

The idea how to measure dynamical charge susceptibility combined with X-ray and Neutron inelastic scattering technique

- How to measure $\varepsilon(Q, \omega)$ -

Jun'ichiro Mizuki

Quantum Beam Science Directorate
Japan Atomic Energy Agency
(JAEA)

Inelastic X-ray scattering from a point of view of materials science

1. Why IXS ?

2. Current situations of IXS research focused on
High T_C superconductors

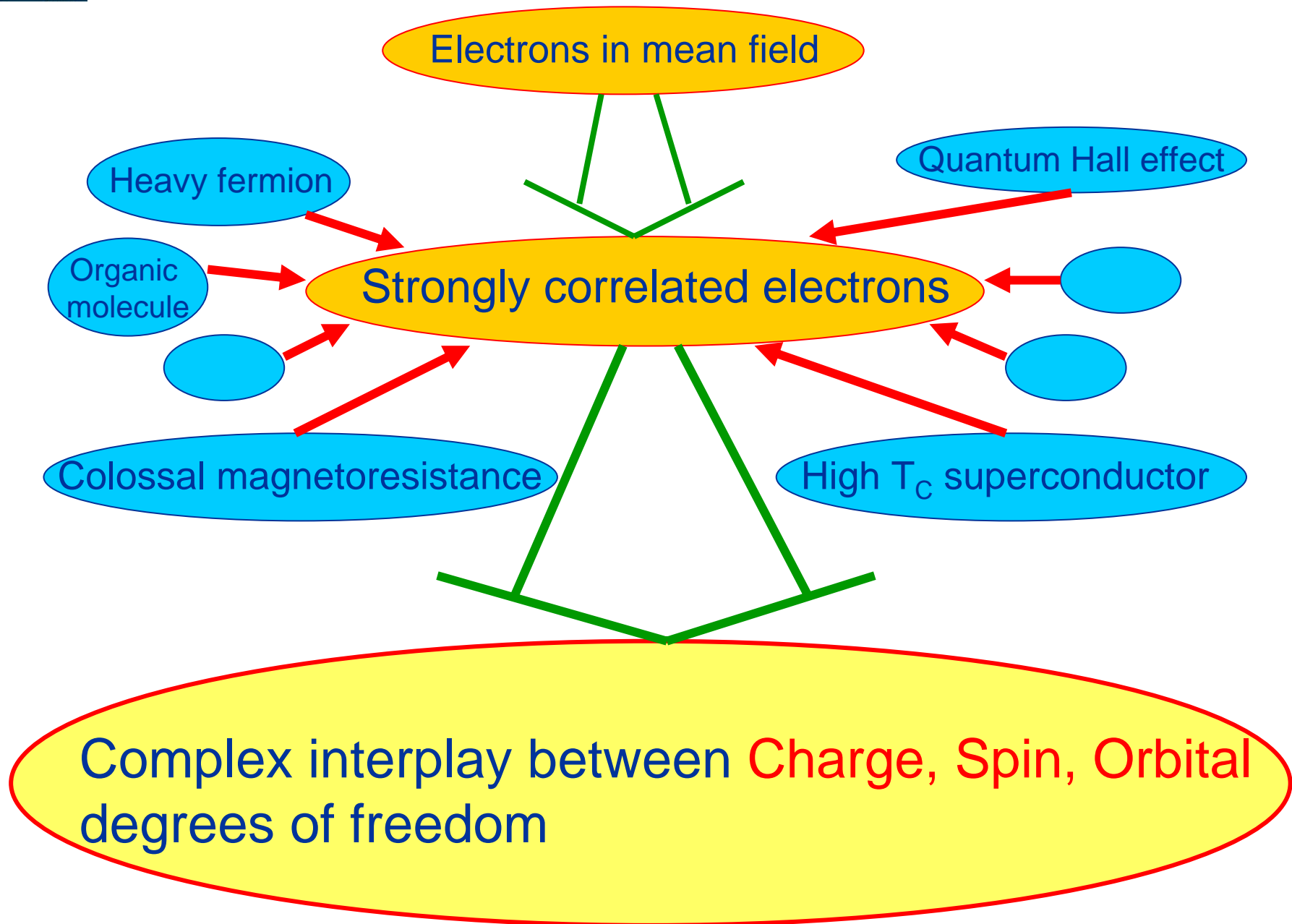
(a) Resonant IXS : charge excitation

(b) non Resonant IXS (NIXS) : phonon

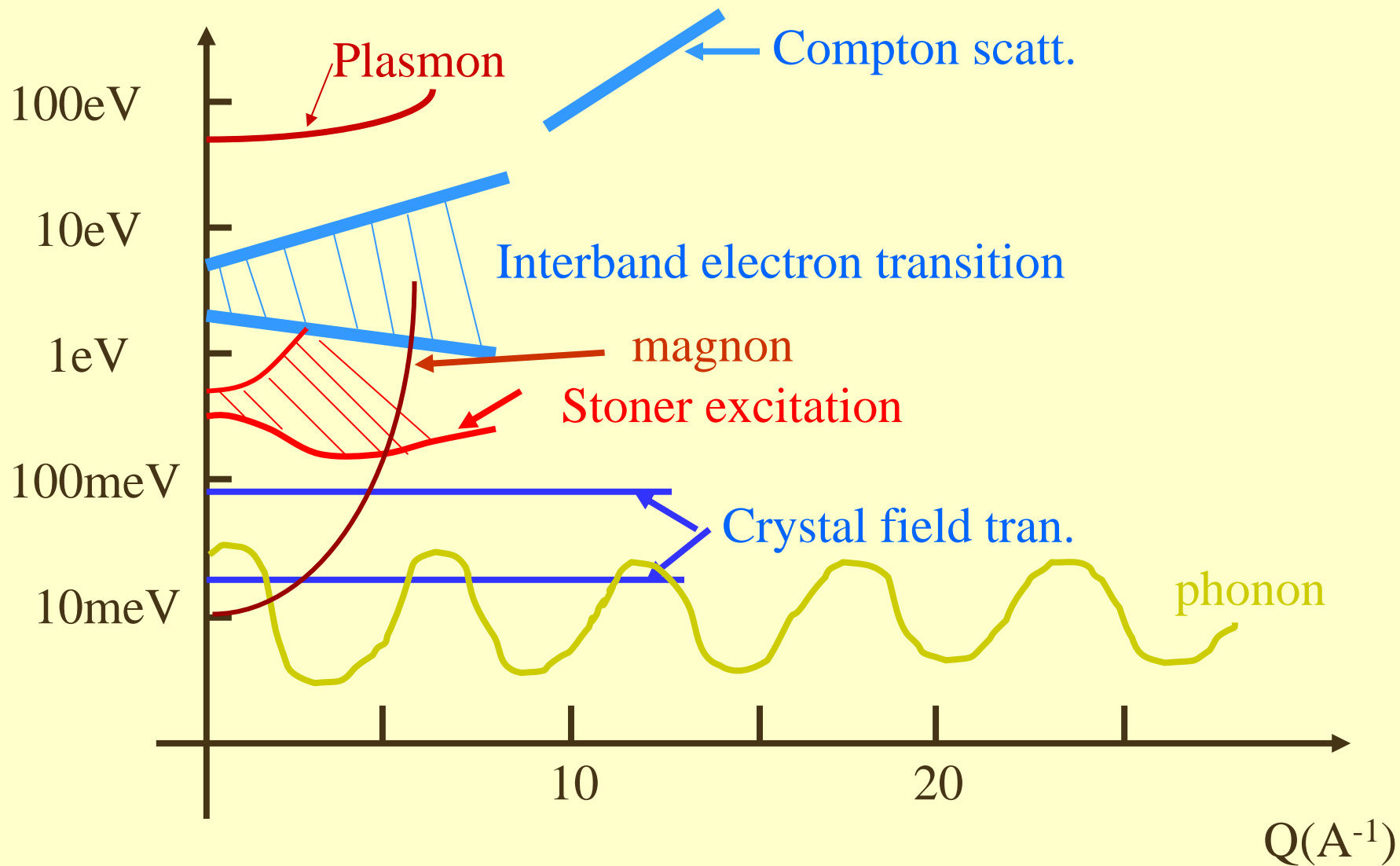
3. Prospective RIXS , NIXS)

Idea to measure dynamical dielectric function in
the room Temperature energy range.

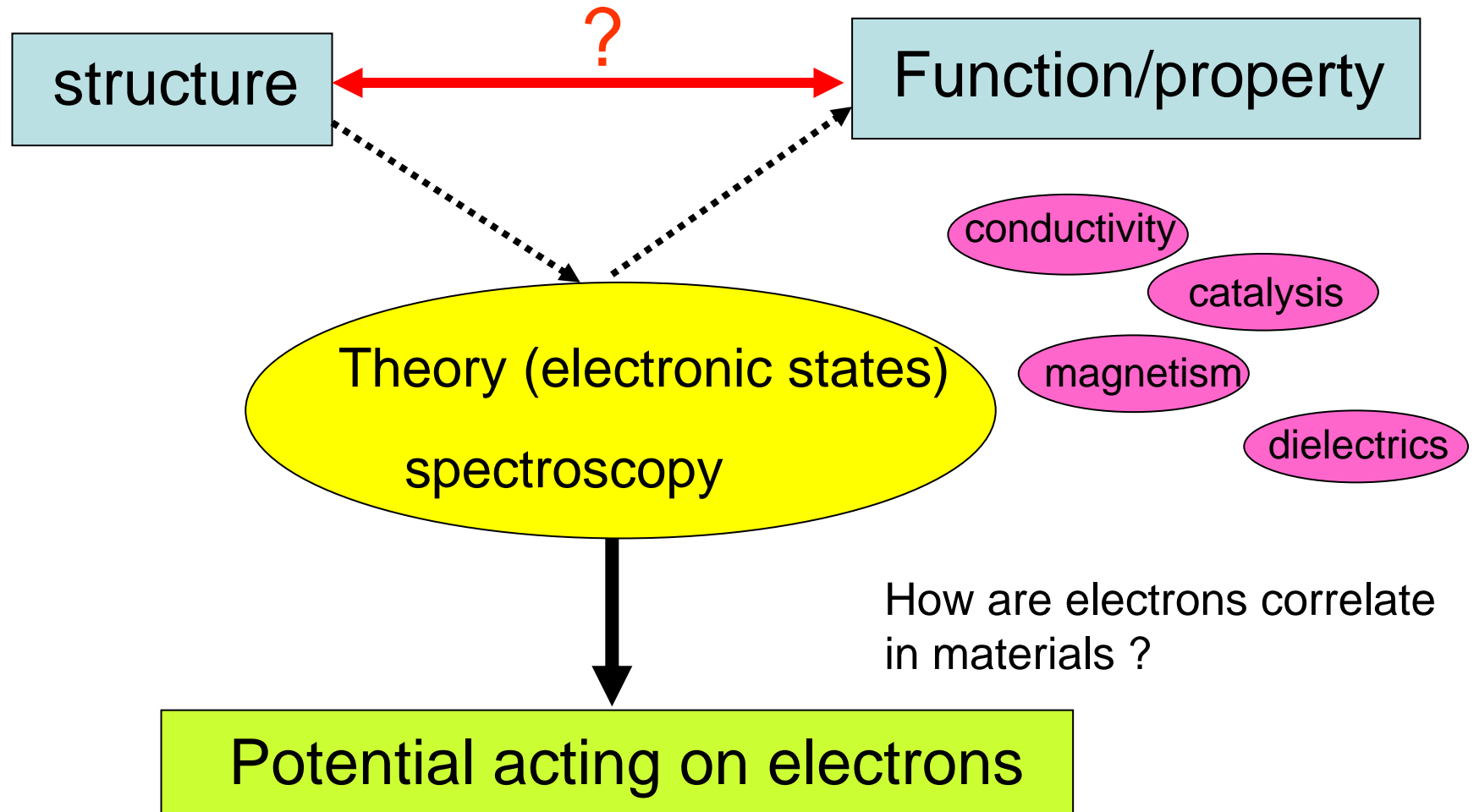




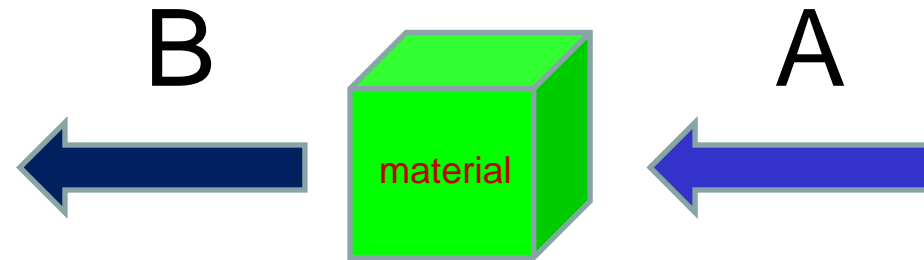
Elementary Excitations in Solids



Actor /actress in materials is the electron !



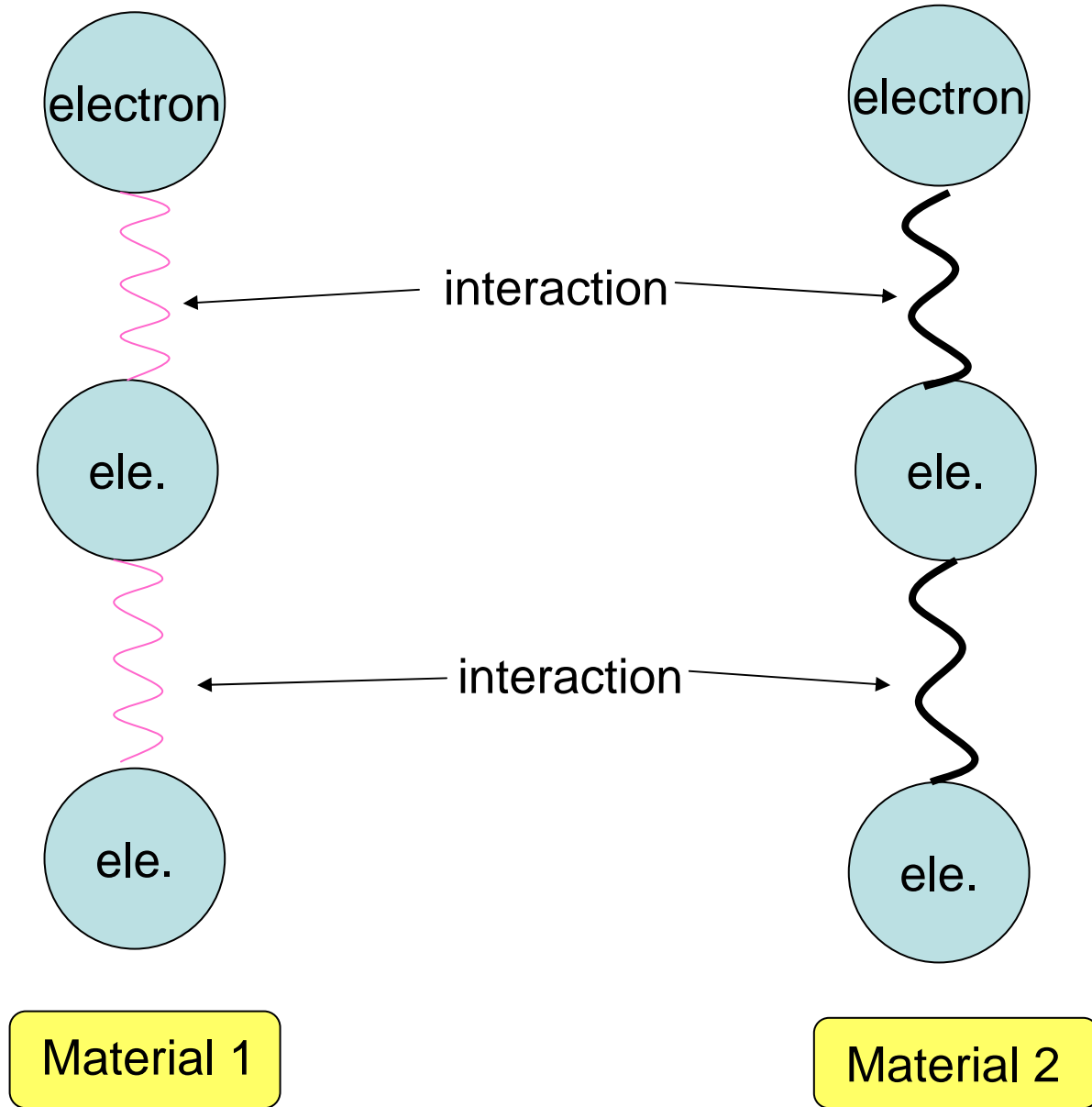
Linear response theory



$$B = \chi A$$

polarization ←	Electric field
magnetism ←	Magnetic field
strain ←	stress
etc.	etc.

For dynamics study \Rightarrow Use of fluctuation – dissipation theorem



ele.
-e

ele.
-e

ele.

ele.



ele.

ele.

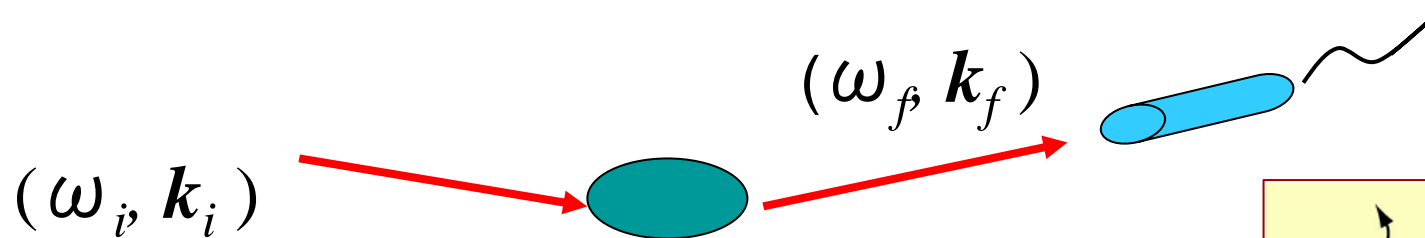
Material 1

Material 2

How do we know the potential for electrons?

Dynamics!

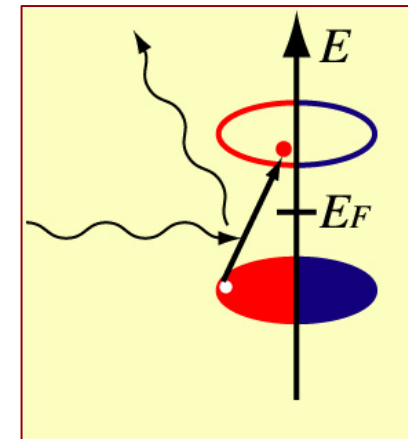
Observation of dynamical properties of electrons and atoms



$$\mathbf{Q} = \mathbf{k}_i - \mathbf{k}_f$$

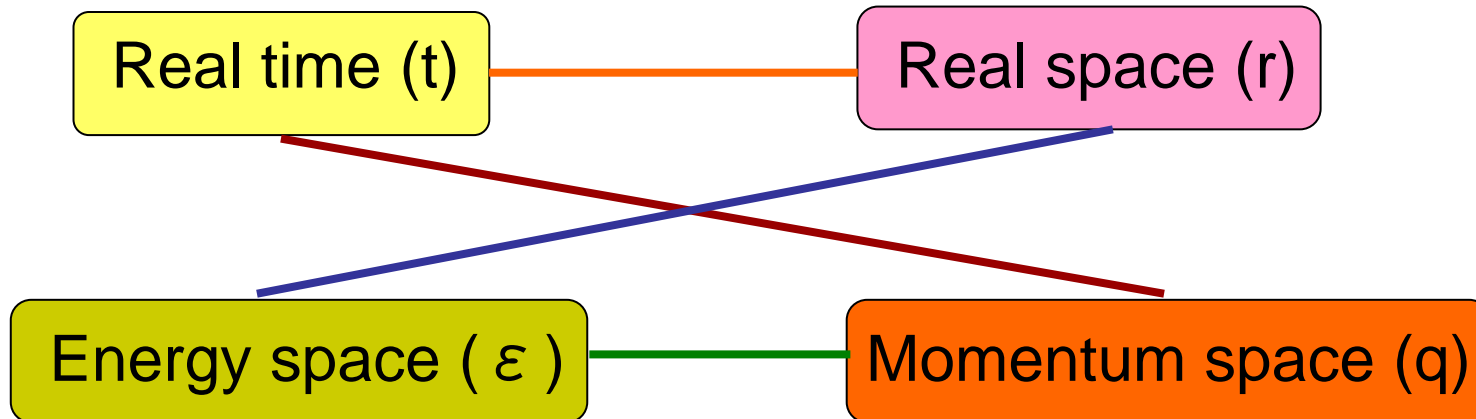
$$\Delta E = \omega_i - \omega_f \equiv \omega$$

inelastic scattering!



Observation space

In what space should we measure physical properties?



Inelastic X-ray scattering

$$H = \sum_j \frac{1}{2m} \left(\mathbf{p}_j - \frac{e}{c} \mathbf{A}(\mathbf{r}_j) \right)^2 = \sum_j \left(\frac{\mathbf{p}_j^2}{2m} - \frac{e}{mc} \mathbf{A}(\mathbf{r}_j) \cdot \mathbf{p}_j + \frac{e^2}{2mc^2} \mathbf{A}(\mathbf{r}_j)^2 \right)$$

Fermi's golden rule $I \propto \frac{2\pi}{\hbar} \left| \langle f | \mathbf{A}^2 | i \rangle + \frac{\langle f | \mathbf{A} \cdot \mathbf{p} | n \rangle \cdots \langle m | \mathbf{A} \cdot \mathbf{p} | i \rangle}{(E_i - E_m + \hbar\omega - i\Gamma)} \right|^2$

- The first term: non-resonant inelastic scattering
 - All electrons (Ze) are contributed \Rightarrow phonon excitation
- The second term: resonant inelastic scattering (RIXS)
 - Electrons on the specific atom are contributed.
 - Resonance enhancement
 - Element specific \Rightarrow electronic excitation

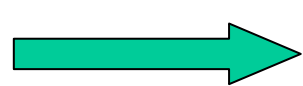
Inelastic Scattering

$$I(Q, E) \sim \underbrace{[V(Q)]^2}_{\text{Interaction of probe}} [1 - e^{-\beta E}]^{-1} \bullet \underbrace{\text{Im } \chi(Q, E)}_{\text{Generalized susceptibility}}$$

Interaction of probe

Generalized susceptibility

- For neutrons → Spin susceptibility
- For X-rays → Nuclear susceptibility
- For electrons → Charge susceptibility



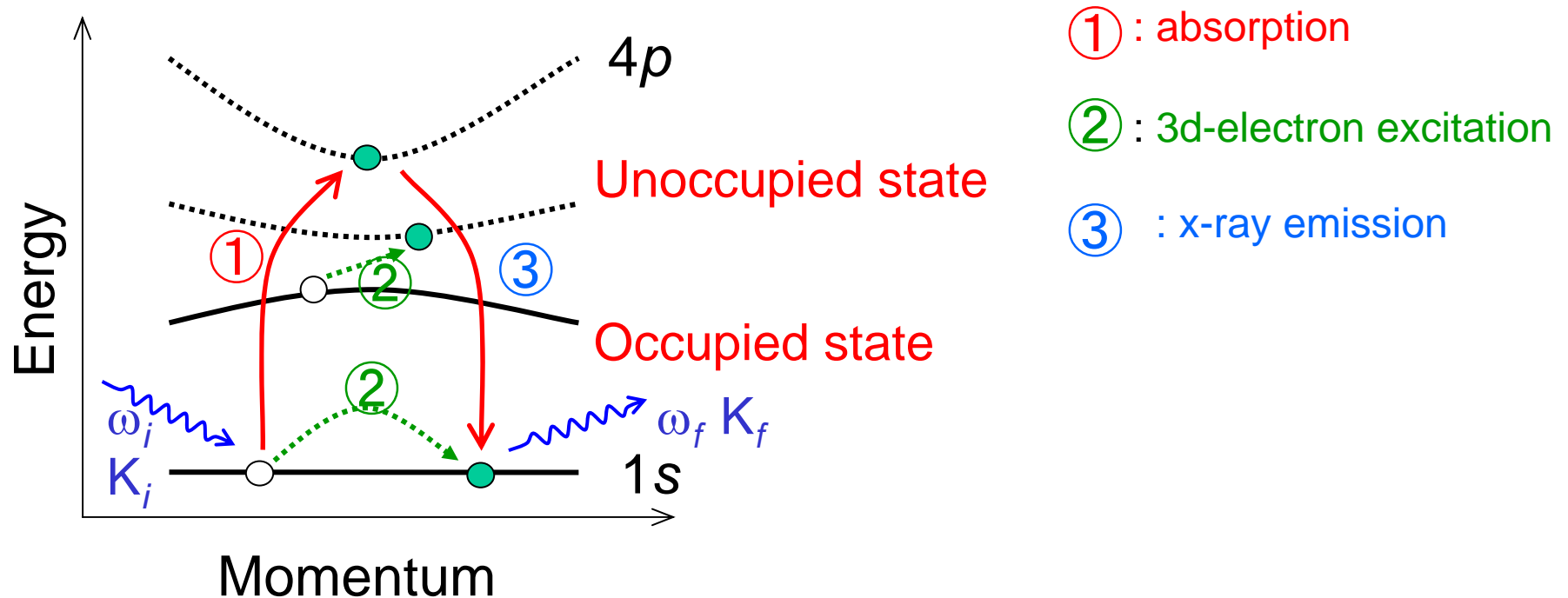
$$\chi(Q, E) = -(Q^2/4\pi^2N) \underbrace{1/\epsilon(Q, E)}_{\text{Dynamical dielectric function}}$$

Dynamical dielectric function

Resonant Inelastic X-ray Scattering

In case of Cu-oxides:

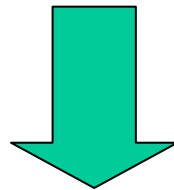
$$I \propto \left| \sum_{m,n} \frac{\langle f | \mathbf{A} \cdot \mathbf{p} | n \rangle \langle n | V_{1s-3d} | m \rangle \langle m | \mathbf{A} \cdot \mathbf{p} | i \rangle}{(E_f - E_n + \hbar\omega_f - i\Gamma)(E_i - E_m + \hbar\omega_i - i\Gamma)} \right|^2$$



Dynamical Dielectric Function (DDF) is important as they Determine the **screening of electrostatic** forces between extra charges in the lattice.

Dynamical Dielectric Function is a well-defined physical property: **theoretical framework** is well-defined.

(We understand the important physics behind DDF)

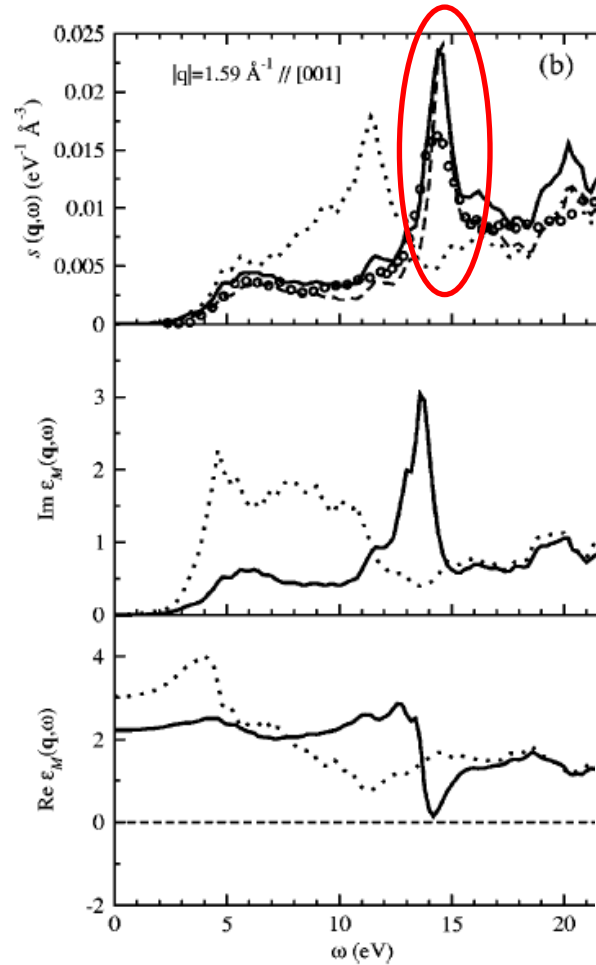
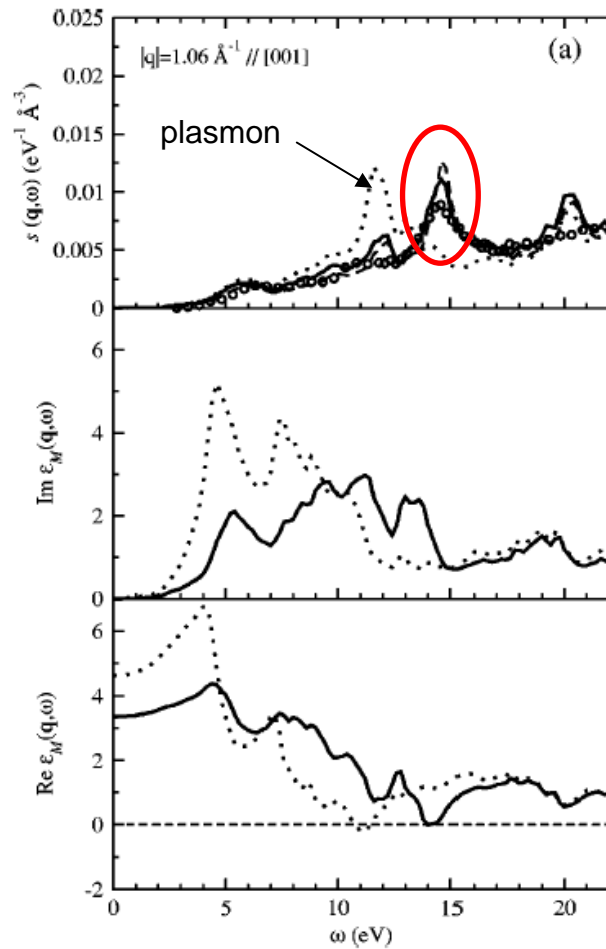


Direct comparison between experimental data and theoretical calculation can be done by

Inelastic X-ray Scattering (IXS)

Dynamical Structure Factor of TiO₂: Experiment & Theory

I. G. Gurtubay et. al., Phys. Rev. B70 ('04) 201201



..... No crystal local-field effect

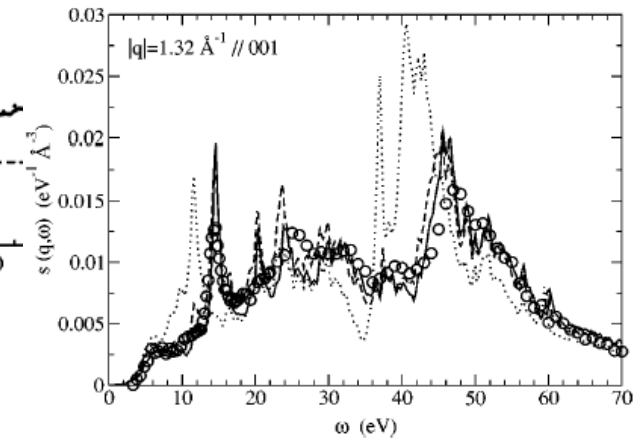
— Crystal local-field effect

○ 14 eV peak:
• appeared by considering crystal local-field effect .

• persistent at large wave vector.

• nonmonotonic dependence on the wave vector.

Microscopic electric field acting on localized *d*-states



Effects of electron-hole interaction on the dynamic structure factor: Application to nonresonant inelastic x-ray scattering

J. A. Soininen

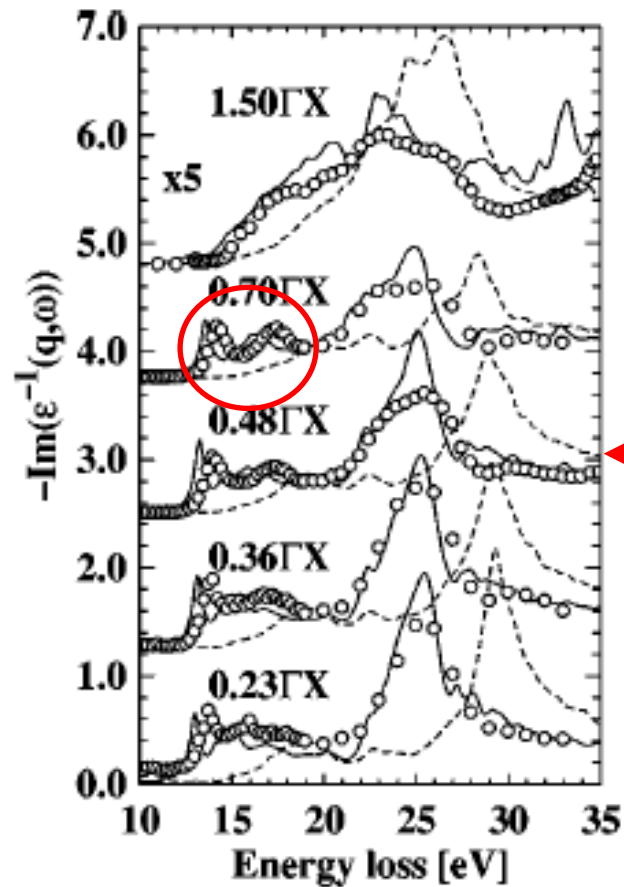
Department of Physics, POB 9, FIN-00014, University of Helsinki, .

Eric L. Shirley

Optical Technology Division, Physics Laboratory, National Institute of Standards and Technolo

(Received 24 January 2000)

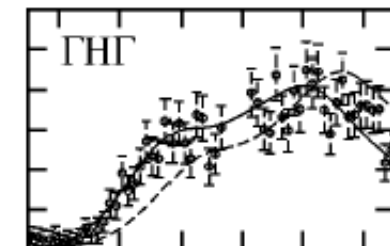
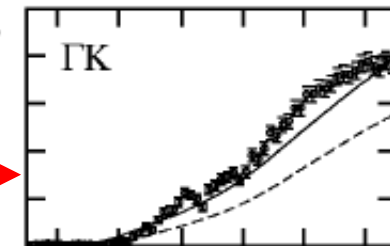
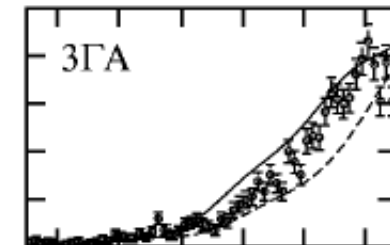
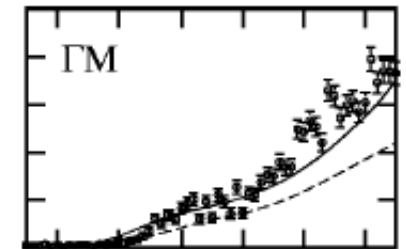
P. R. B. 61 ('00) 16423



— : including
ele.-ho. inter.
..... : not including
ele.-ho. Inter.

LiF
insulator

GaN
semiconductor



Intensity [arb. units]
Energy loss [eV]

More important energy region



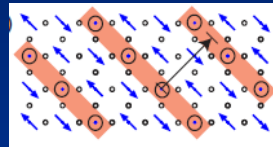
$$E < 1 \text{ eV}$$

As an example: High T_C superconductors

Mechanism ?

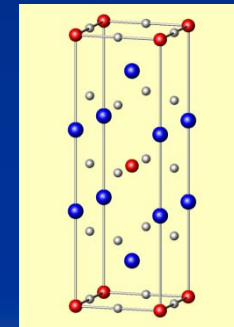
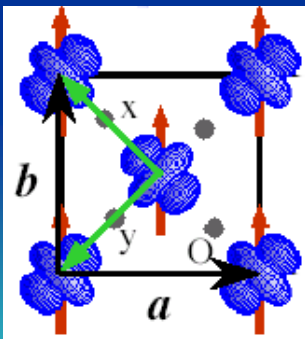
Couple to fluctuation of some order parameter

(Two electrons form a Cooper-pair by exchange with a boson.)



Spin order?, Charge order?, Lattice order?,

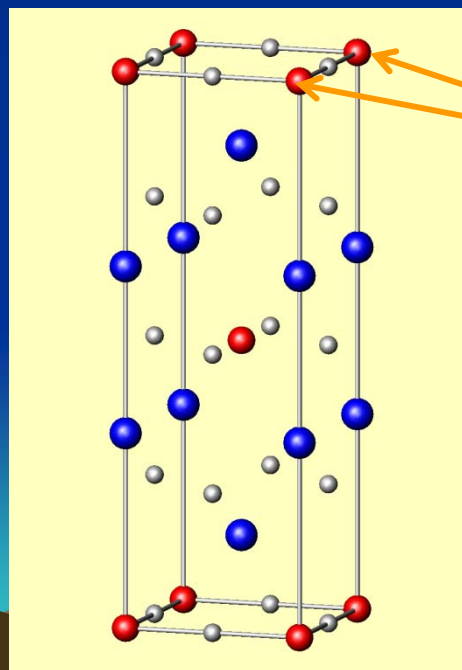
Orbital order?, or others?



Element selective charge excitation

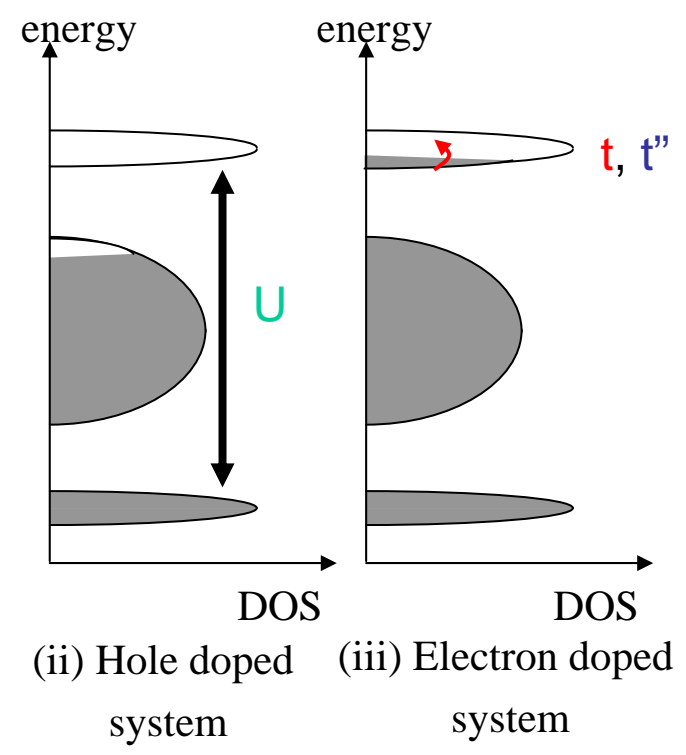
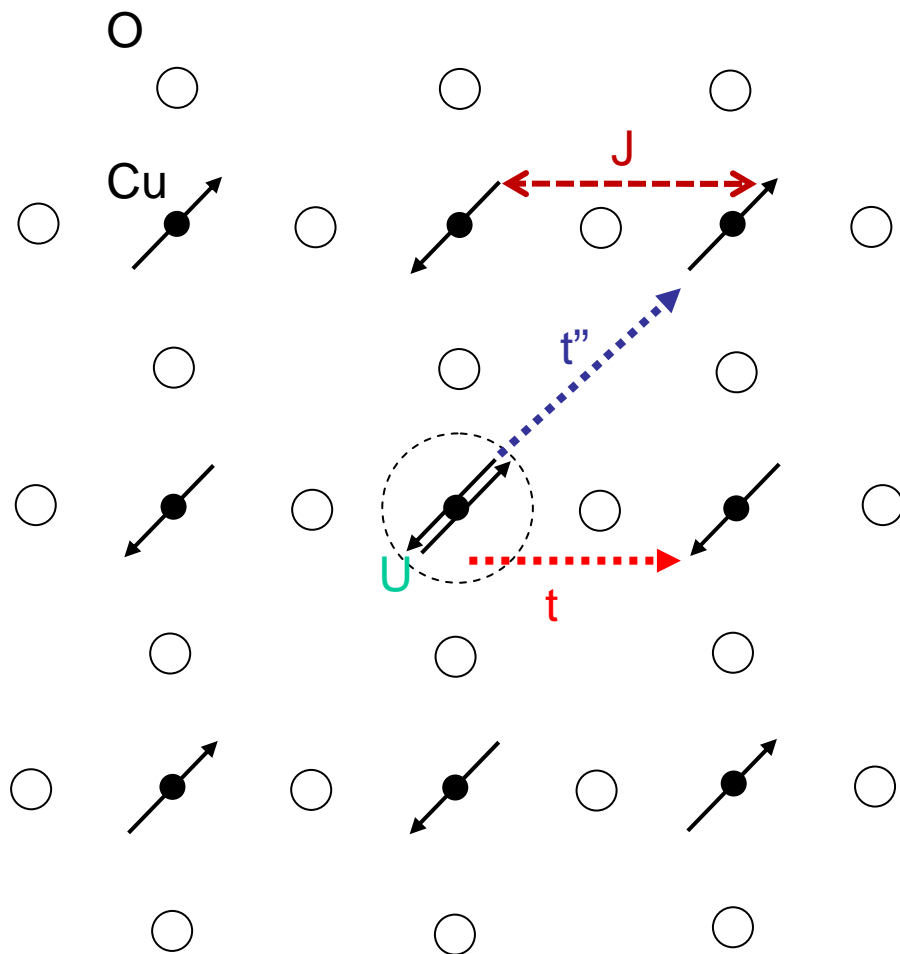


Use of Resonance



focus

Schematic diagram of electronic states



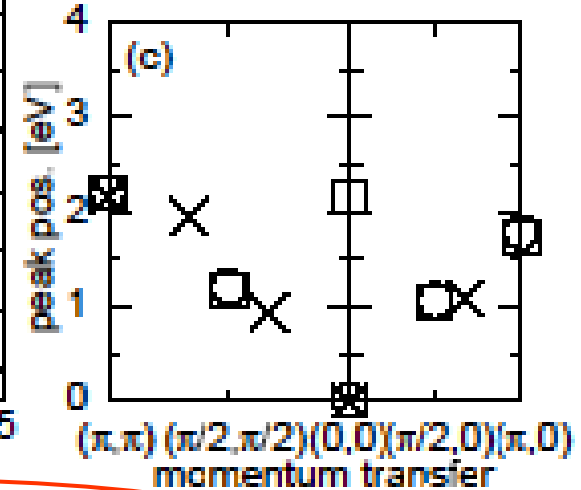
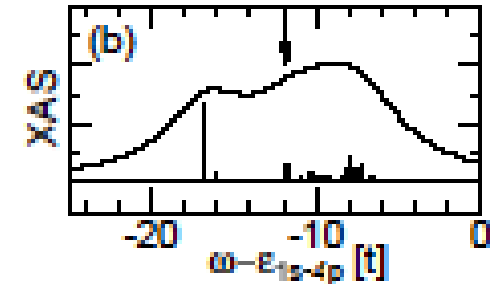
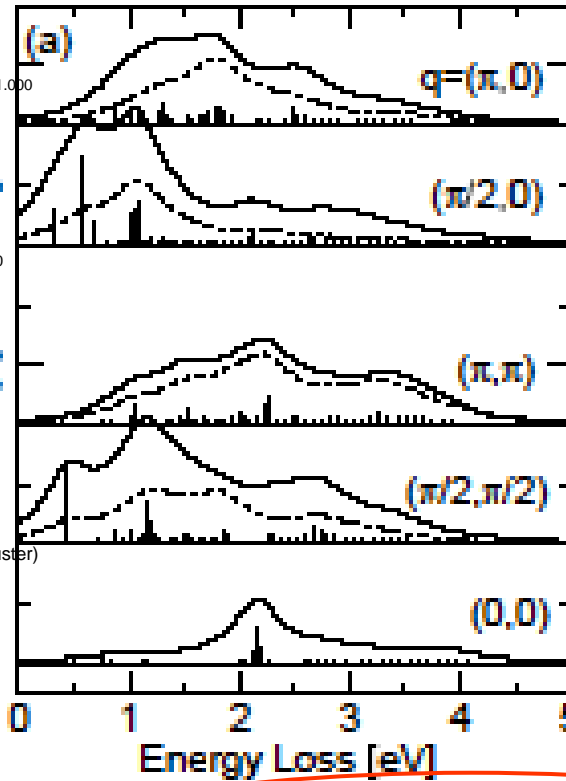
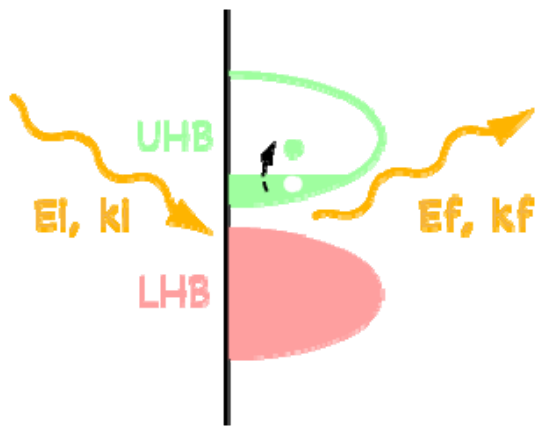
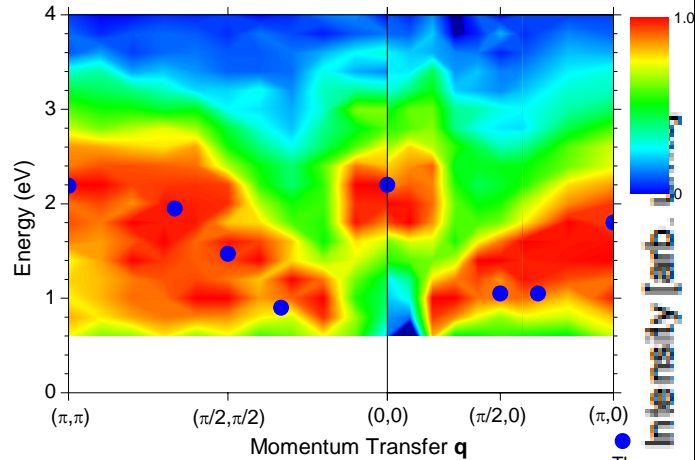
(ii) Hole doped system

(iii) Electron doped system

Electron doping

X=0.15

Calculated by K. Tsutsui



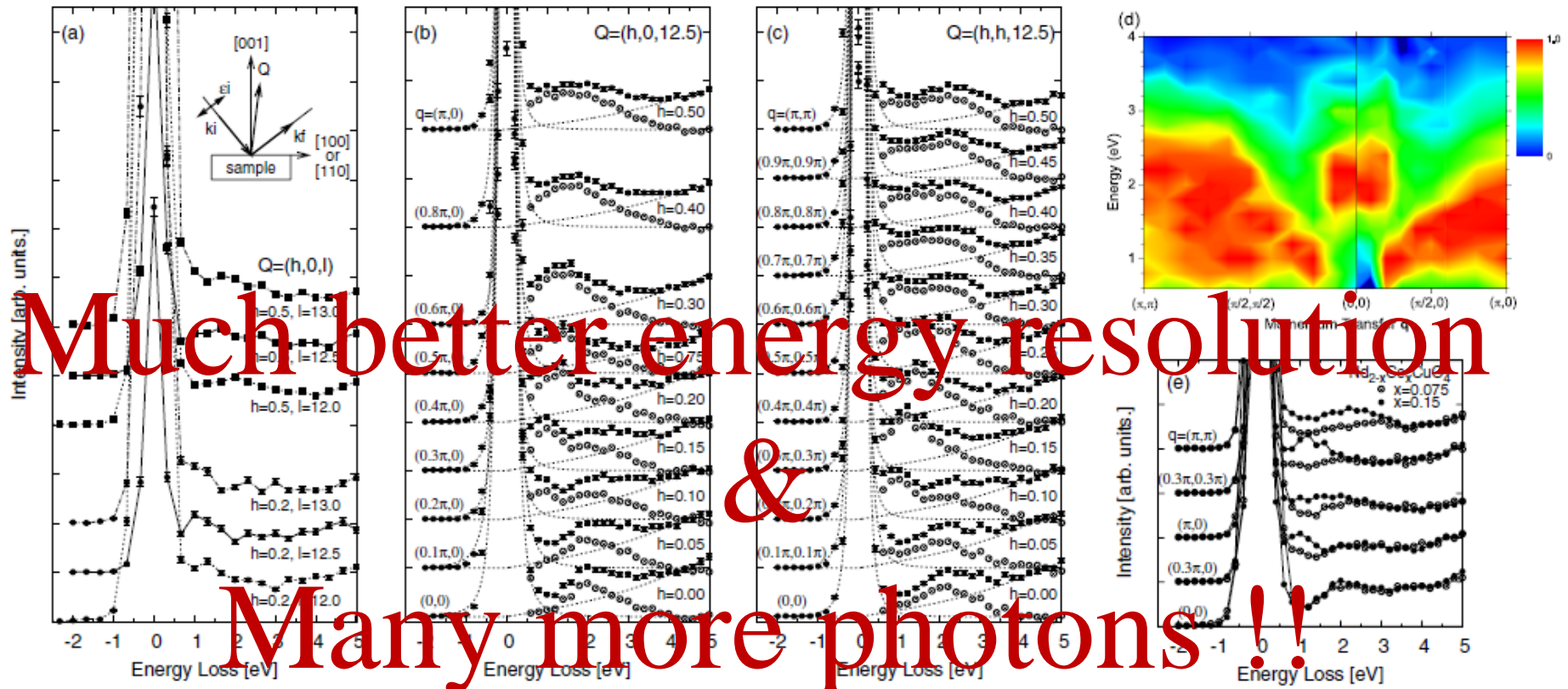
- RIXS spectra
- Dynamical density response function

The electron involved in dynamical density response function can be selected by RIXS !

Raw data of RIXS on $\text{Nd}_{2-x}\text{Ce}_x\text{CuO}_4$

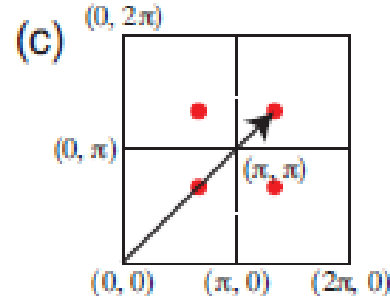
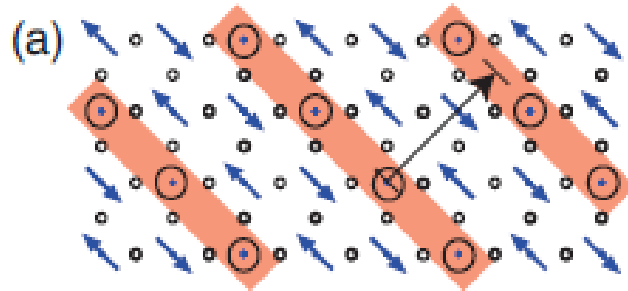
Less than 1 c / sec.

$\Delta E \sim 400$ meV

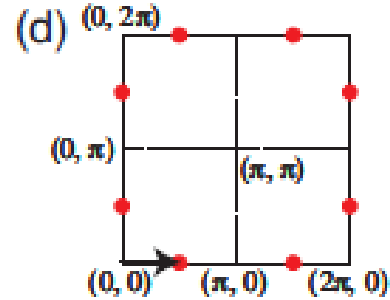
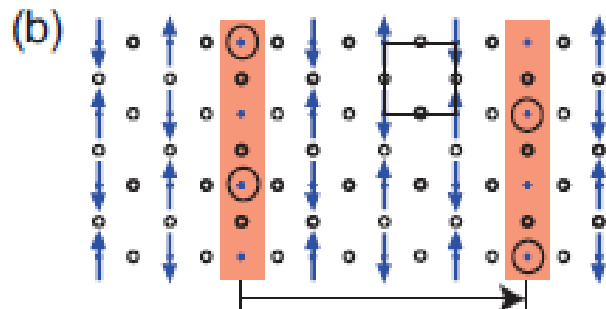


Much better energy resolution
&
Many more photons !!!

Relation between Striped-order and Superconductivity!?

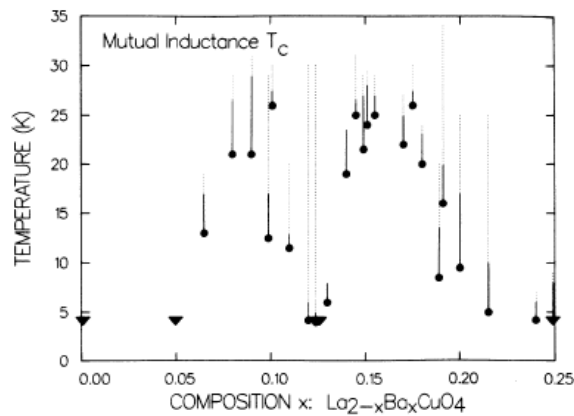


$\text{La}_{2-x}\text{Sr}_x\text{NiO}_4$, $X=1/3$

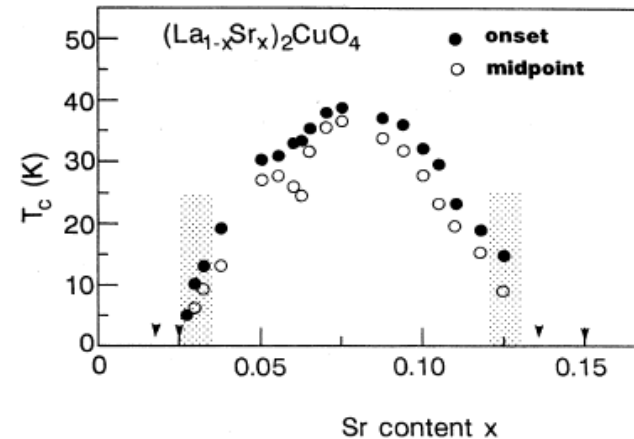


$\text{La}_{2-x}\text{Ba}_x\text{CuO}_4$, $X=1/8$

$\text{La}_{1.88}\text{Sr}_{0.12}\text{CuO}_4$

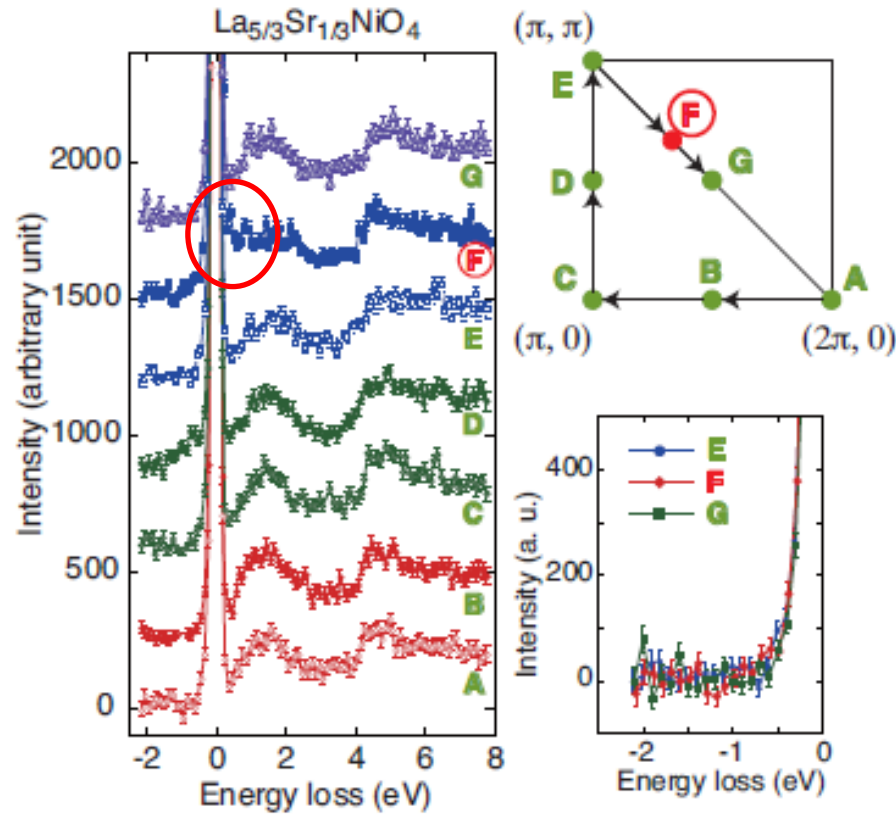


LBCO



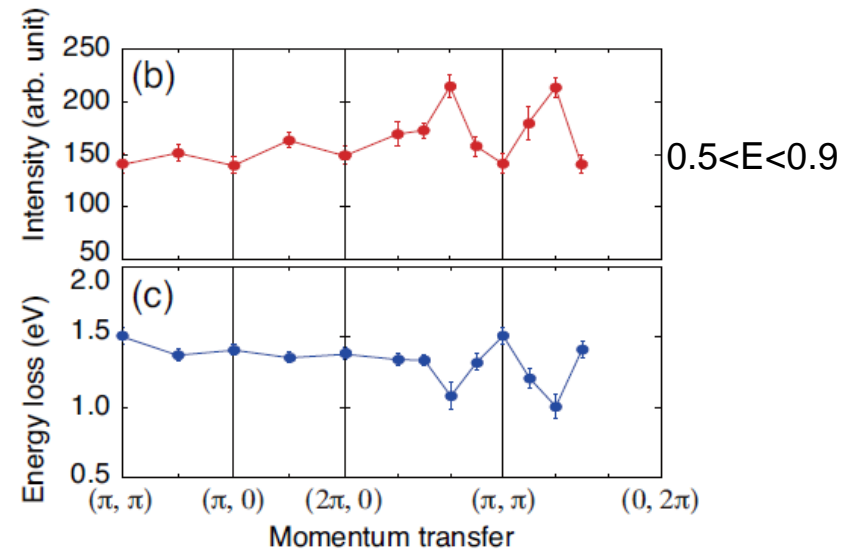
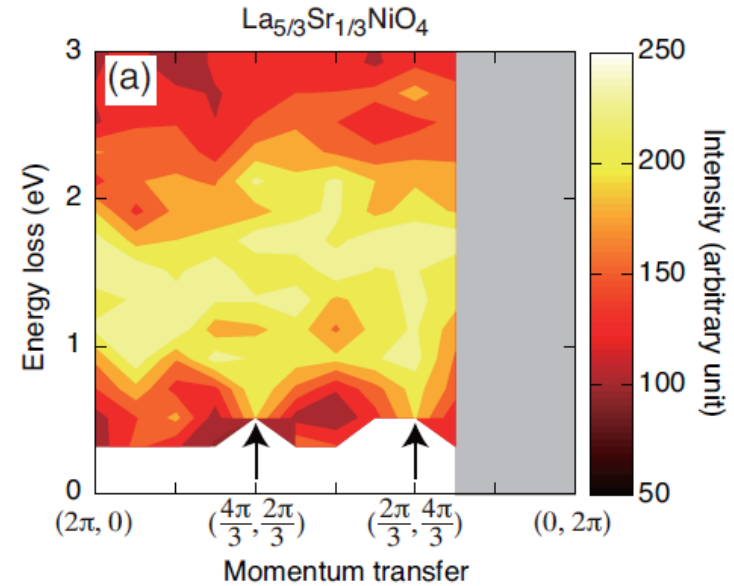
LSCO

First Observation of charge excitation from charge stripe!?



$T_S \sim 180$ K

~1.5 eV: valence \rightarrow in-gap band
~4.5 eV: across the CT gap

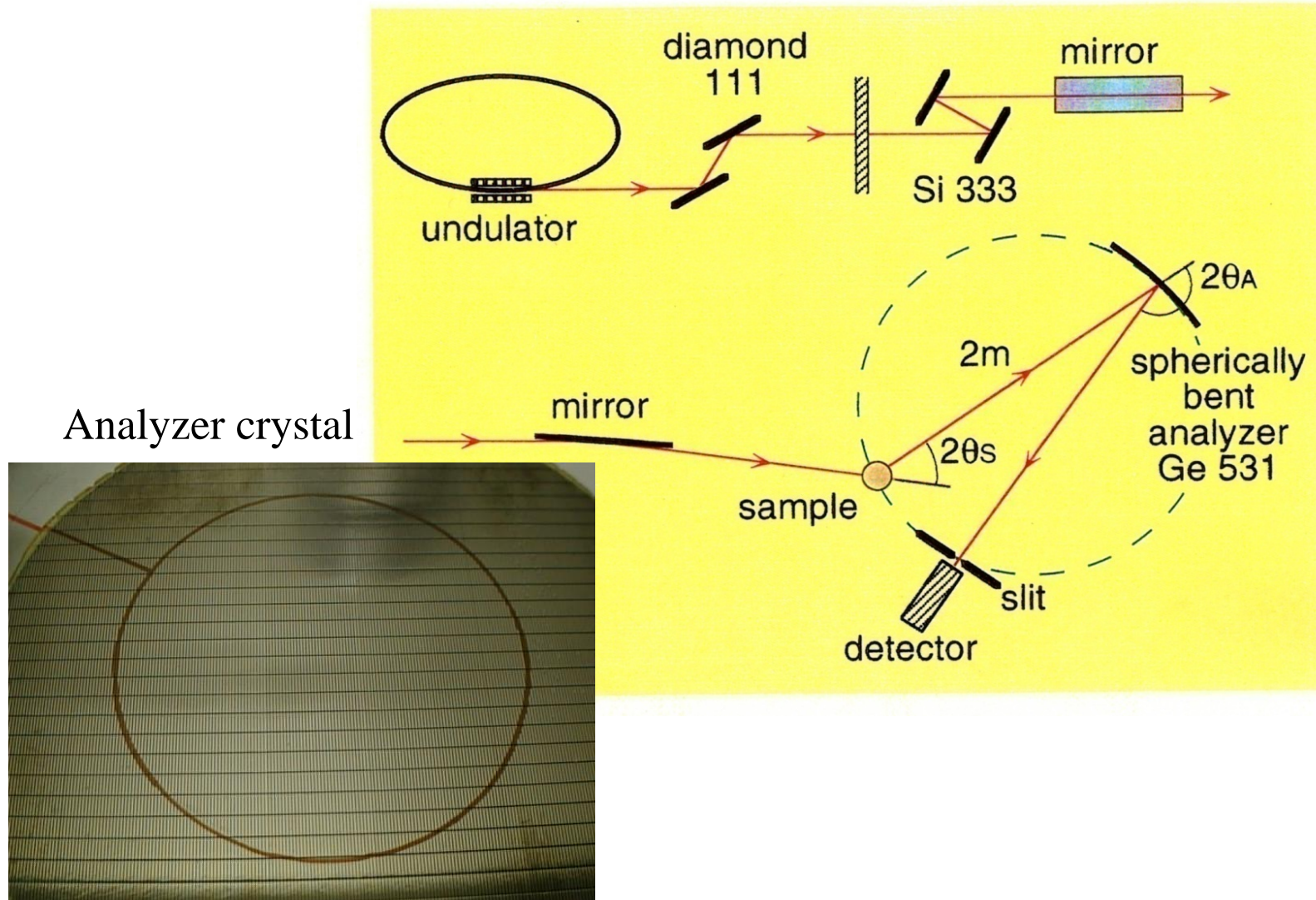


Candidates of the analyzer crystals and their planes for transition metals

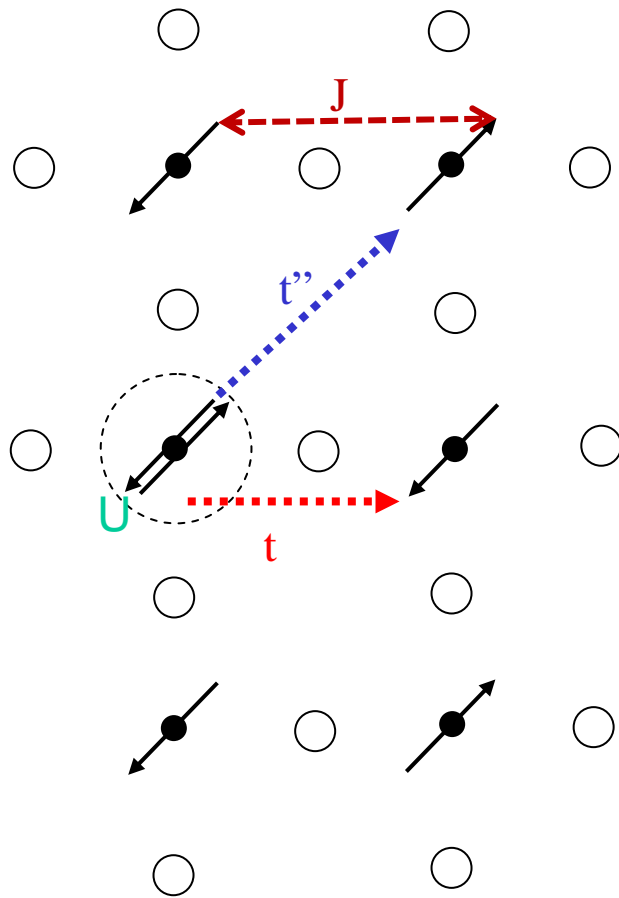
	Si			Ge		
	(hkl)	θ_B	ΔE_{meV}	(hkl)	θ_B	ΔE_{meV}
Cr 5989 eV	(511)	82.27		(511)	72.09	
	(333)		55.5	(333)		106.9
Mn 6539 eV	(440)	81.16		(531)	82.4	
			67.9			84.7
Fe 7112 eV	(531)	71.82		(620)	77.0	
			46.0			103.5
Co 7709 eV	(533)	76.3		(444)	80.3	
			37.0			70.7
Ni 8333 eV	(511)	78.1		(642)	79.7	
	(711)		30.9			65.6
Cu 8979 eV	(553)	77.62		(733)	87.3	
	(731)		26.5			35

Intrinsic energy resolution →

Bottle neck for getting high resolution



Charge dynamics in strongly
Correlated electron systems



-Excitation across Mott/charge transfer gap

$$U: \Delta E \sim 0.5\text{eV}$$

-Excitation within bands across the Fermi level

$$t: \Delta E \sim 0.1\text{eV}$$

-Excitations related to the Spin degree of freedom

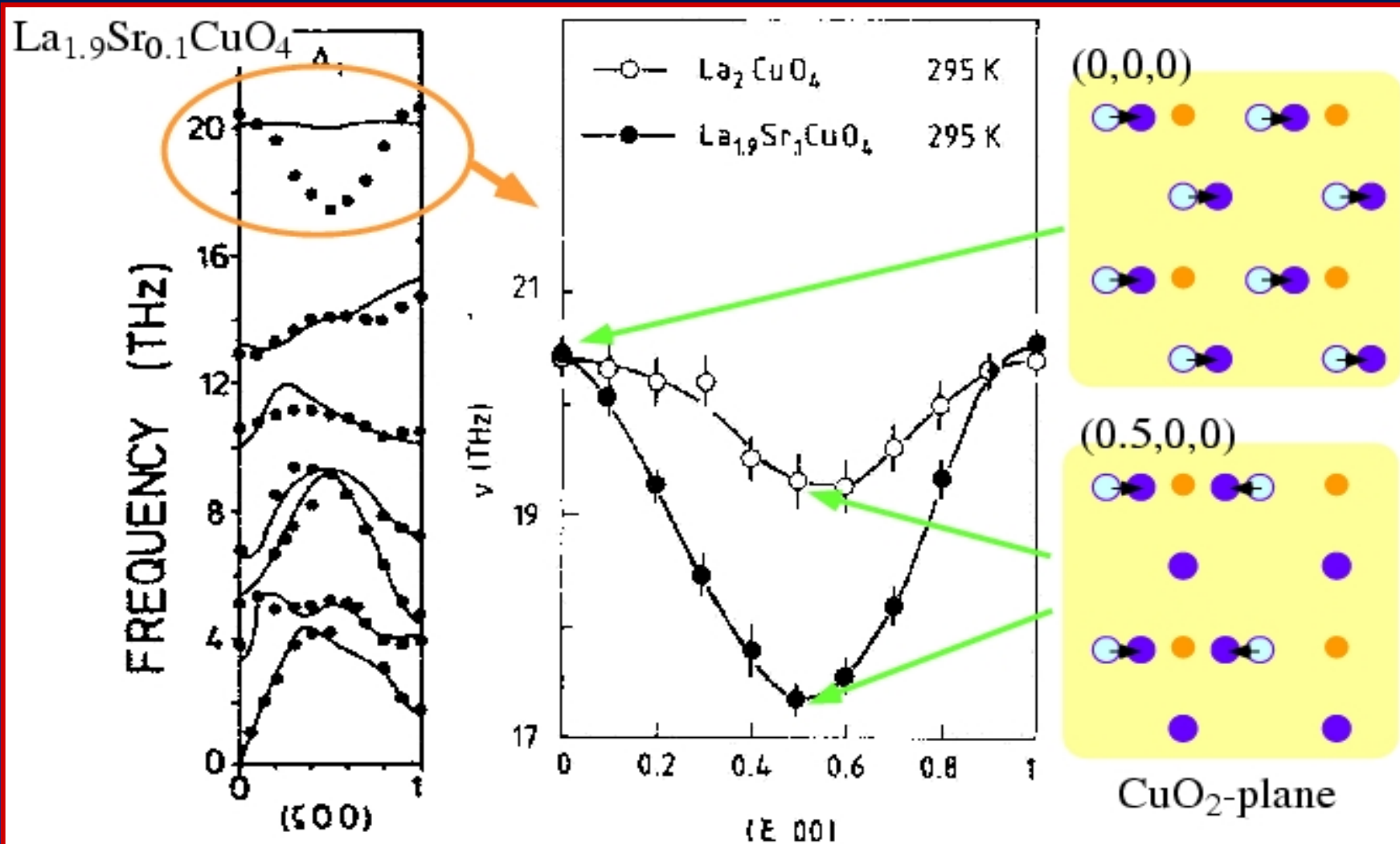
$$J: \Delta E \sim 0.05\text{eV}$$

What does phonon play a role on
superconducting?

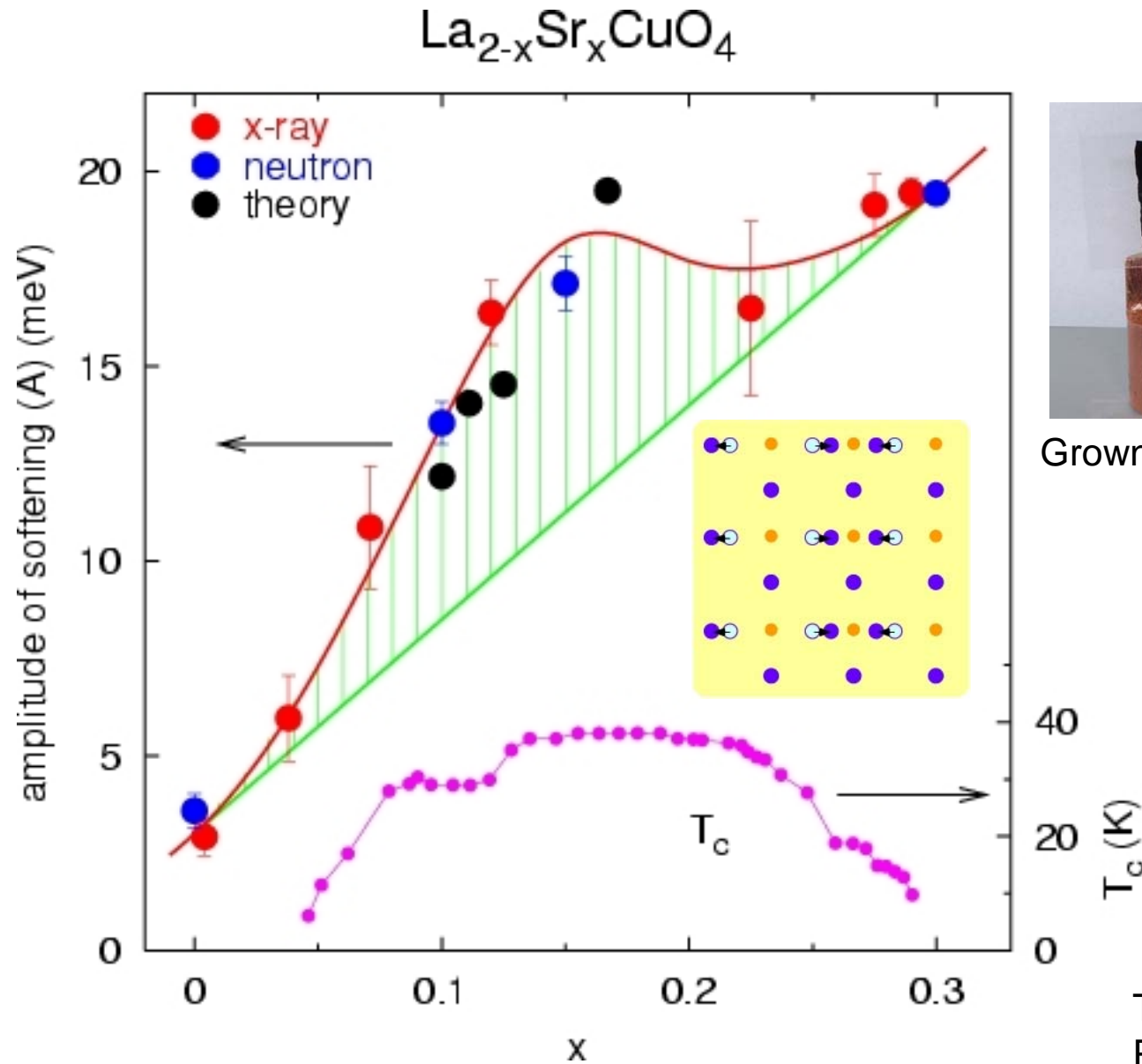


Anomalous dispersion of LO Cu-O bond stretching phonon modes observed by Neutron inelastic scattering

(Pintschovius et al., Physica B 174 (1991) 323)



Observation of the LO phonon anomaly



Grown by K. Ikeuchi

T. Fukuda, J. M, et al.,
P. R. B. 71 ('05) 06050(R)

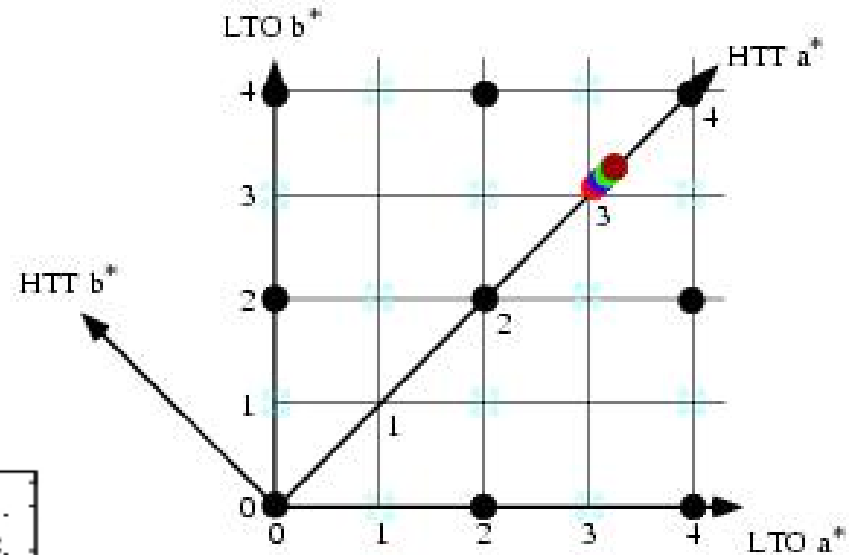
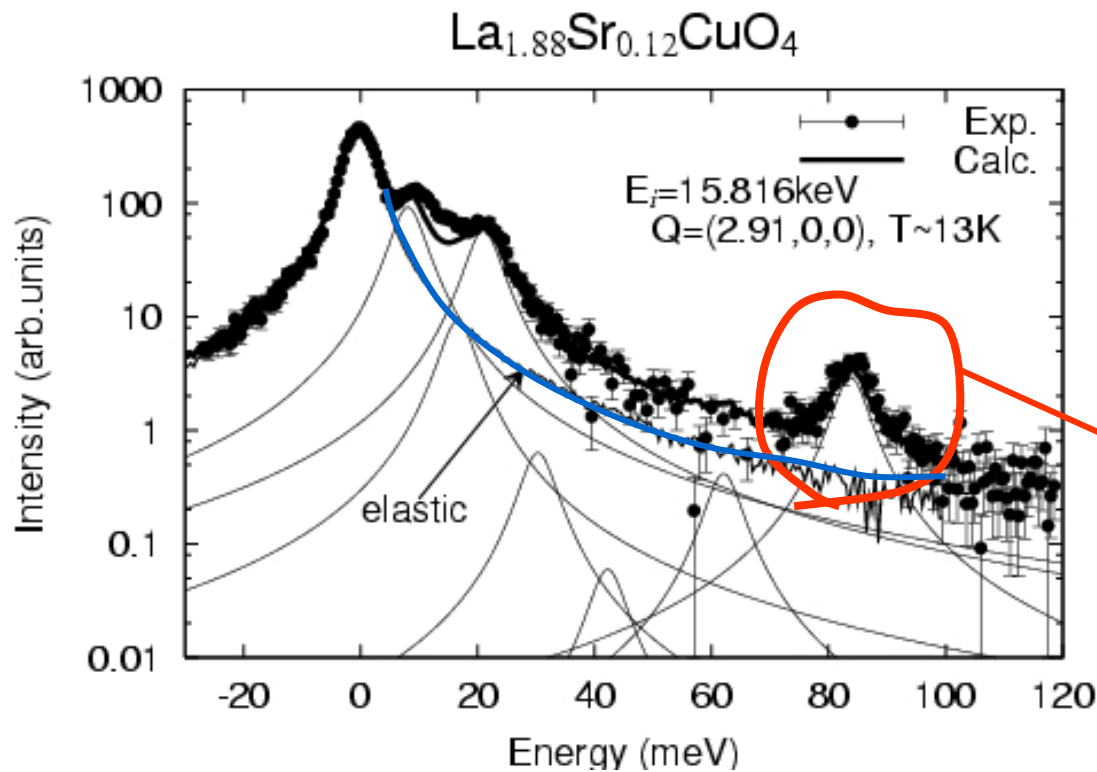
Phonon observed by inelastic x-ray scattering is not only phonon, but also elementary excitation of electrons



Raw data taken at BL-35XU, SPring-8

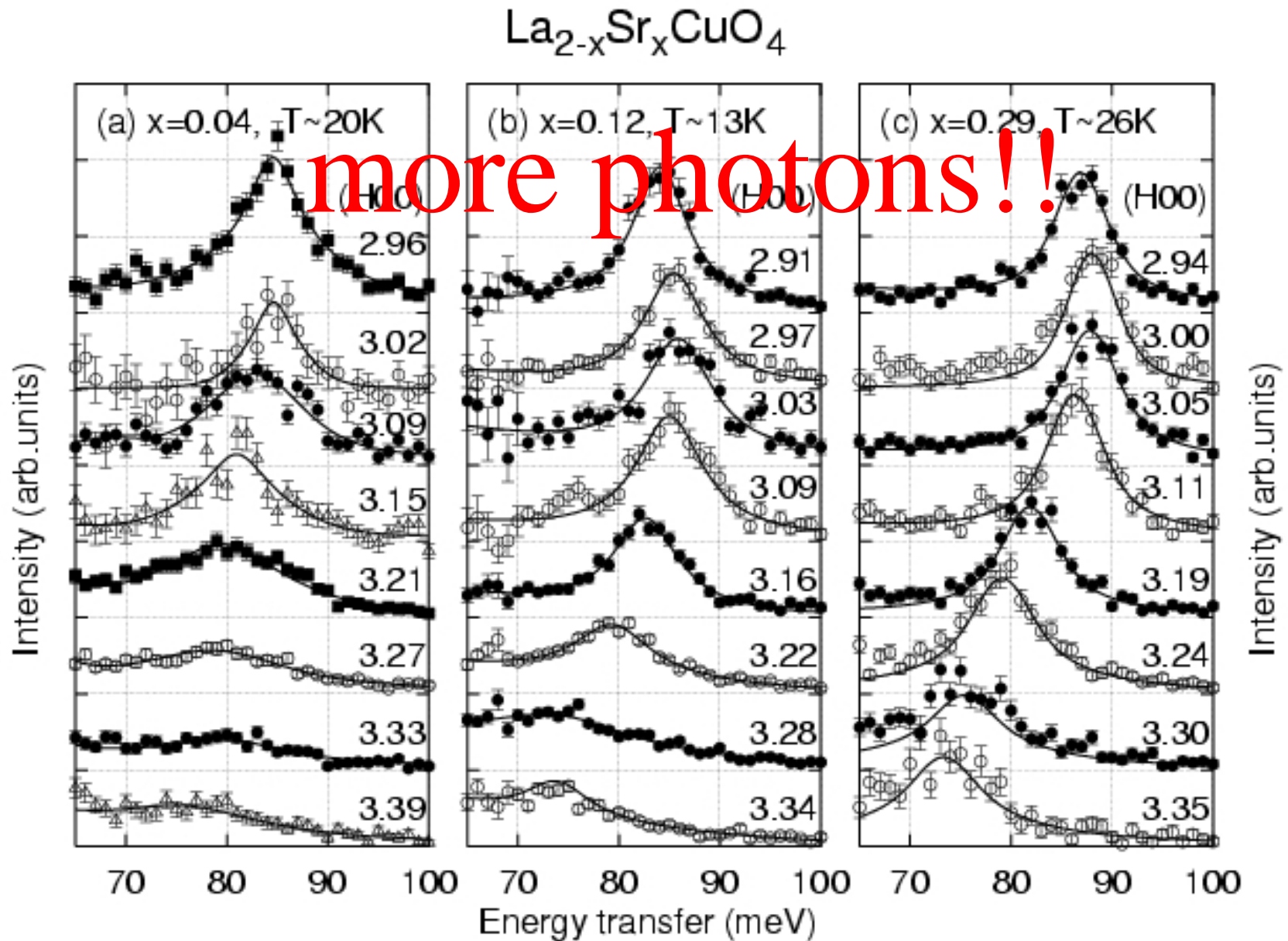
3×10^{10} photons/sec in $\Delta E=4$ meV at 15.816 keV
 ($\sim 100 \mu\text{m}$ spot size)

Const. Q energy scan



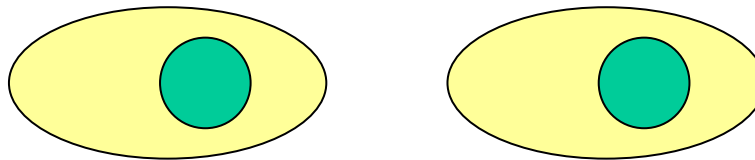
Cu-O LO bond-stretching phonon

Comparison between X=0.04 and X=0.29

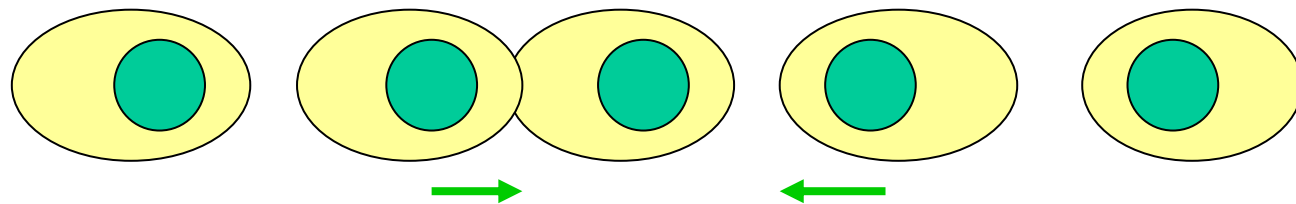


Dynamical Dielectric Function $\epsilon(Q, \omega)$ consists of two parts:

1. Polarization properties of electrons in a field of rigid lattice.



2. Contribution of oscillating ions. (phonon)



Dynamical structure factor of IXS in the phonon energy region

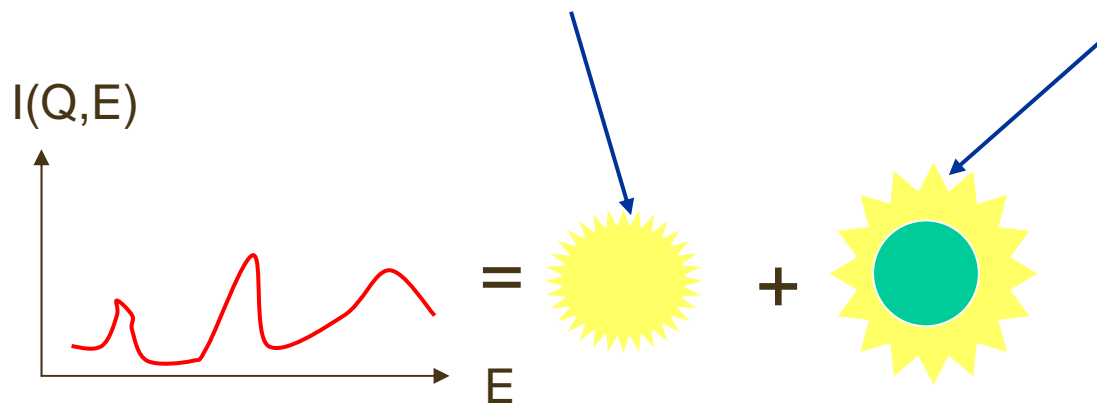
$$\varepsilon(Q, \omega) = \varepsilon_{\text{el}}(Q, \omega) + \varepsilon_{\text{ion}}(Q, \omega) - 1$$



$$\chi(Q, \omega) = -(Q^2/4\pi^2N) 1/\varepsilon(Q, \omega)$$



$$I(Q, \omega) = F(\varepsilon_{\text{el}}) + G(\varepsilon_{\text{el}}) \cdot H(\varepsilon_{\text{ion}})$$



Vibronic mechanism of high- T_c superconductivity

M. Tachiki et. al.,

$$\epsilon(\mathbf{q}, \omega) = \epsilon_{el}(\mathbf{q}, \omega) + \epsilon_{ion}(\mathbf{q}, \omega) - 1.$$

$$\epsilon_{ion}(\mathbf{q}, \omega) = \frac{\omega^2 - \omega_{LO}^2}{\omega^2 - \omega_{TO}^2},$$

ω_{LO} : LO ph. in insulating state
 ω_{TO} : TO ph. in insulating state

$$I(\mathbf{q}, \omega) = -\frac{1}{\pi} \text{Im} \left[\frac{1}{\epsilon(\mathbf{q}, \omega)} \right]$$

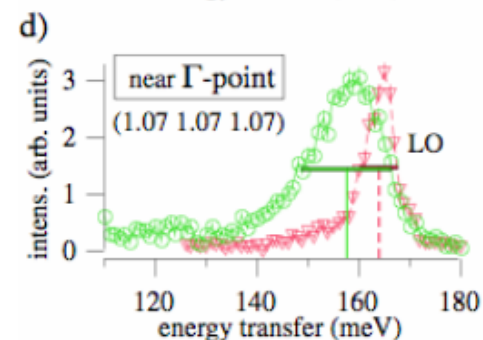
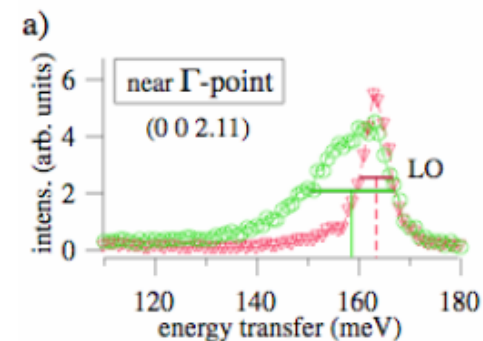
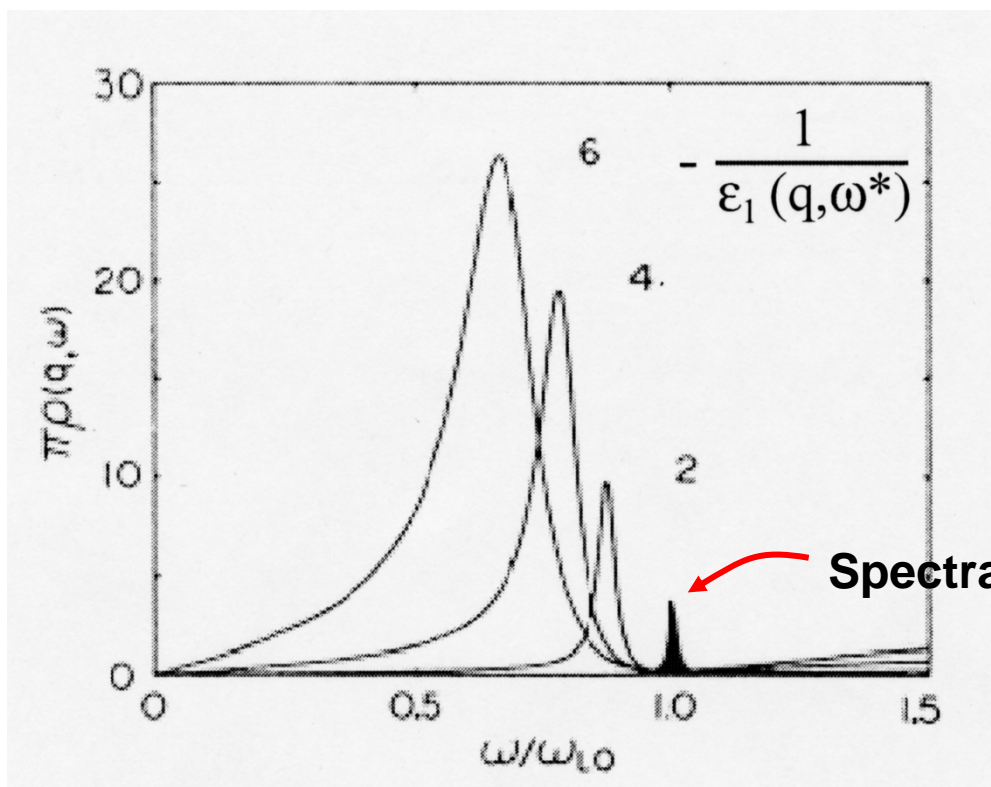
ω_{LO}^* : LO ph. softened by mixing with charge oscillations

$$= -\frac{1}{\pi} \text{Im} \left[\frac{1}{\epsilon_{el}(\mathbf{q}, \omega)} \right] + \frac{\omega_{LO}^2(\mathbf{q}) - \omega_{TO}^2}{\epsilon_{el}(\mathbf{q}, \omega_{LO}^*)^2} \delta(\omega^2 - \omega_{LO}^{*2}),$$

electric part

(electric + phonon) part

Spectral intensities of the charge-transfer oscillations associated with the LO phonon as functions of the normalized ω for several values of $1/\varepsilon_1(q, \omega^*)$



Spectral intensity of the bare LO ph.

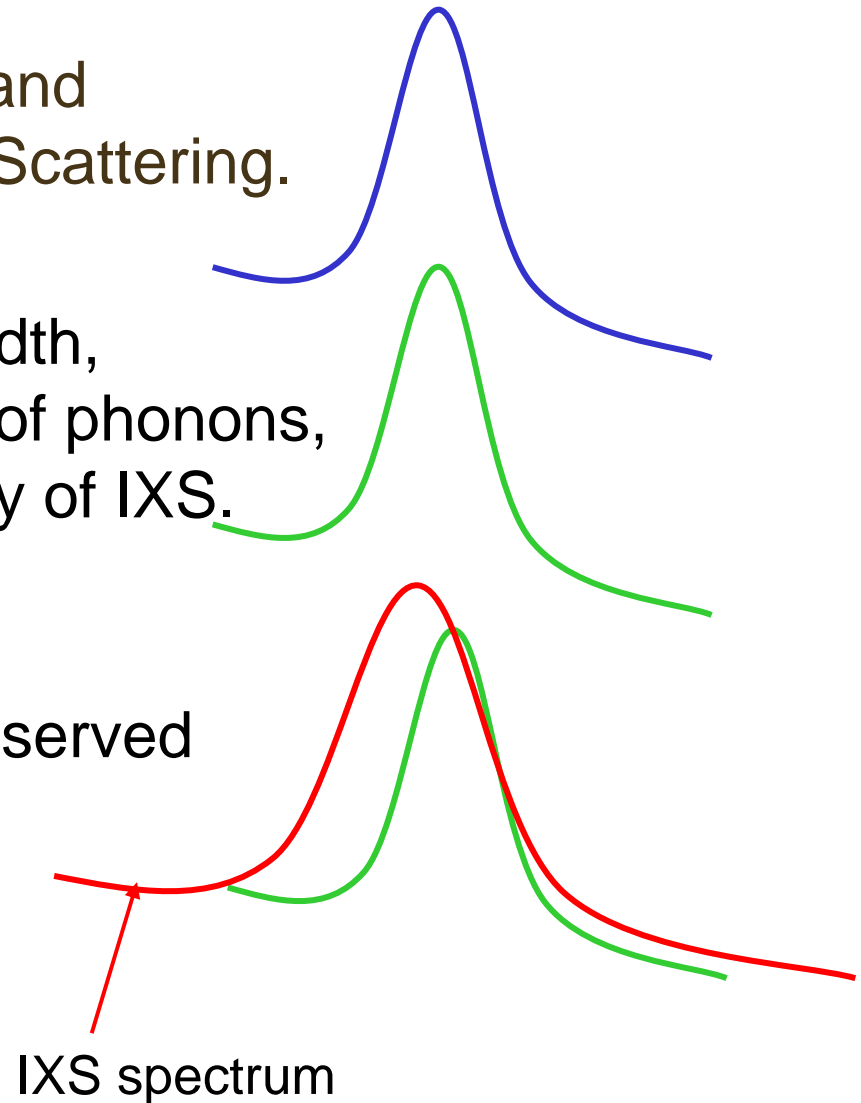
M. Tachiki and S. Takahashi, P. R. B. 38 ('88) 218.

How to get the information on electric dynamical function

1. Measure the phonon spectrum and dispersion by Neutron Inelastic Scattering.

2. Derive the information on the width, eigenvector and structure factor of phonons, and calculate the phonon intensity of IXS. (C-IXS)

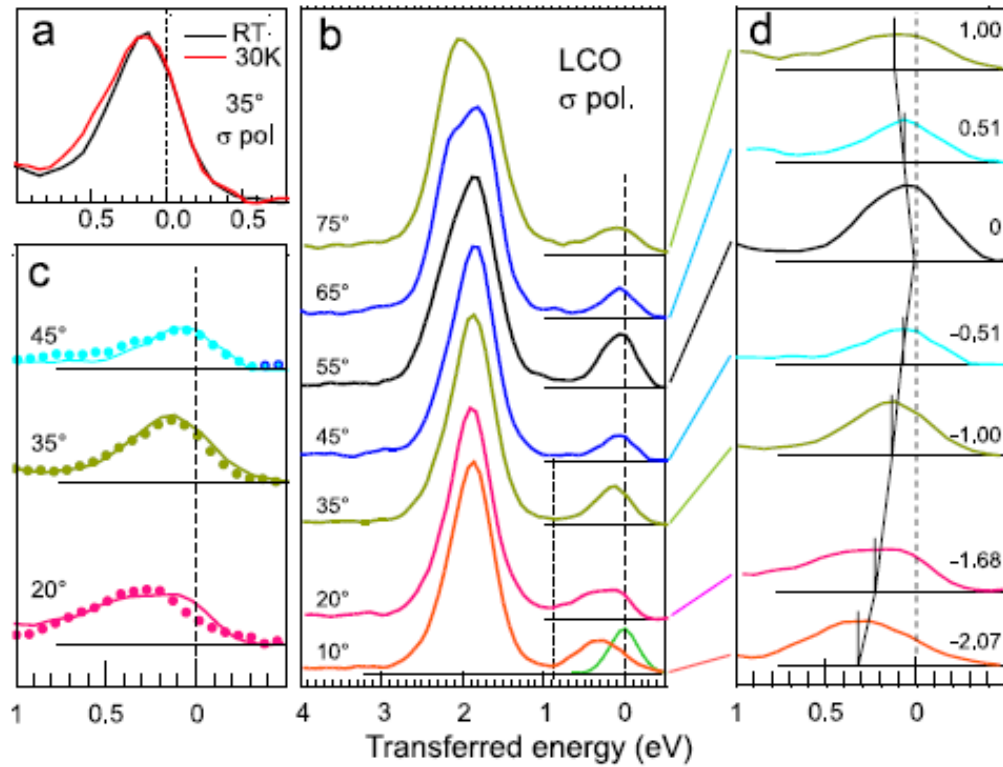
3. Subtract the C-IXS from the observed IXS spectrum.



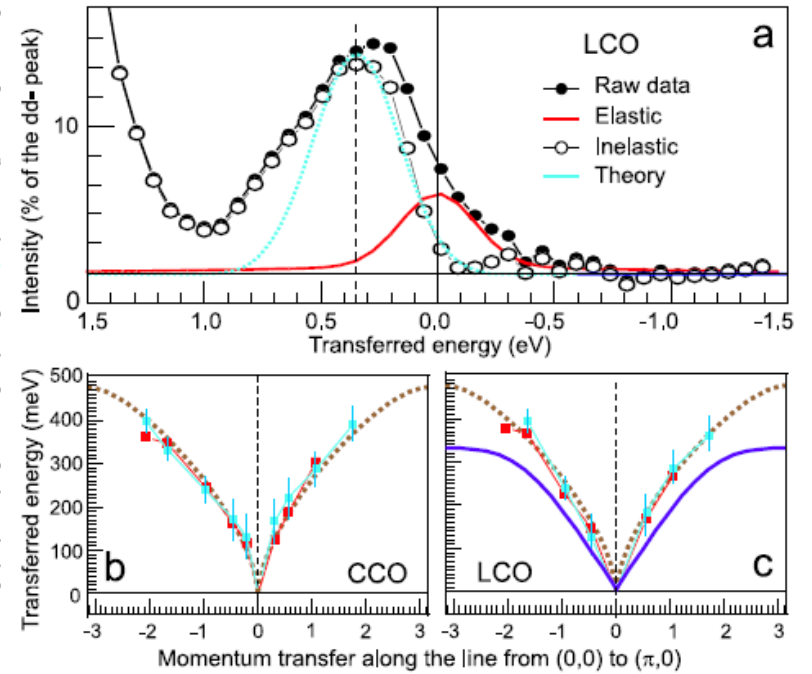
Magnetic excitations observed by RIXS



La₂CuO₄

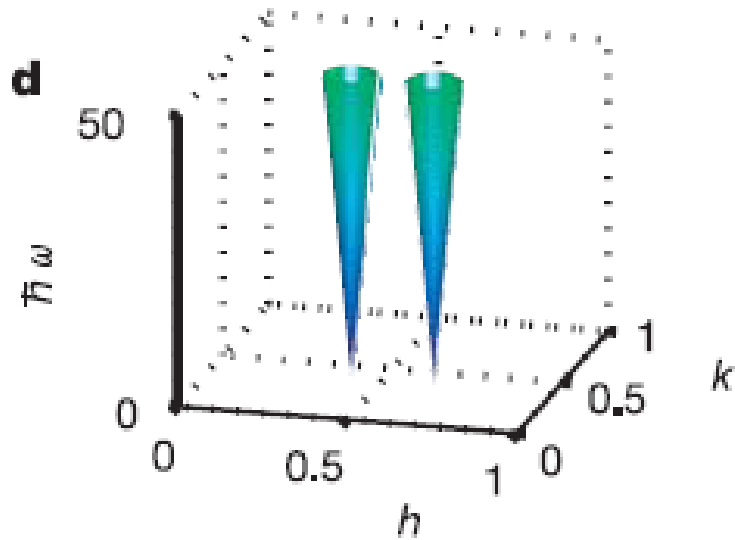


Magnon Dispersion



L. Braicovich et al., PRL 102 ('09) 167401

Neutron experiment



J. M. Tranquada, et al., Nature 429('04)534

Theory

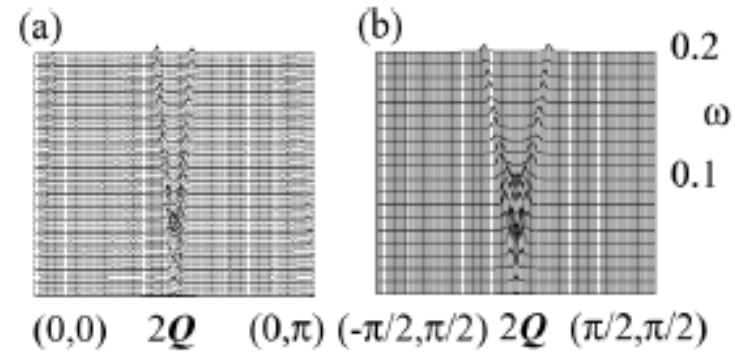


FIG. 1. Dispersion curves of the charge collective mode around $2Q$. We plot $\text{Im}\chi_{nn}(\mathbf{q}, \omega)$ for two paths along $2Q$ (a) and perpendicular to $2Q$ (b).

E. Kaneshita, et al., P. R. L. 88('02) 115501