L_1} + \frac{n_2}{L_2} \right) \frac{1}{t}$$

$L_1$ : total length of vertical lines  
 $n_1$ : intersection number of vertical lines and dislocations  
 $L_2$ : total length of horizontal lines  
 $n_2$ : intersection number of horizontal lines and dislocations

# Dislocation reconstructed under different specimen-tilt conditions



# Cross-sections of a reconstructed dislocation image as a function of angular range of specimen tilt

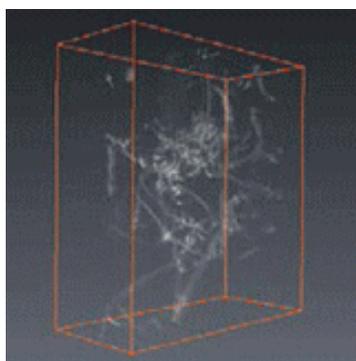
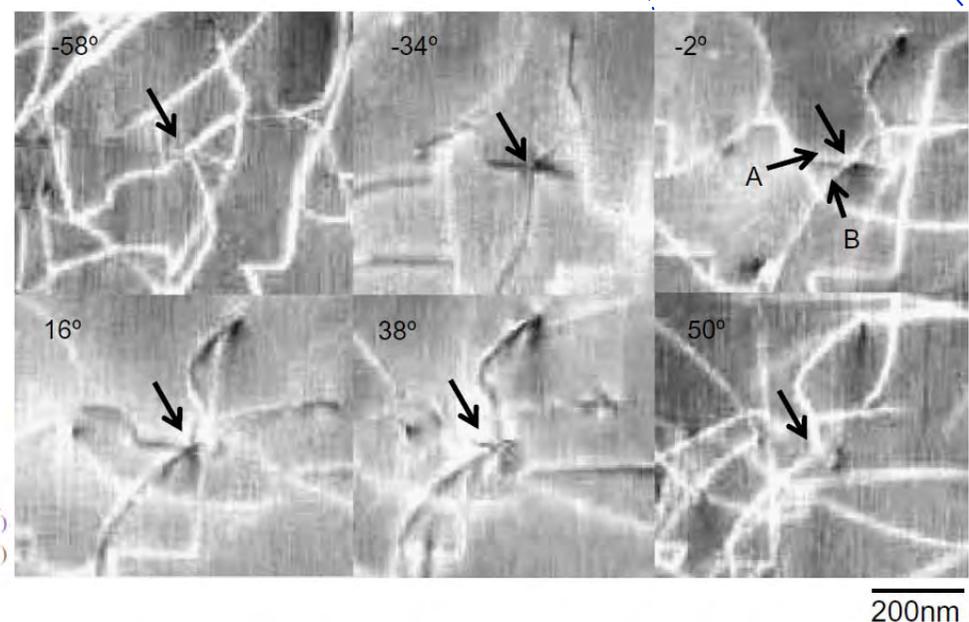
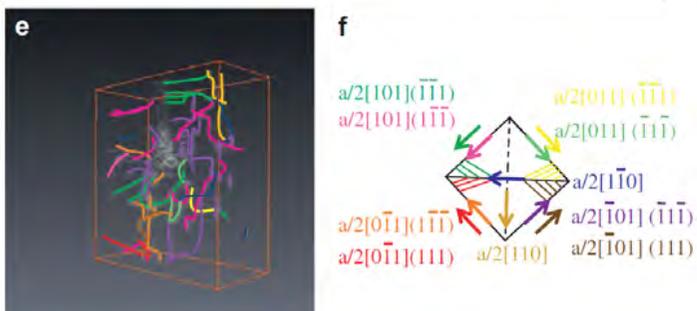
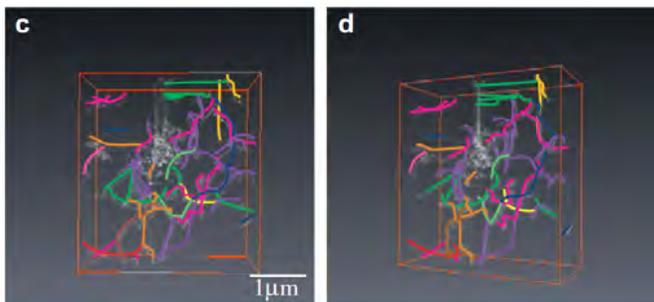
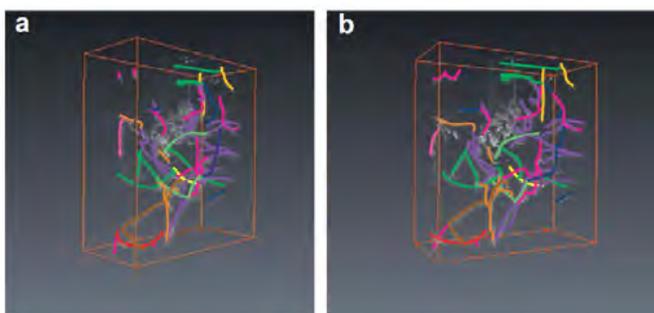
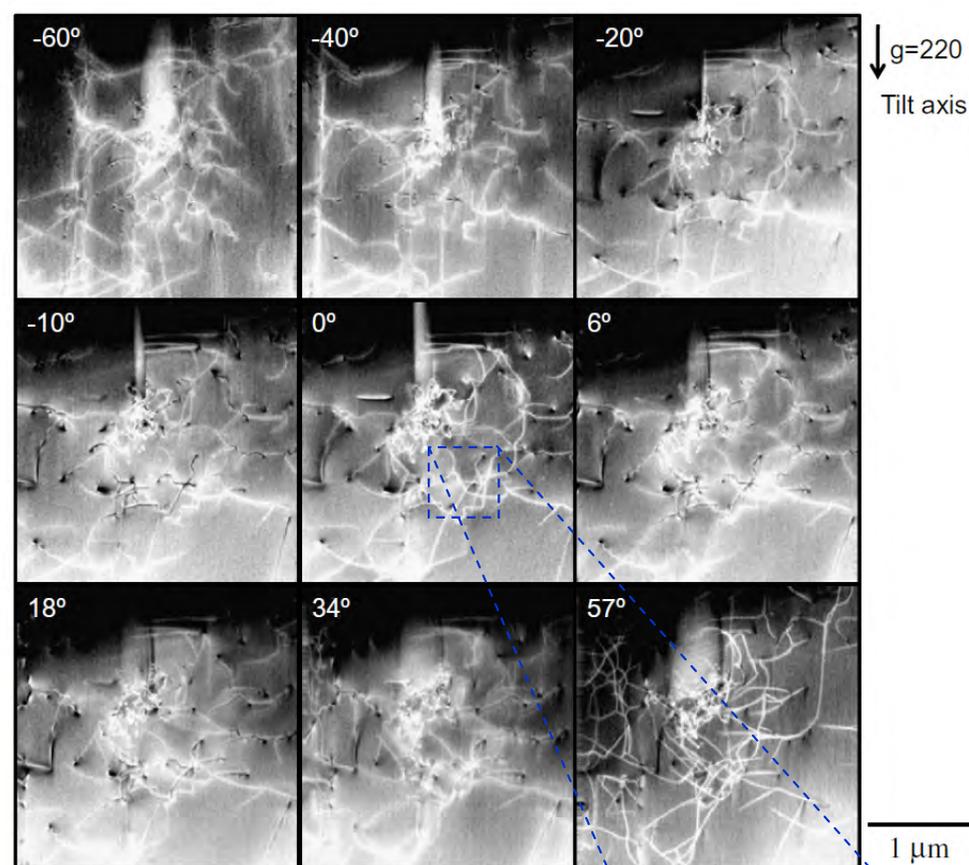
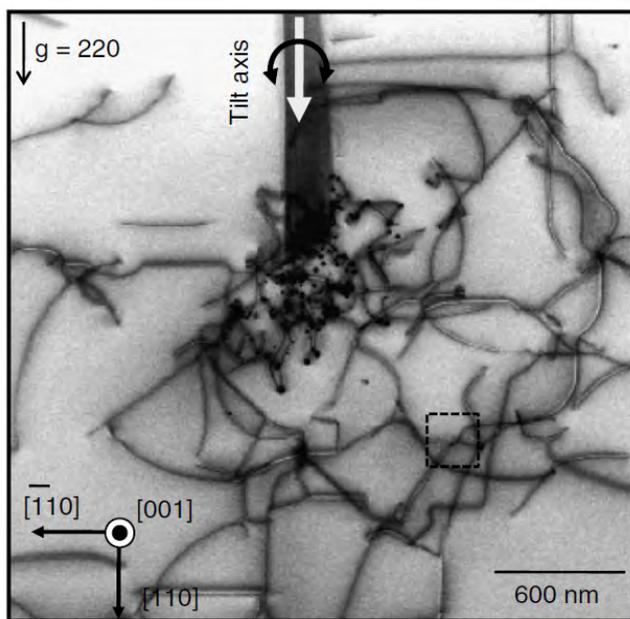


20 nm

# シリコンラック 近傍の転位群 のSTEMトモグラフィー観察

Vickers indent  
and heated at  
873 K

Tanaka *et al.*  
Scripta Mater.  
(2008); J. Electron  
Microscopy (2010)



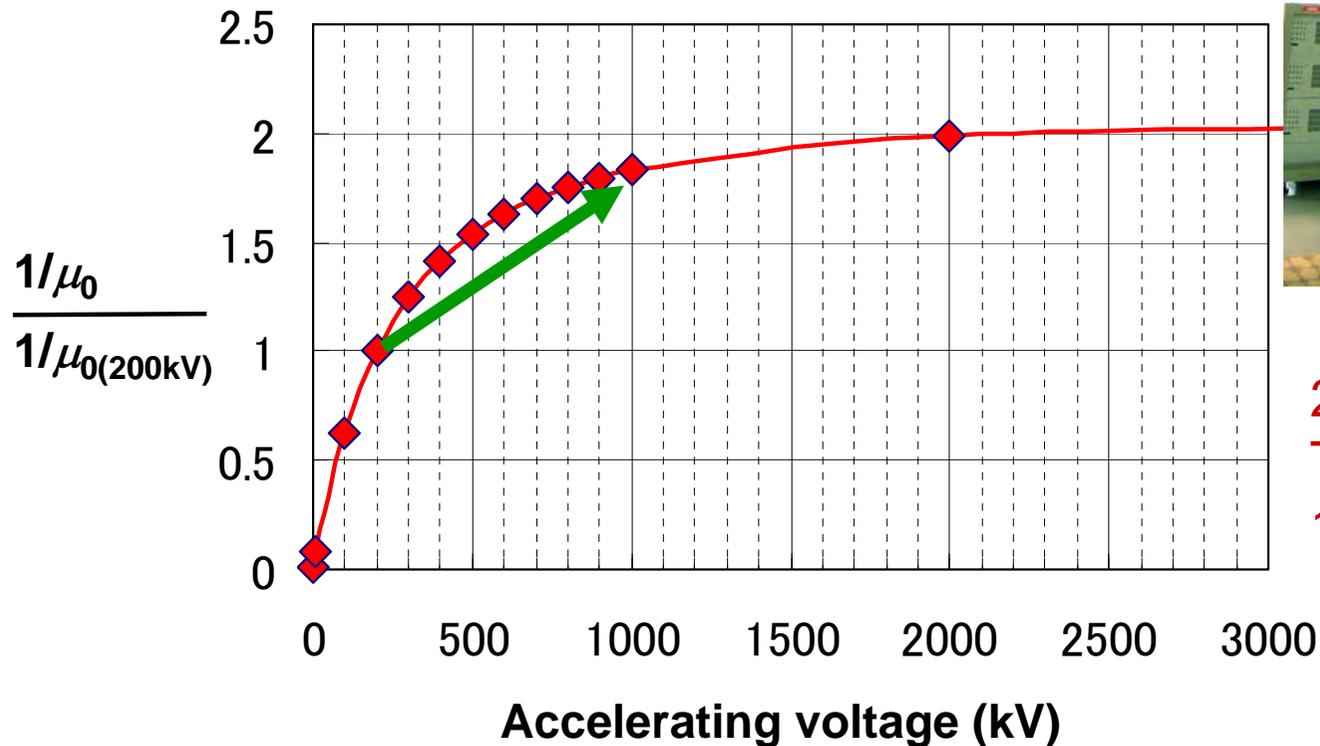
# Energy-filtered HVEM

## JEM-1300NEF

(Installation completed in 2010, Kyushu University, Japan)

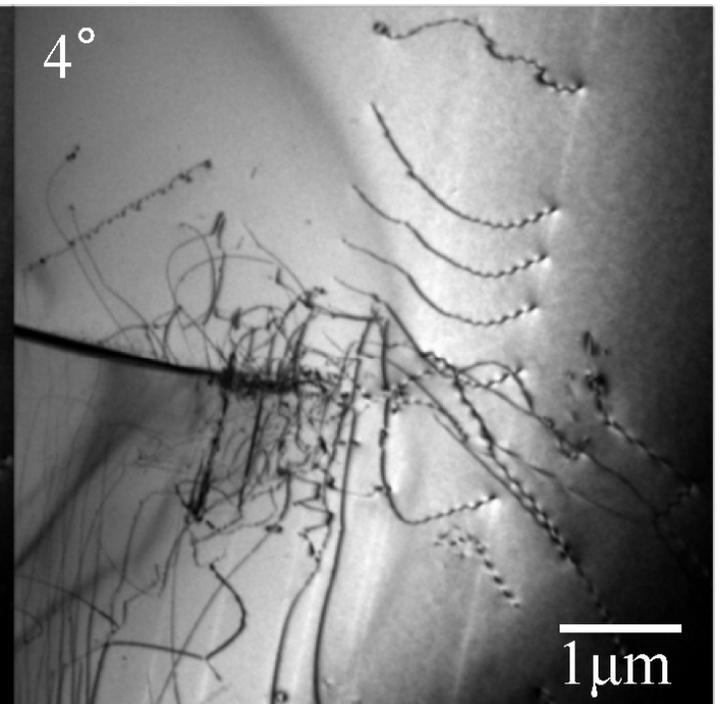
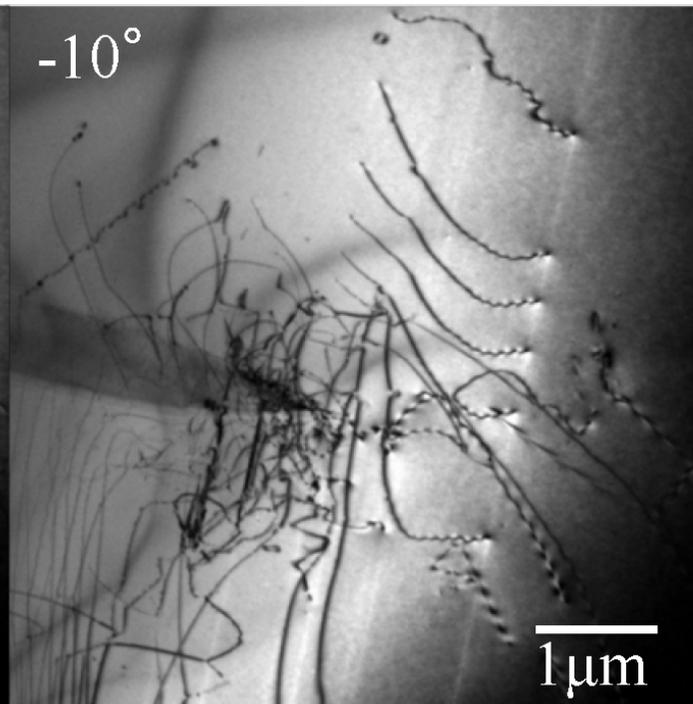
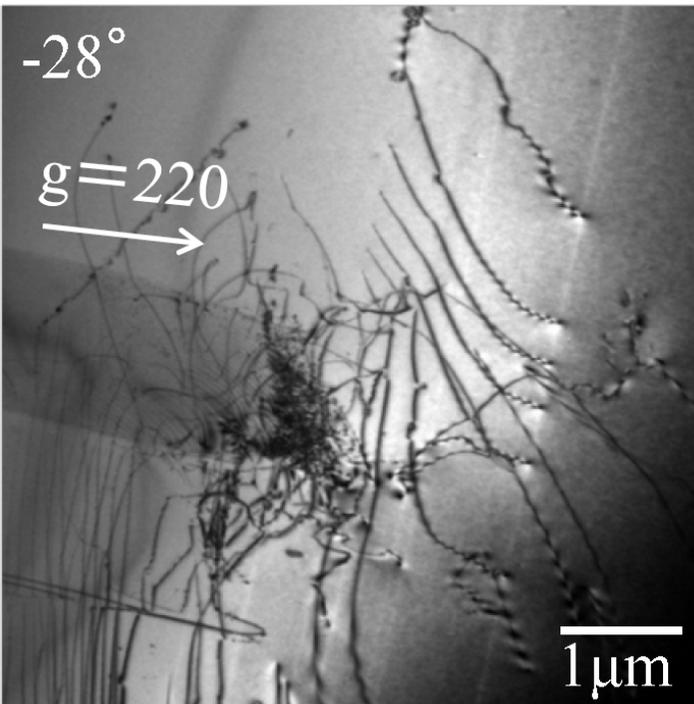
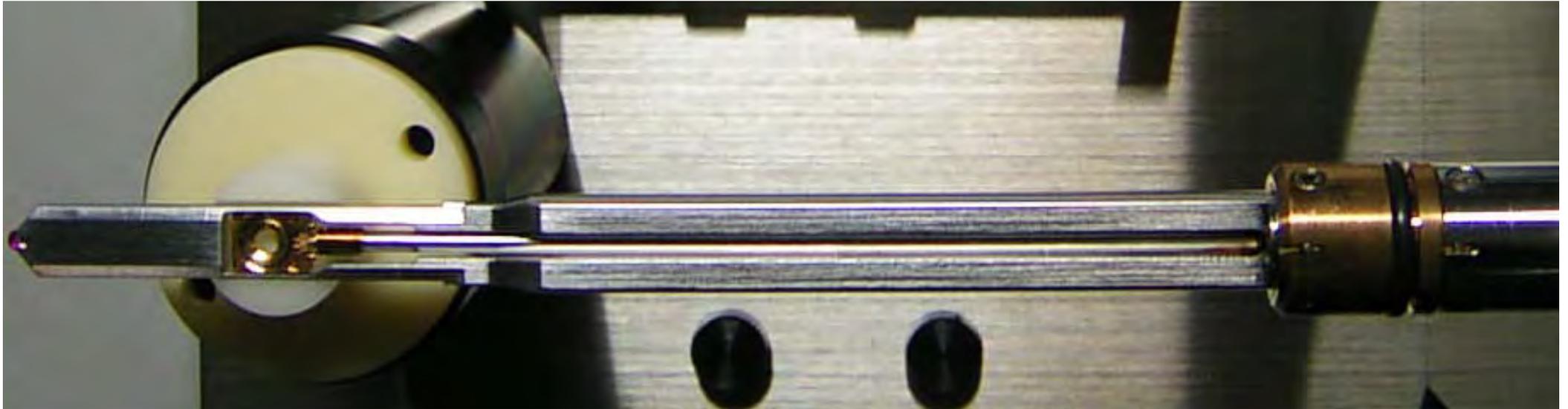
Omega type energy filter, Tomography, STEM

Penetration power of electrons as a function of accelerating voltage (assuming unity for 200 kV)



200 kV → 1000 kV  
The penetration power becomes  
1.8 times.

# High-angle triple-axis holder for HVEM: application to thick ( $\sim 3 \mu\text{m}$ ) Si crystal



# Dislocation interactions and boundary formation in deformed aluminum

99.5%,  $d_g = 75\mu\text{m}$ , 25% elongation in uniaxial tension)

Ramar *et al.* Proc. RISØ 3D/4D Symp. (2010)

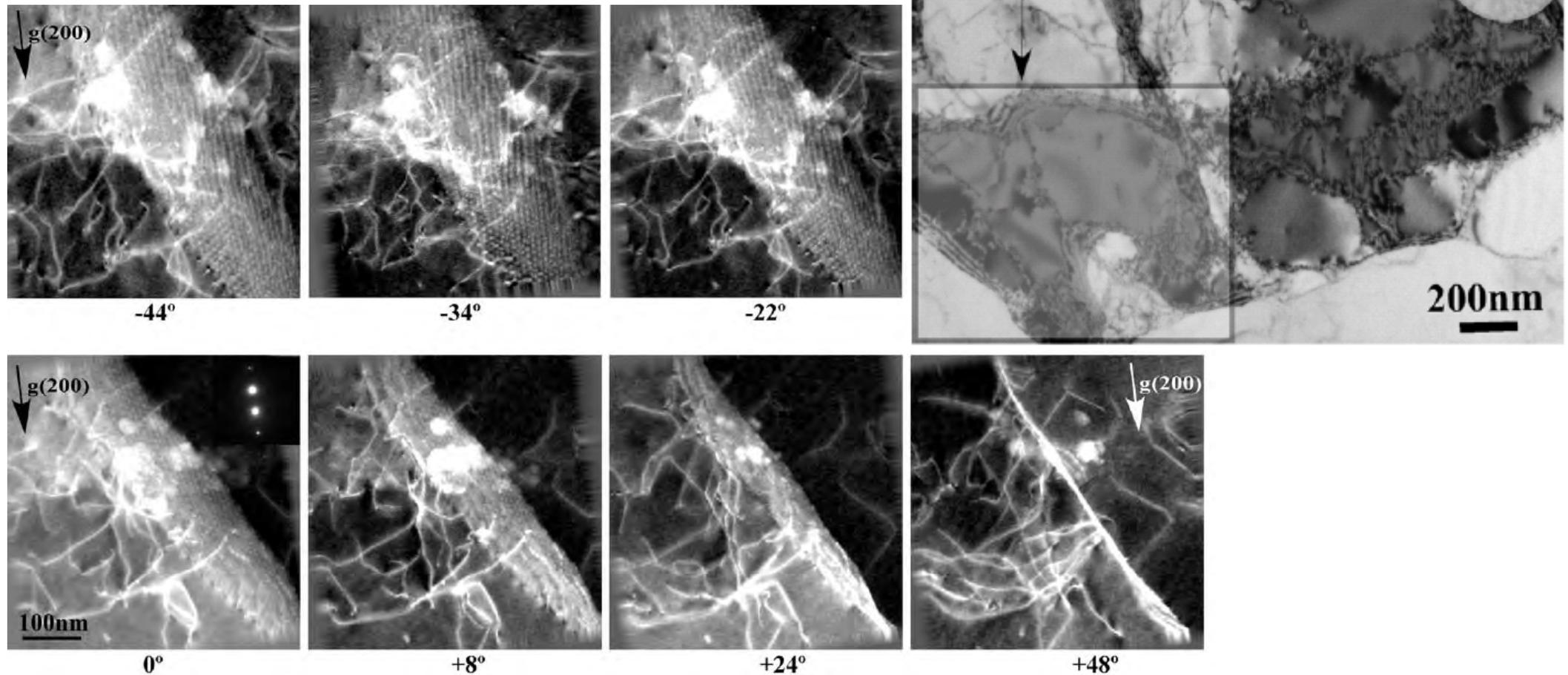


Fig. 3 shows a part of HAADF STEM tilt series of the planar boundary marked in Fig. 2 (in circle) taken at different alpha tilt angles using the two-beam condition for  $g(200)$ .

# Summary and future plan

## 1. 転位のTEMトモグラフィー観察法の発展

- ・高傾斜3軸ホルダーによる試料傾斜と回折条件設定の両立
- ・走査透過電子顕微鏡法STEMとトモグラフィーの相性:良
- ・実用材料への適用OK(磁性体は検討中)
- ・2軸トモグラフィーによる全転位可視化
- ・超高压電子顕微鏡の利用

## 2. 今後の課題

- ・STEM転位像の3次元再構成像の理論的検討
- ・高速化、その場観察の可能性
- ・計算科学との融合
- ・直感に訴え、わかりやすい3次元像による顕微鏡学の進展と普及