

Development of Optical Systems for Hard X-rays at **SPring-8**

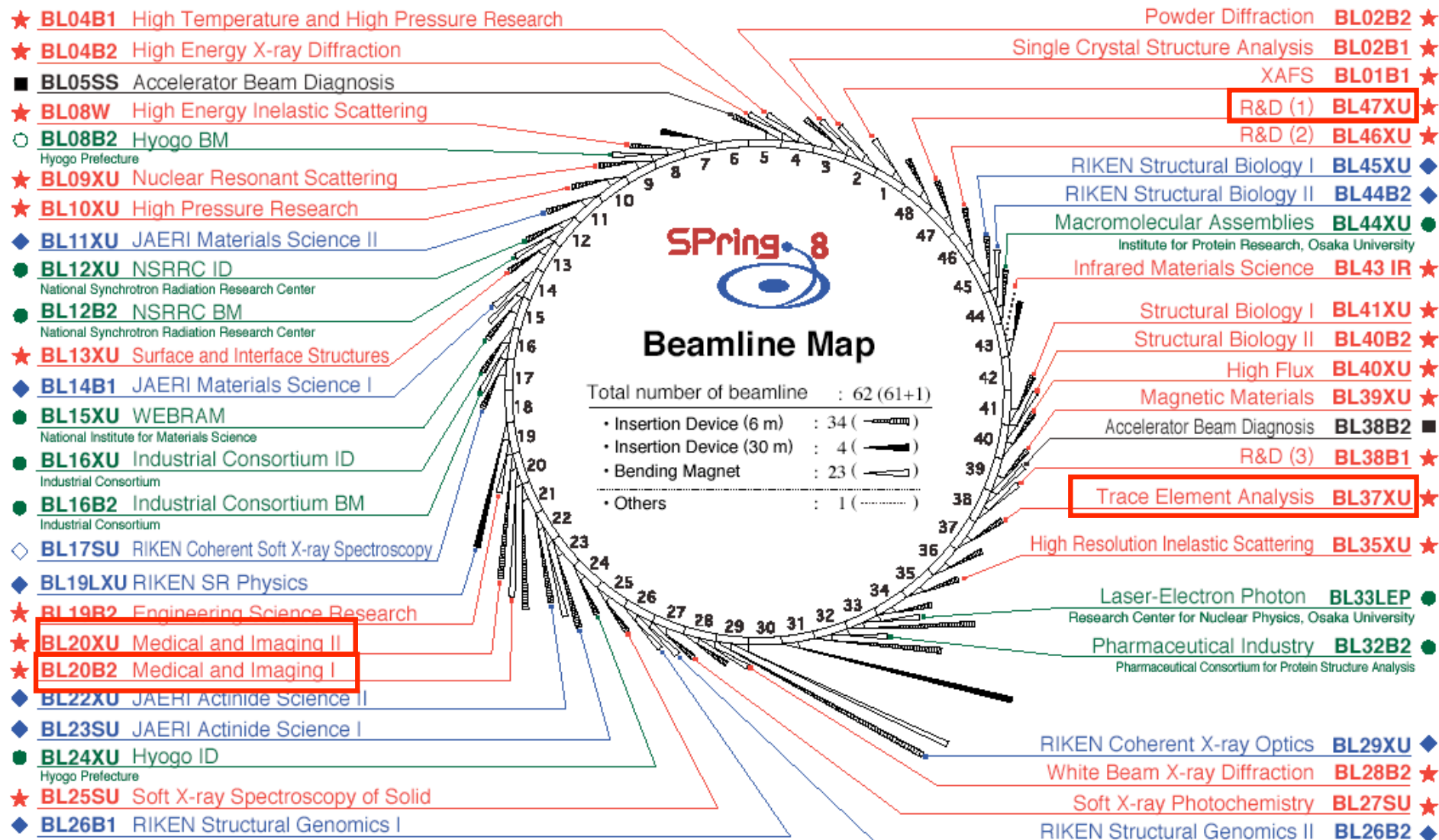
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NTT Advanced Technology

Development of Hard X-ray Optics at SPring-8

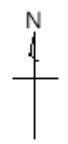
- 1. Beamline configurations,**
- 2. Fresnel zone plate optics**
Micro- & nano-beam generation and characterization,
Imaging microscopy and tomography applications,
Holography and phase-contrast imaging,
Use of quasi-monochromatic (direct undulator) radiation,
- 3. Total reflection mirror optics,**
- 4. Sputtered-sliced zone plate optics,**
- 5. Refractive lens optics,**
- 6. Theory of resolution limit.**



BL: Beamline
 B1, B2: Bending Magnets
 XU: X-ray Undulator
 SU: Soft X-ray Undulator
 W: Wiggler
 IR: Infrared Radiation
 LEP: Laser-Electron Photon
 LXU: Long-length Undulator
 SS: Straight Section

WEBRAM: Wide Energy range Beamline for Research in Advanced Materials
 NSRRC: National Synchrotron Radiation Research Center

★ : Public Beamlines
 ● : Contract Beamlines
 ◆ : JAERI or RIKEN Beamlines
 ■ : Accelerator Beam Diagnostic Lines
 ☆ ○ ◇ □ : Planned or Under construction



April 1, 2004

Overview of Beamlines

BL20XU (Coherent optics, **Microbeam**, Holography, Interferometer, etc.)
Undulator, Medium Length (248 m) beamline.

BL20B2 (Computer Tomography, Topography, Medical Imaging, etc.)
Bending Magnet, Medium Length (215 m).

BL37XU (**Fluorescent X-ray Micro-analysis**)
Undulator, Normal Length (50 m) beamline.

BL47XU (**Microbeam**, Imaging Microscopy & Micro-CT)
Undulator, Normal Length (50 m) beamline.

(BL28B2: BM white beam, topography, BL29XU: RIKEN, 1 km BL)

Energy range:

5-113 keV,

Spatial Coherence:

~ 1 mm @ 1Å (BL20XU),

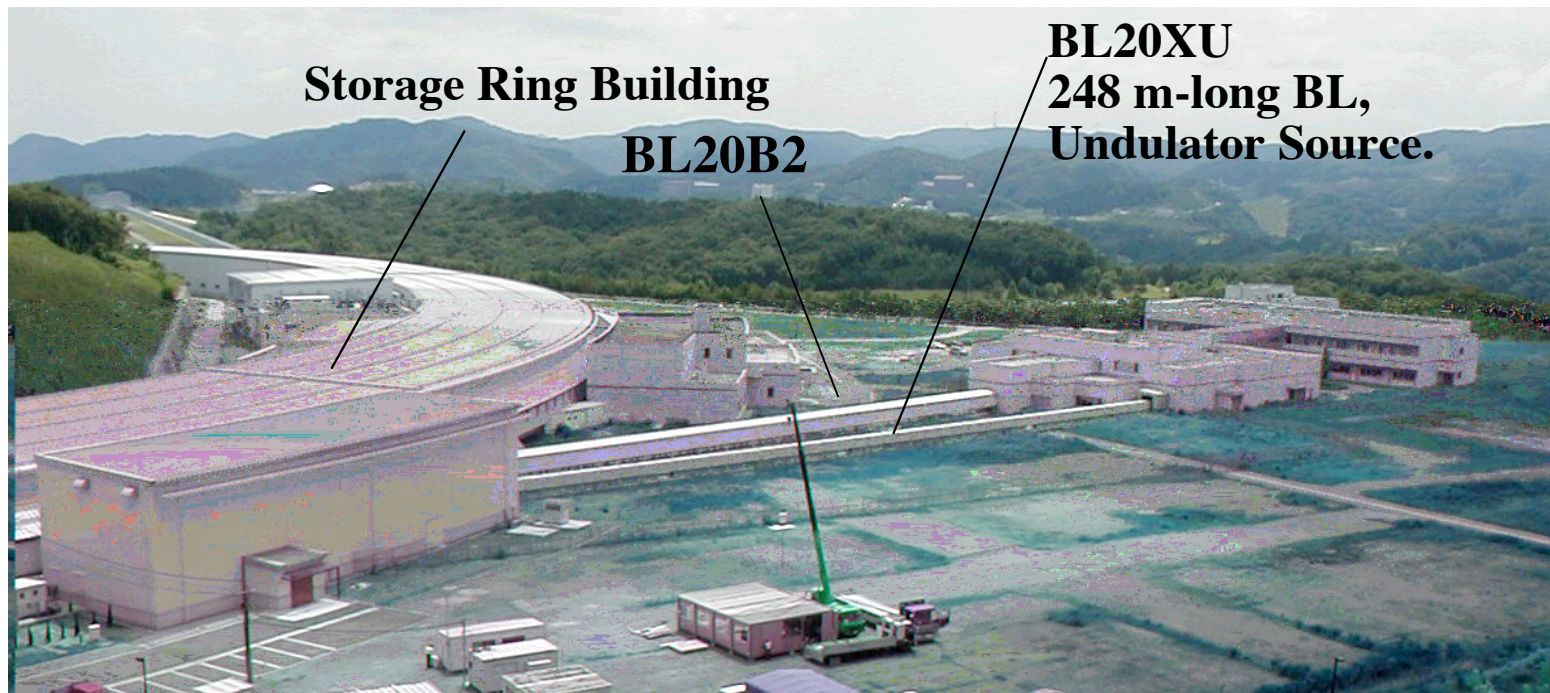
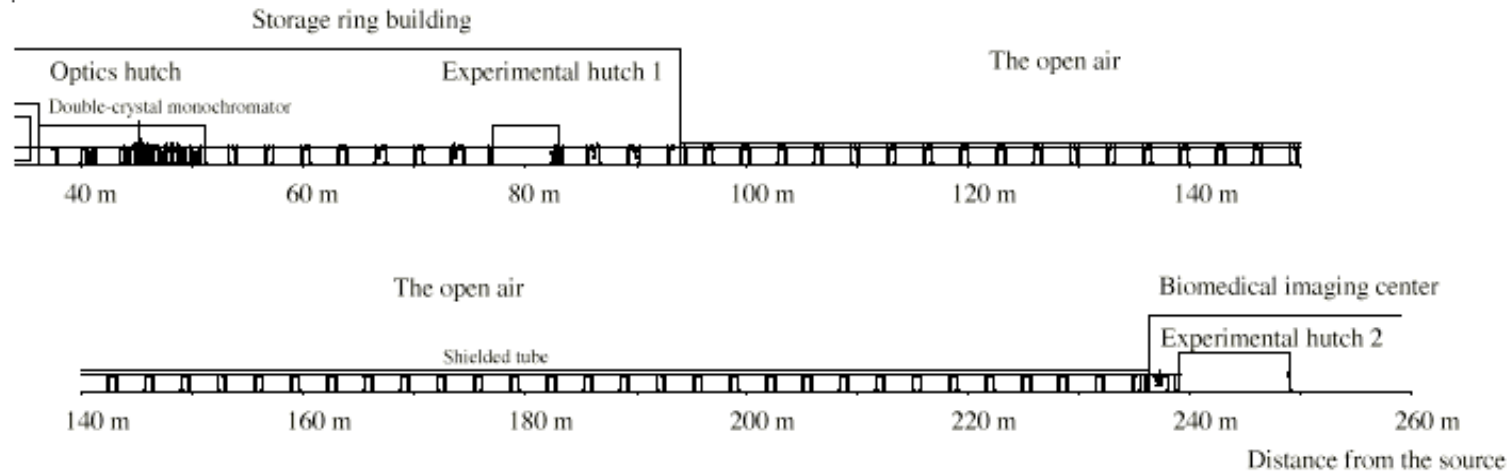
Beam Cross-section:

~ 30 nm (micro-focus) - 300 mm (215 m-beamline with BM source).

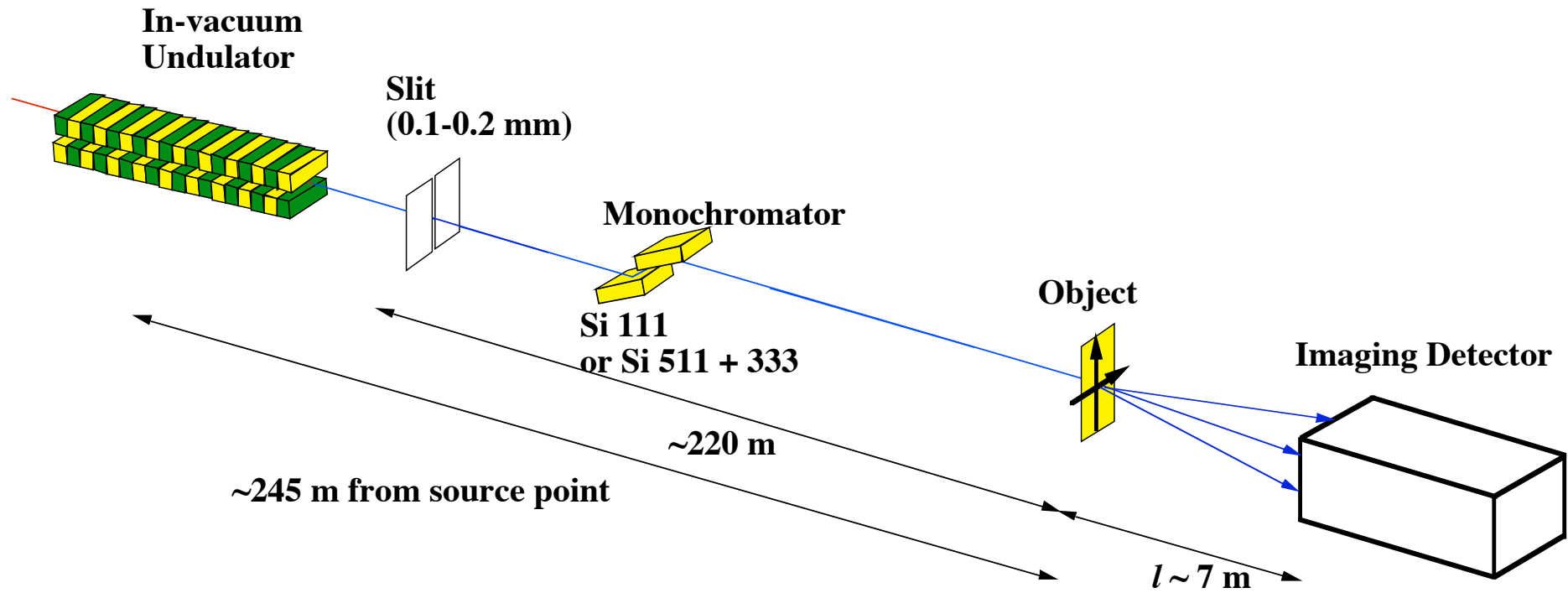
Flux Density:

~ 10E14 photons/s/mm² (direct beam),

> 10E11 photons/s/μm² (micro-focus).

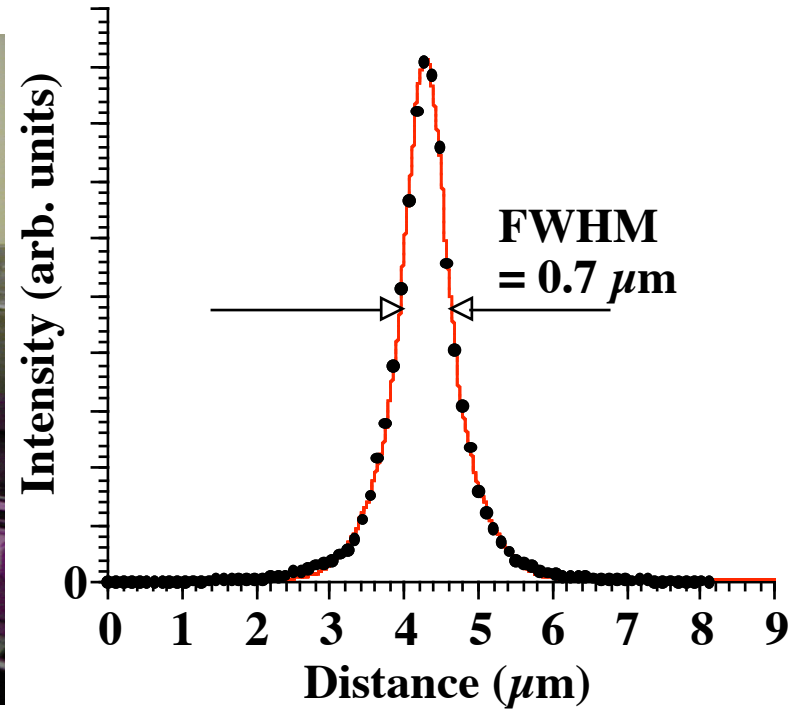


248 m-long Beamline, BL20XU, at SPring-8



Experimental setup

Application of Coherent X-ray Beam -X-ray In-line Holography -



Measured Point-spread-function

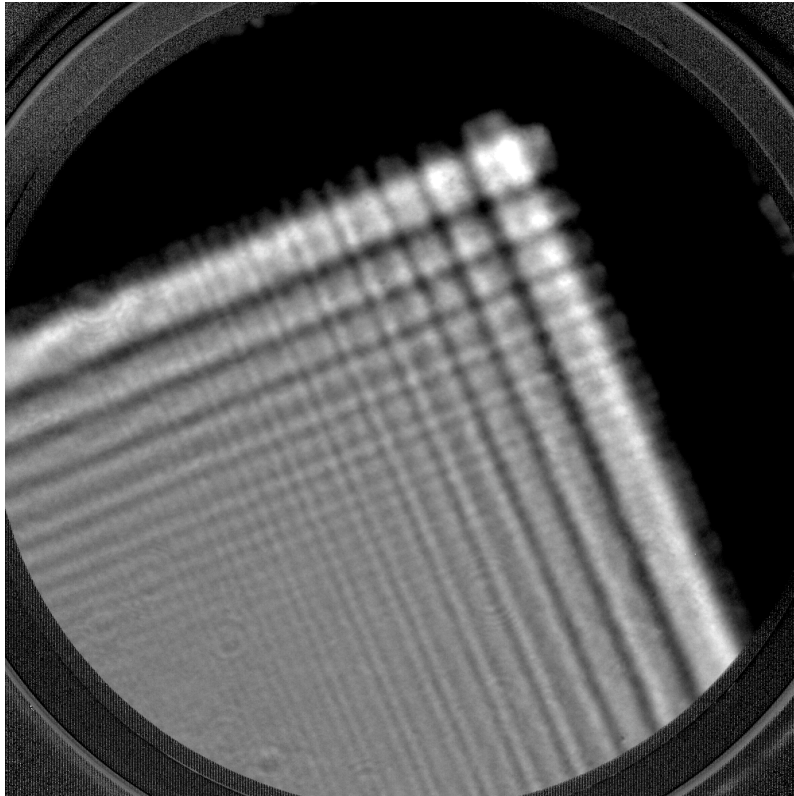
"Zooming Tube"

Hamamatsu Photonics C5333

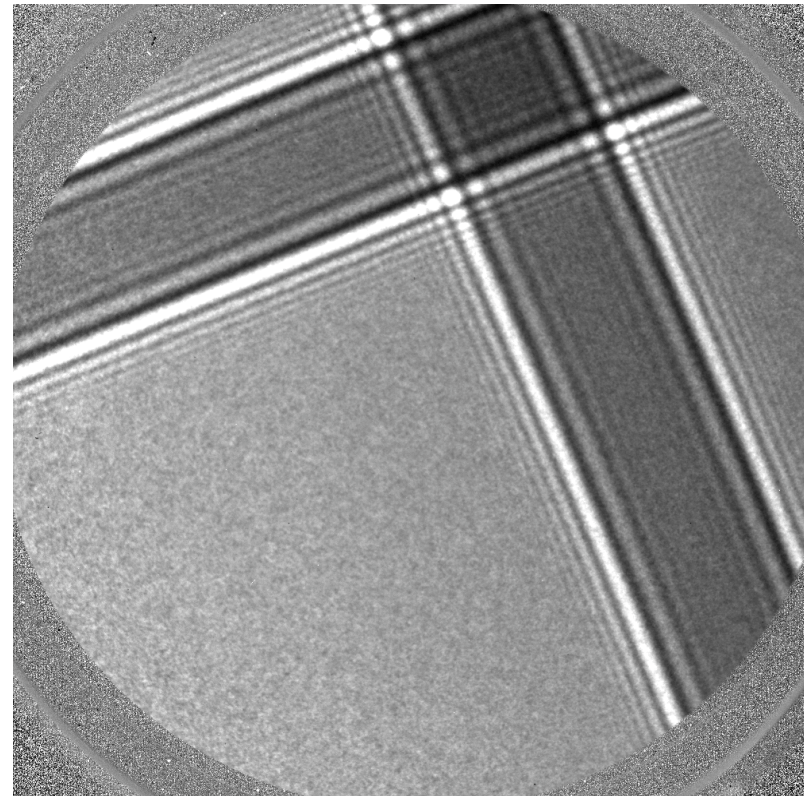
Photo-cathode: CsI ($\sim 2000\text{\AA}$),

Magnification: 5-240,

Resolution (point-spread-function): $0.7\ \mu\text{m}$ in FWHM.



EX-ray Energy: 8 keV

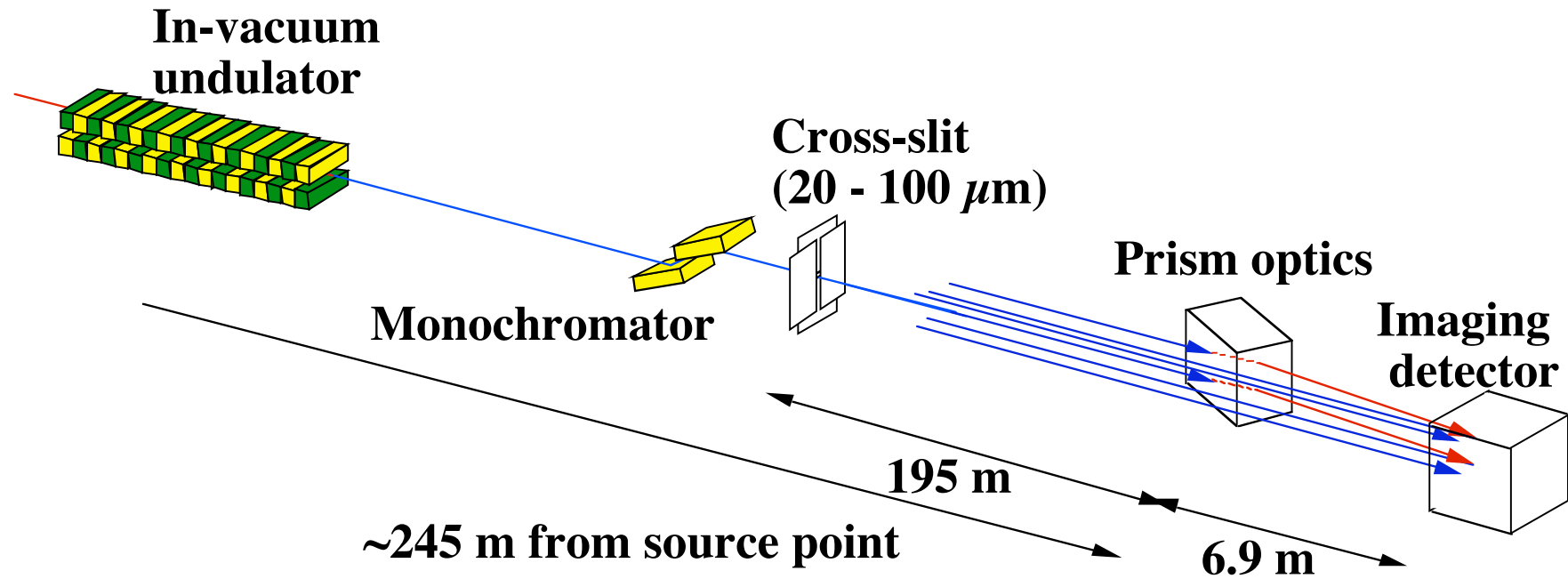


X-ray Energy: 80 keV

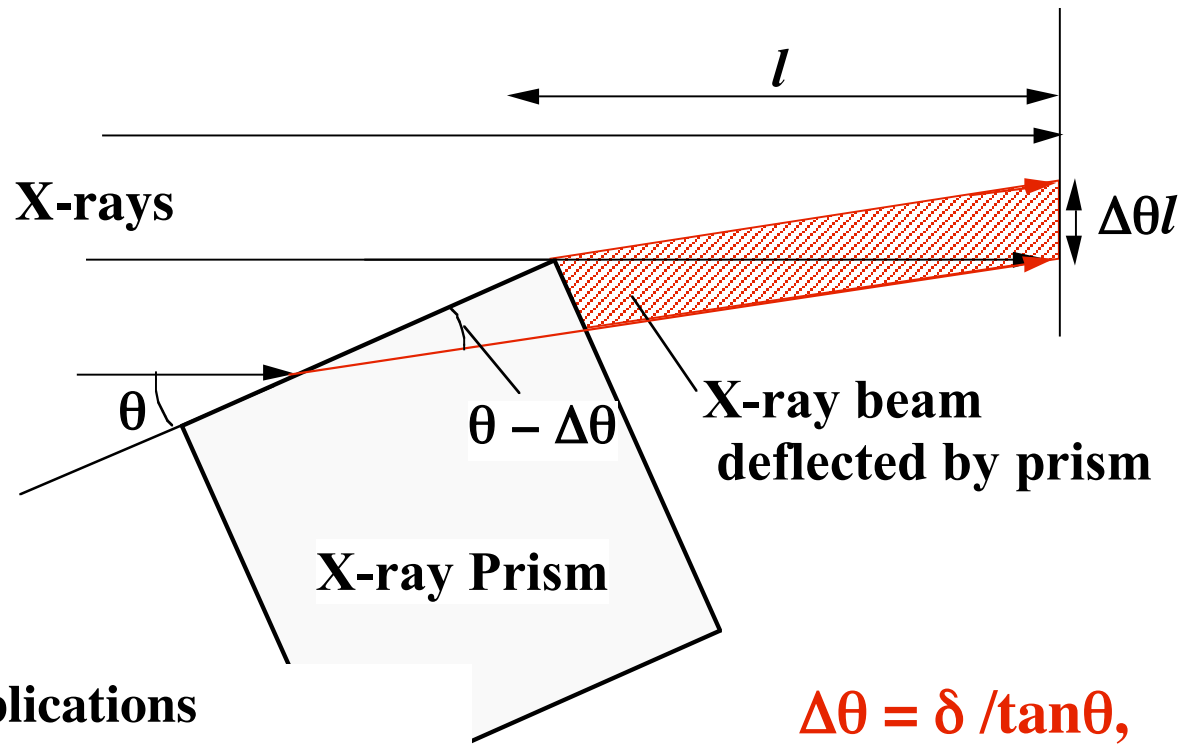
**Object: Gold Wire, 50 μm Diameter,
Imaging Detector: Zooming Tube, C5333, Hamamatsu Photonics,
Field of View: 300 μm in Diameter.**

Measured Hologram of Test Object

Measurement of X-ray Coherence using Two-beam Interferometer with Prism Optics



Schematic diagram of experimental setup at beamline 20XU of SPring-8



Possible Applications

1. **Two-beam Holography,**
2. **Phase Measurement,**
3. **Quantitative Measurement of Spatial Coherence,**
4. **Wavelength Filter, Harmonics Selection .**

$$\Delta\theta = \delta / \tan\theta,$$

$$\delta = 1.35 \times 10^{-6} \rho \lambda^2.$$

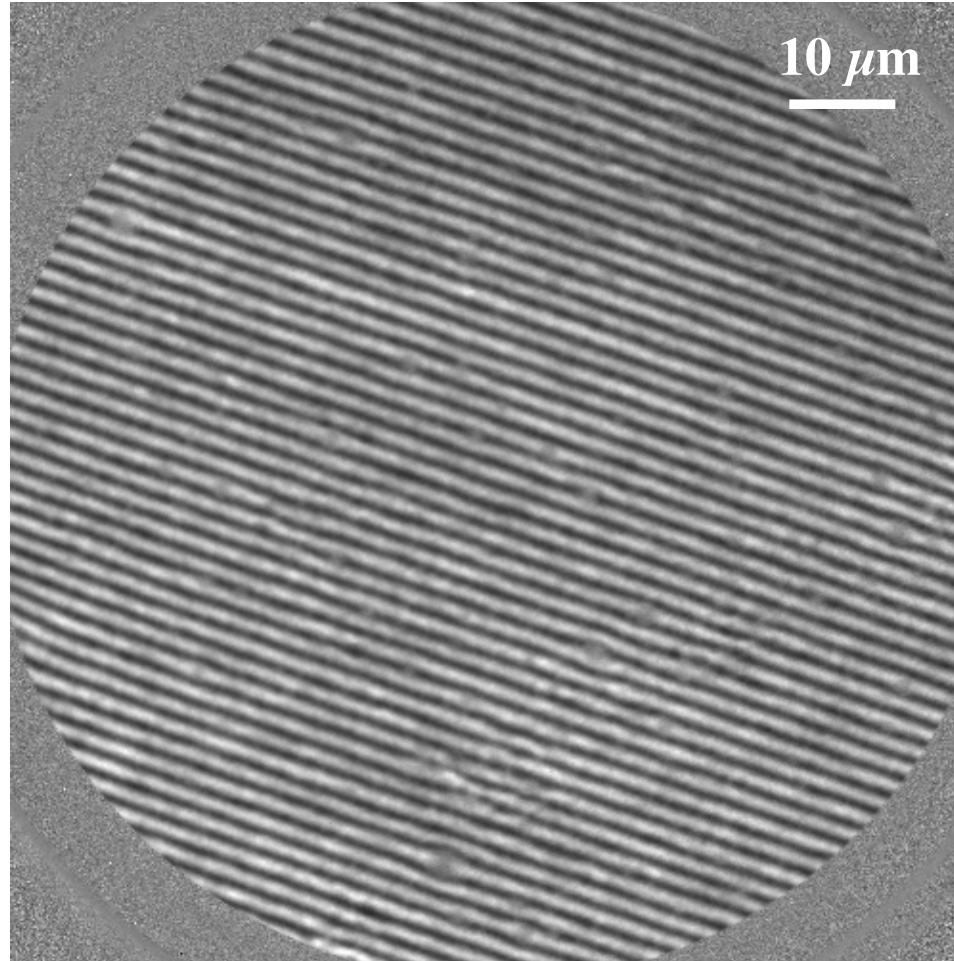
$$\Delta\theta = 2 \mu\text{rad},$$

for $\rho = 1.2, \lambda = 1\text{\AA}, \theta = 45^\circ.$

$$\Delta\theta = 46 \mu\text{rad},$$

for $\theta = 2^\circ.$

Optical system for two-beam interferometer with X-ray prism.



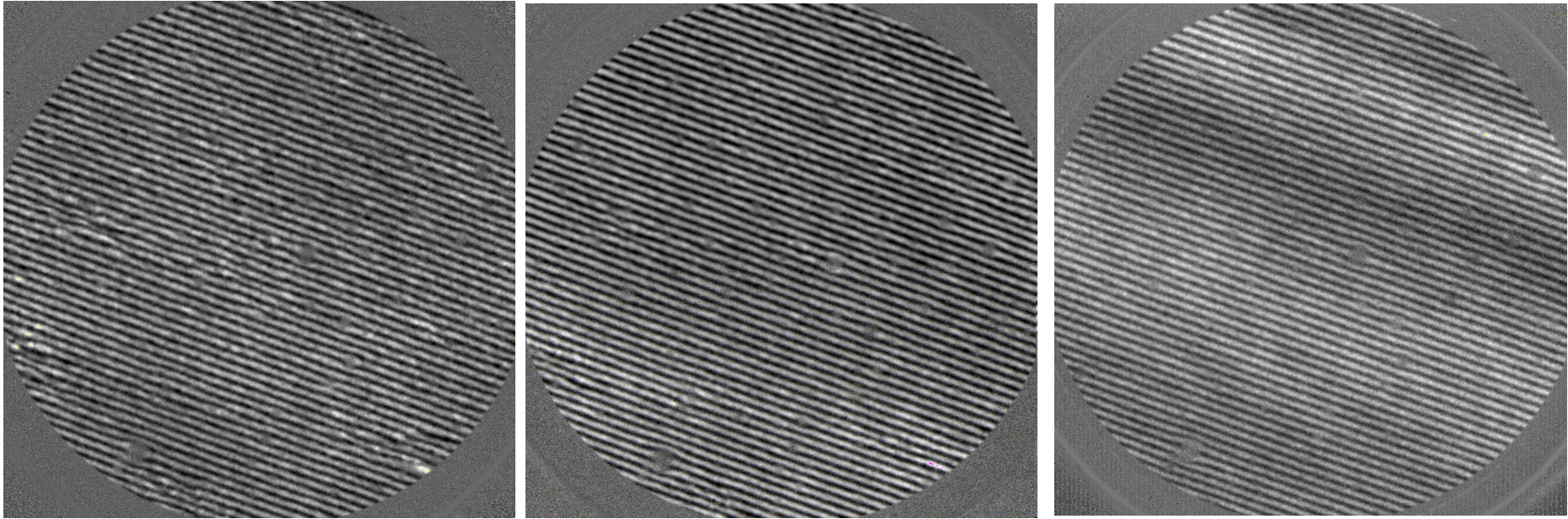
Typical interference fringe patterns measured at an X-ray wavelength of 1 Å.

Beam deflection angle: $\Delta\theta = 44 \mu\text{rad}$.

Slit dimensions: $18 \mu\text{m} \times 19 \mu\text{m}$.

Measured fringe spacing is $2.3 \mu\text{m}$.

Beam overlap is $300 \mu\text{m}$. Exposure time is 60 s.



(a) 1.5 Å and 3 degrees

(b) 1.0 Å and 2 degrees

(c) 0.5 Å and 1 degree

Interference Fringes measured with X-ray Zooming Tube.

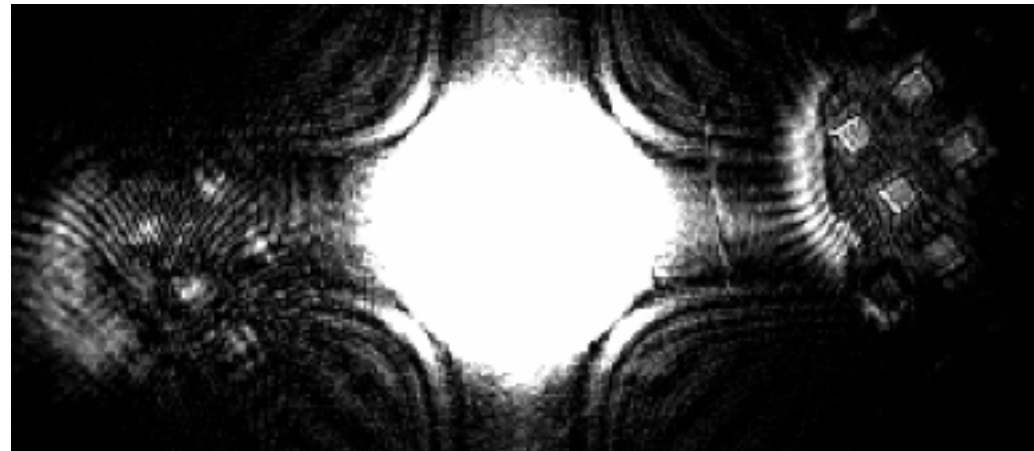
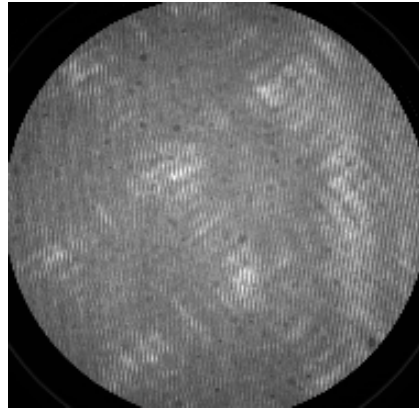
Prism to detector distance: 6.9 m,

Field of view : 100 μm in diameter,

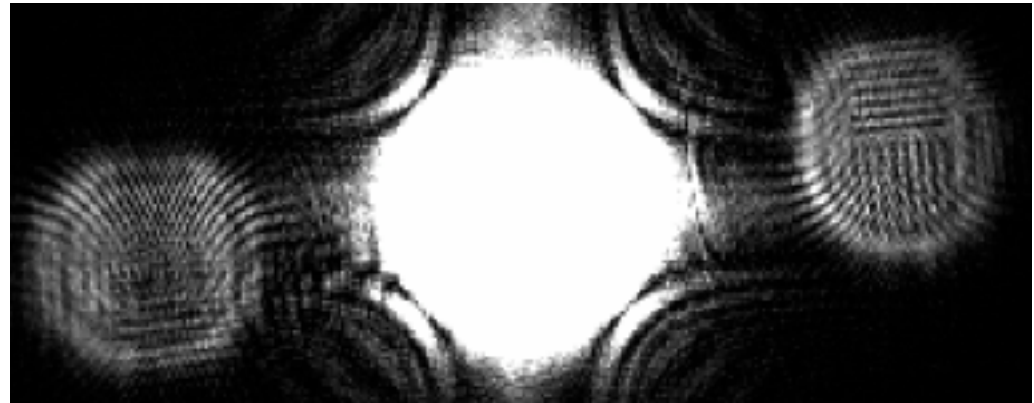
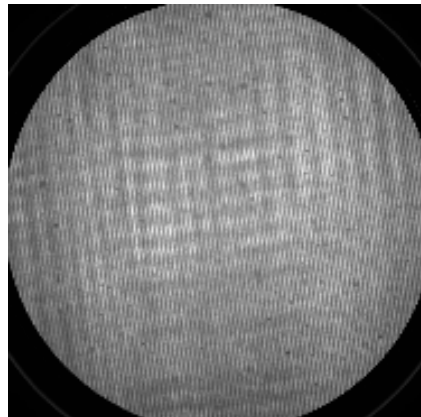
X-ray wavelength: 0.5, 1.0, and 1.5 Å,

Glancing angle to prism surface: 1.0, 2.0, and 3.0 degrees.

**Cu grid mesh
64 μm pitch**



**Test Patterns
5 μm L/S**

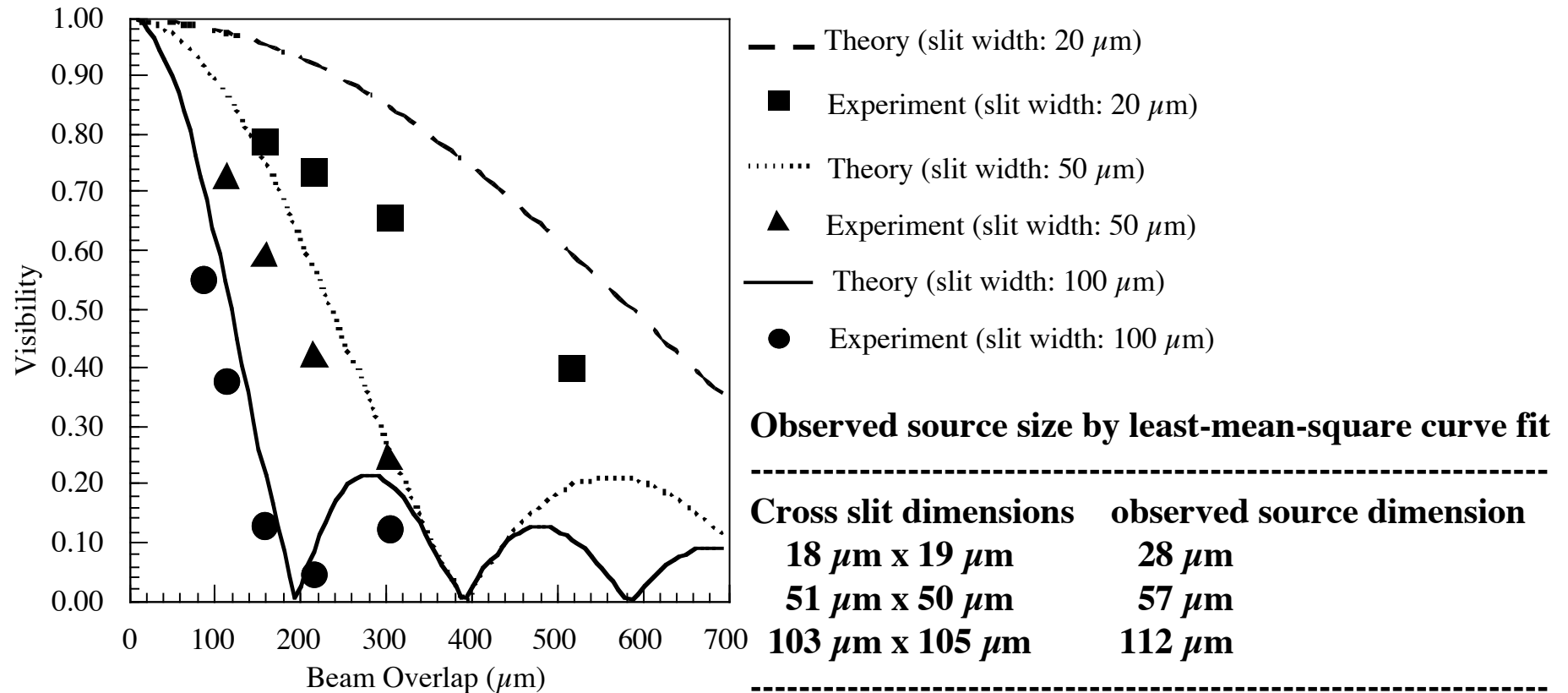


Hologram

Reconstructed Image

Leith-Upatnieks Type Two-beam Holography

$\lambda = 1.0 \text{ \AA}$, Sample to image detector: 6.7 m

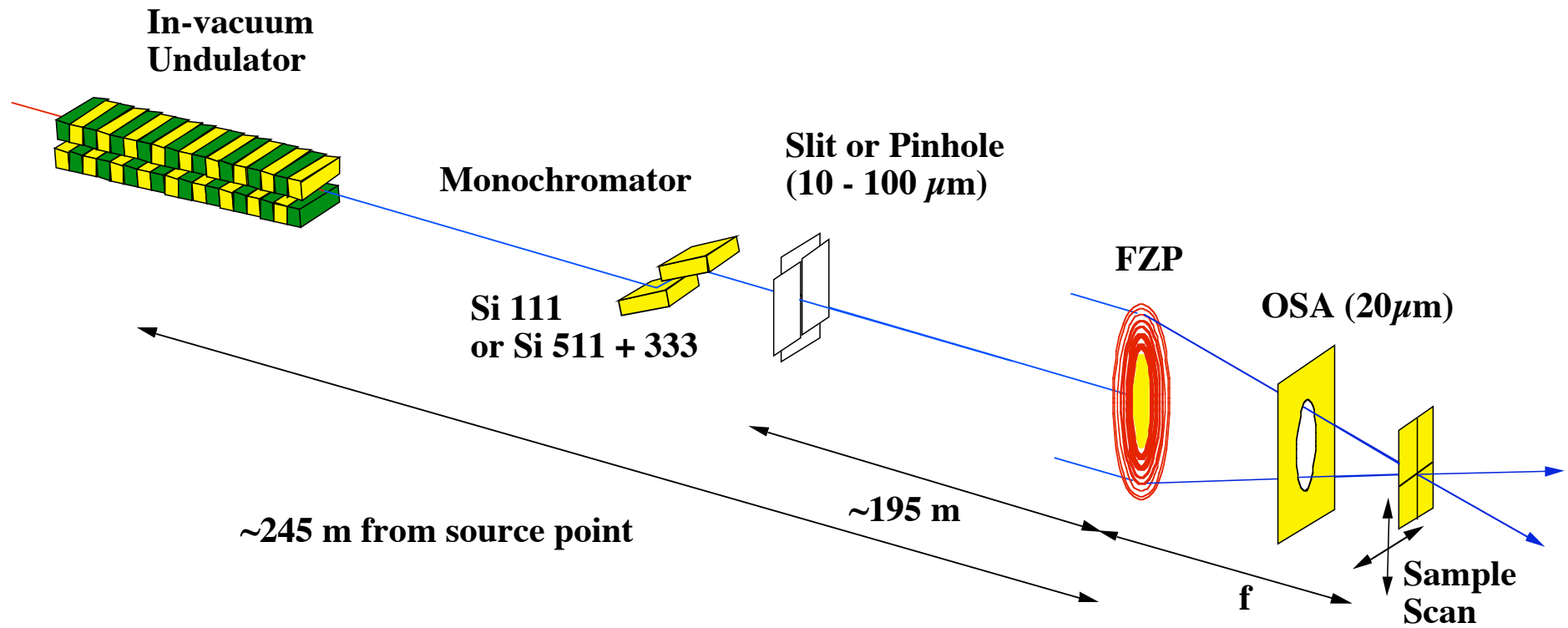


Visibility of interference fringes.

**Solid squares, circles and triangles represent experimental data,
 Solid line, dotted line and dashed line are respective theoretical curves
 for source size of 100 μm , 50 μm , and 20 μm .**

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Experimental Setup of X-ray Microbeam/Scanning Microscopy at BL20XU

Specification of Fresnel zone plate

Diameter: 150 μm , Designed focal Length: 100 mm at 8 keV,

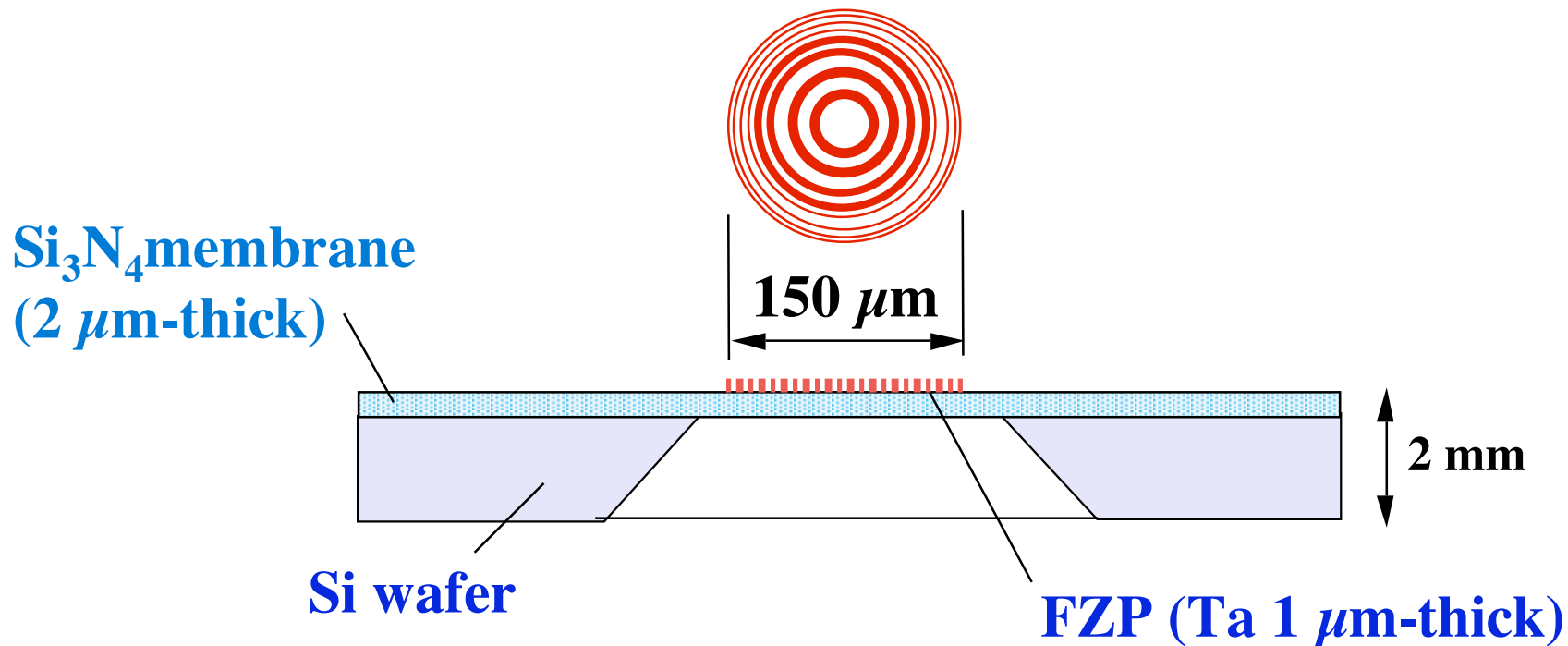
Outermost zone width (d_N): 0.1 μm .

Diffraction limit ($=1.22d_N$): 0.12 μm , numerical aperture: 7.5×10^{-4} at 8 keV,

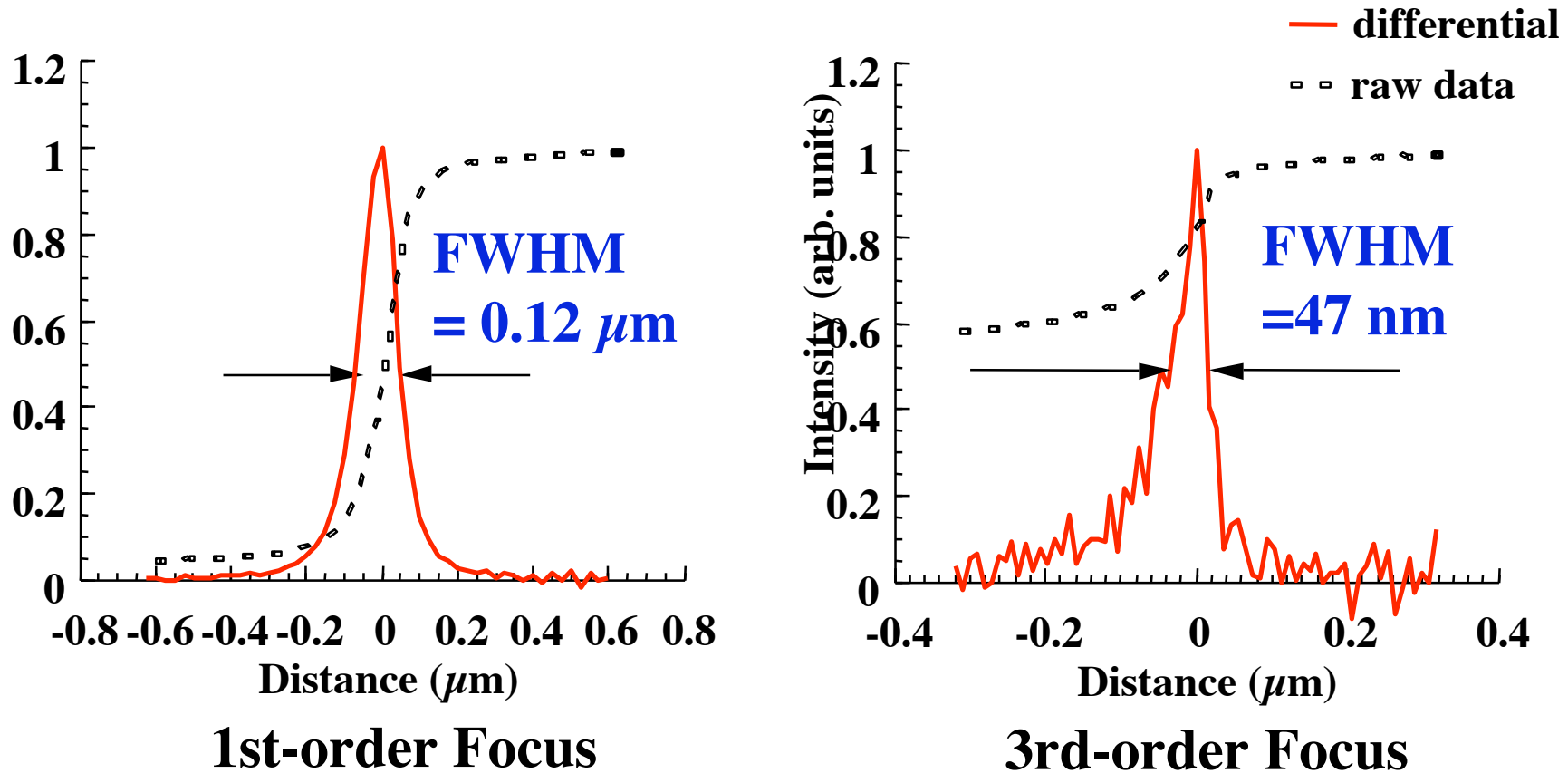
Zone material: Ta, 1 μm -thick,

Supporting membrane: Si_3N_4 , or SiC, 2 μm -thick.

Fabrication method: electron-beam lithography technique at NTT-AT

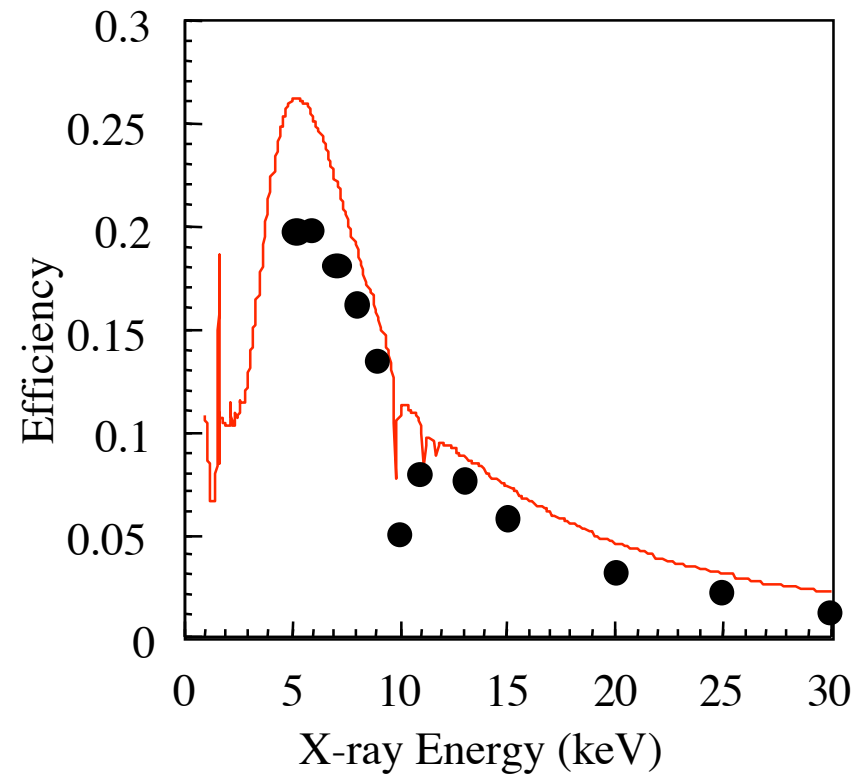


Schematic Drawing of Zone Plate Structure



Focused Beam Profile Measured by Knife-edge Scan

FZP: Ta 1 μm -thick,
 Outermost Zone Width: 0.1 μm ,
 EB-lithography at NTT-AT,
 Focal Length: 100 mm @8 keV.



Diffraction Efficiency of Ta-FZP

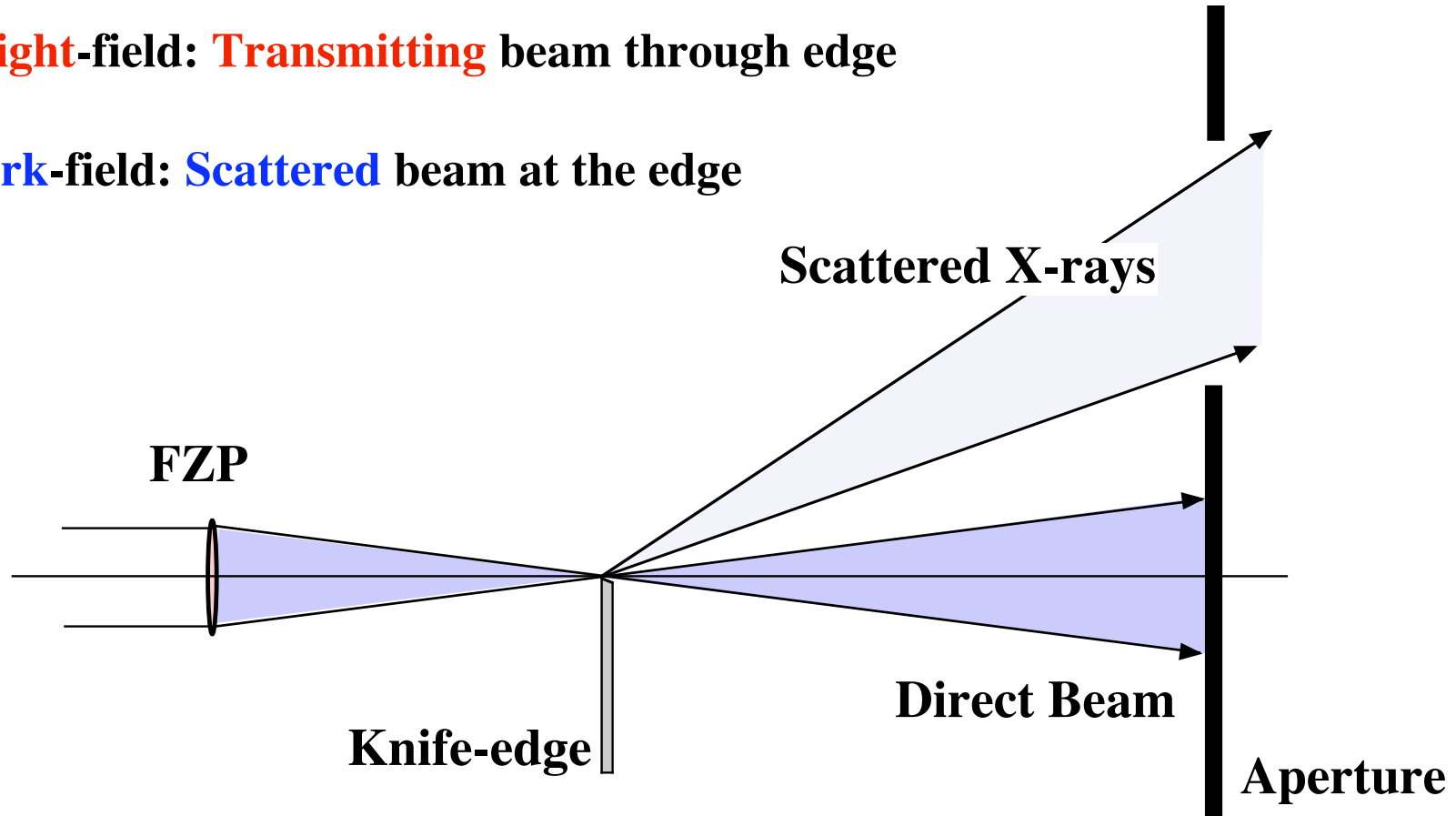
Closed Circle: Experimental Results,

Solid Line: Calculated Efficiency assuming the Thickness of $1\mu\text{m}$.

Total flux of microbeam: 10^9 photons/s,
Focused beam size: $0.12\ \mu\text{m}$.

Bright-field: Transmitting beam through edge

Dark-field: Scattered beam at the edge

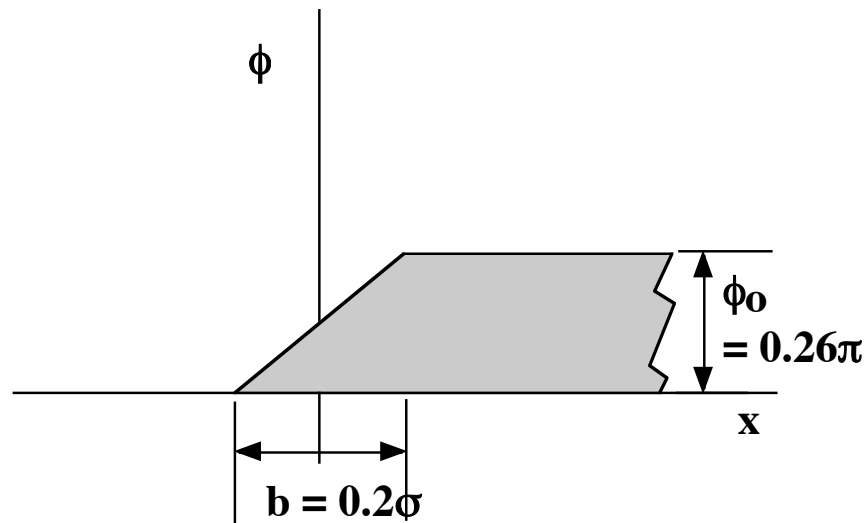


Dark-field Knife-edge Scan Method for Focus Test

Primary beam (direct beam) is cut off with an aperture in front of X-ray detector.
The scattered X-rays are selected by the aperture.

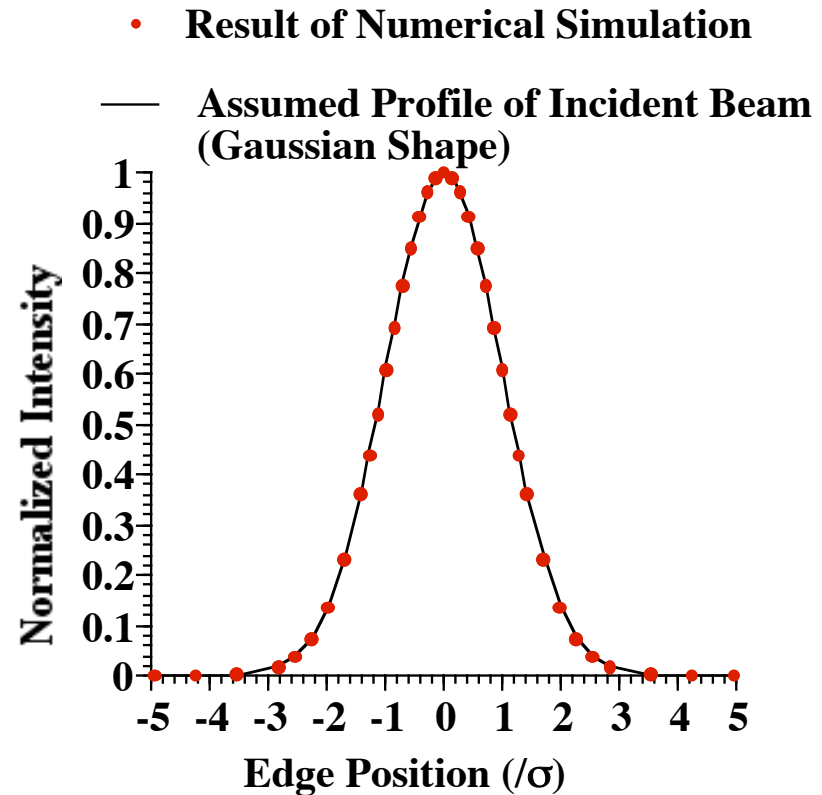
Advantages of dark-field method:

1. **Thin and phase** object as knife-edge,
2. **No differential** processing,
3. **Precise measurement.**



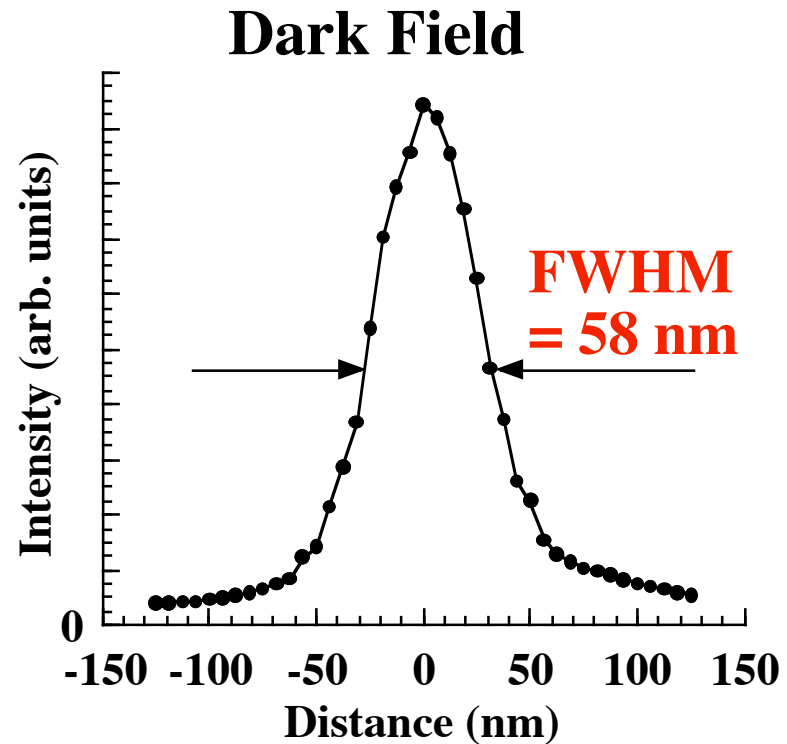
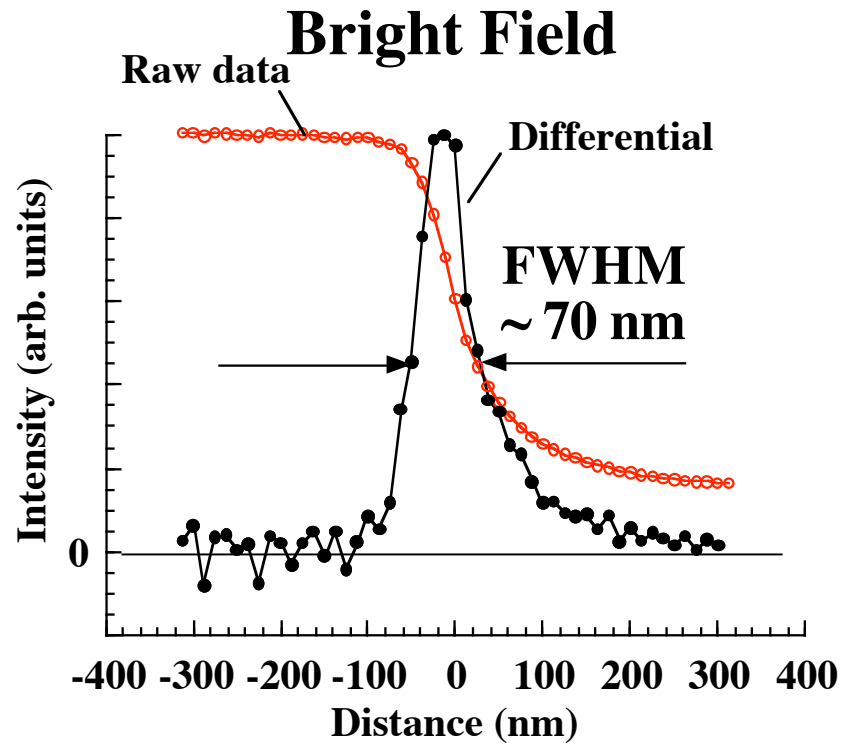
Assumed Cross-section of Knife-edge.

Tapered edge with width of b ,
and phase shift of ϕ_0 .



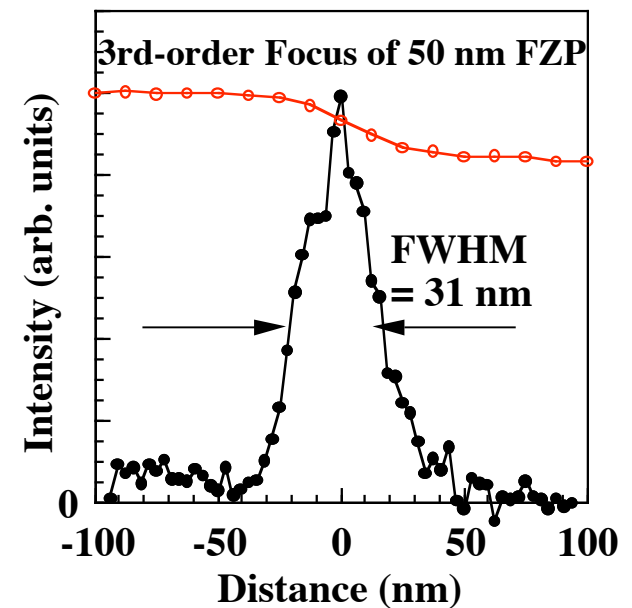
Result of Numerical Simulation

Simulation of Dark-field Knife-edge Test

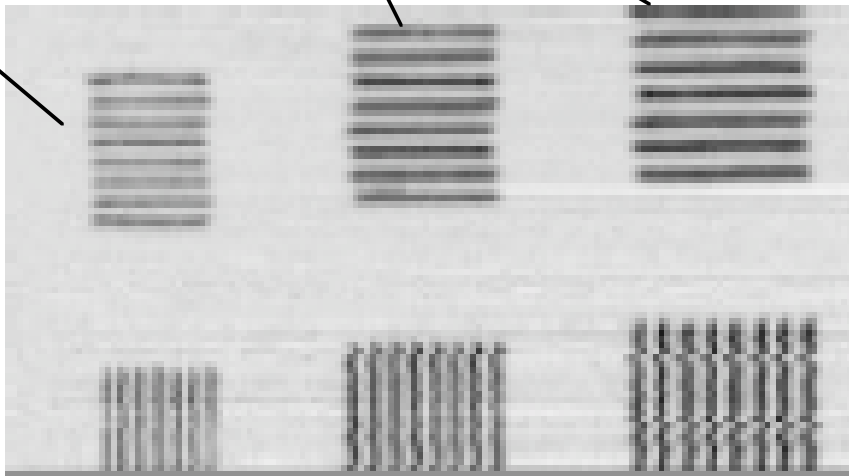


Result of Knife-edge Test Bright-field and Dark-field method

Outermost Zone Width: 50 nm, 1st-order Focus,
 $f = 80$ mm @ 8 keV,
 Knife-edge: gold wire of 50 μ m diameter.



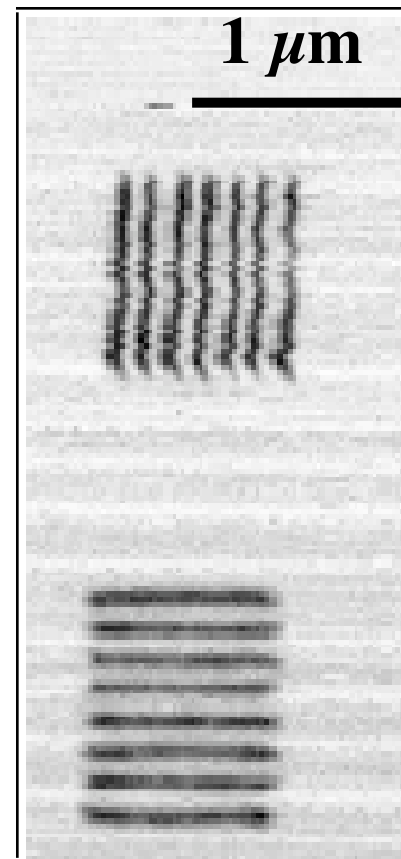
70 nm line & Space 80 nm line & Space 90 nm line & Space



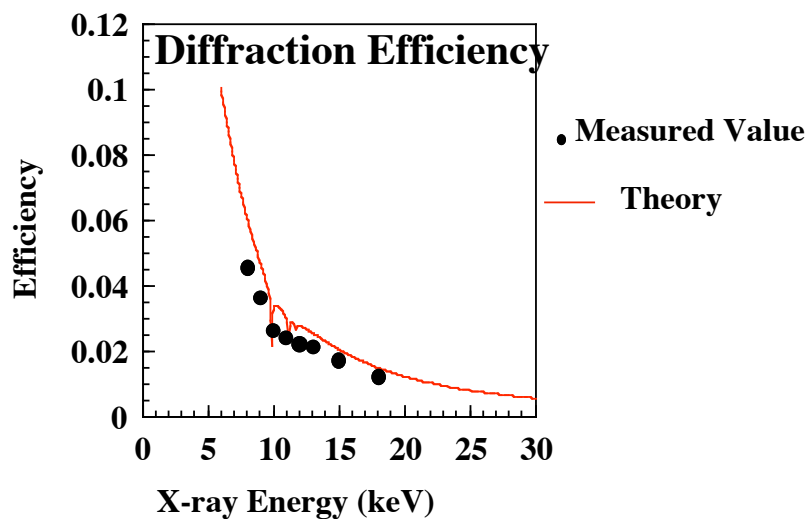
25 nm/pixel,
Dwell Time: 0.5 s.

1 μm

70 nm line & Space

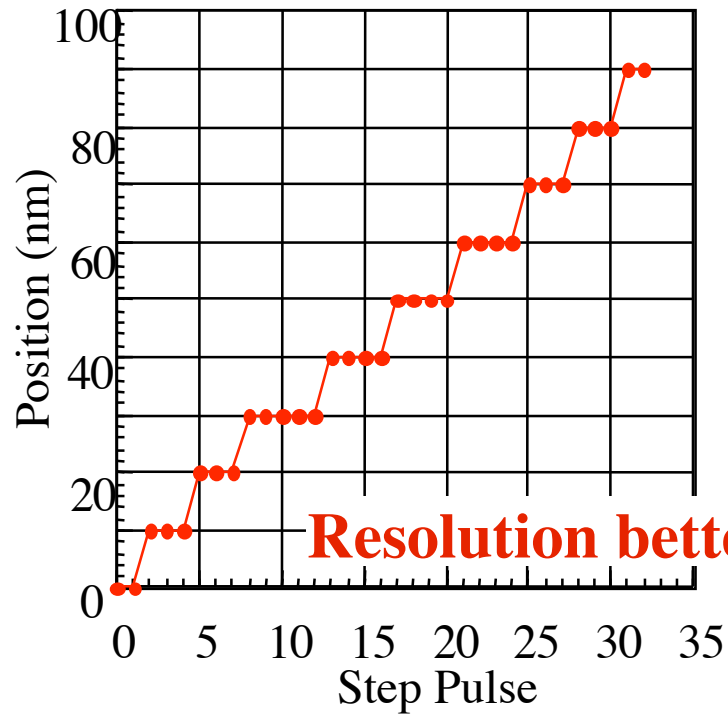


76 x 163 pixels,
12.5 nm/pixel,
Dwell Time: 0.5 s.



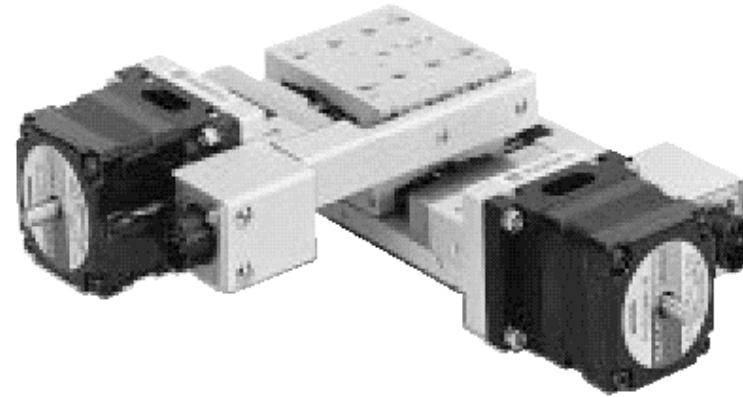
Scanning Microscopy

Test Patterns (Ta 500 nm-thick),
X-ray Energy: 8 keV.



Resolution better than 10 nm

$1/320 \mu\text{m}/\text{step} = 3.125 \text{ nm}/\text{step}$



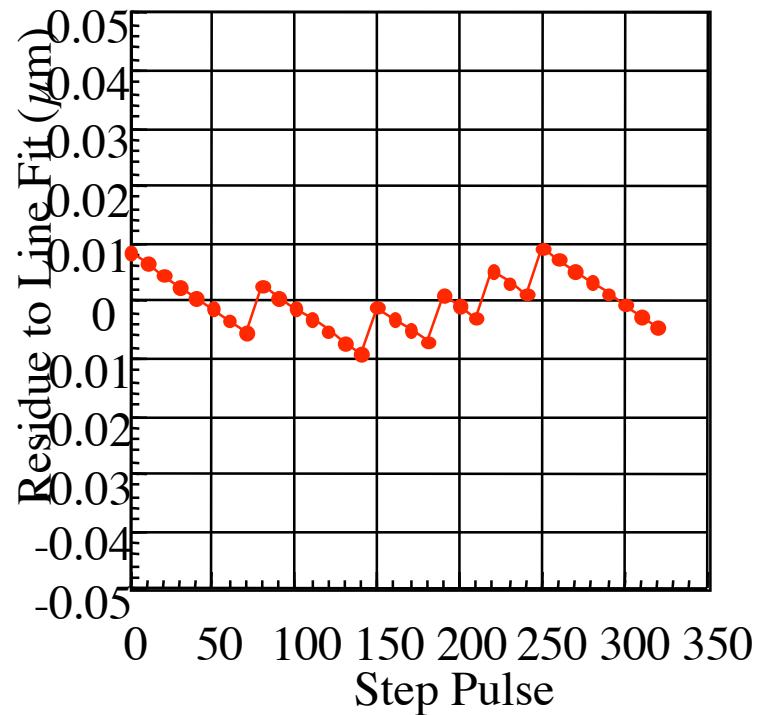
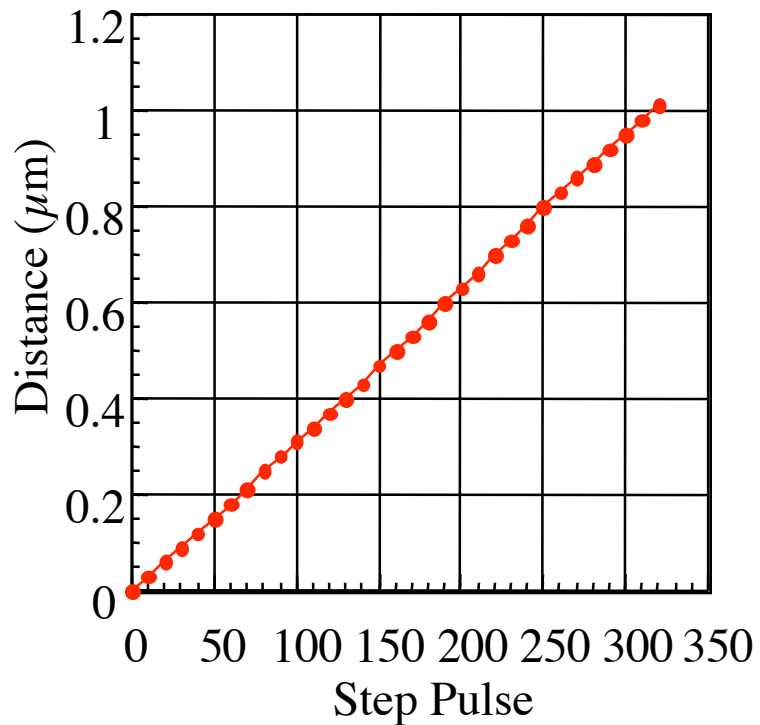
Resolution Test of Linear Translation Stage

Kohzu Seiki, type YA-05-14.

Stepping Motor, Oriental Motor PX535MH-B.

Motor Driver, Melec, micro-step drive H-583.

Position Monitor, KEYENCE LC2420.



Test Result of Linear Translation Stage

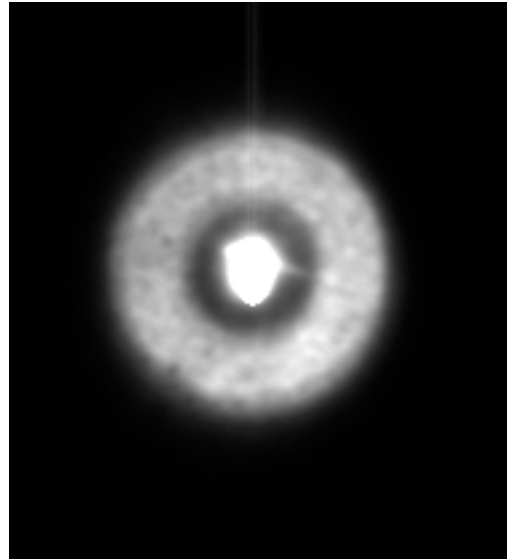
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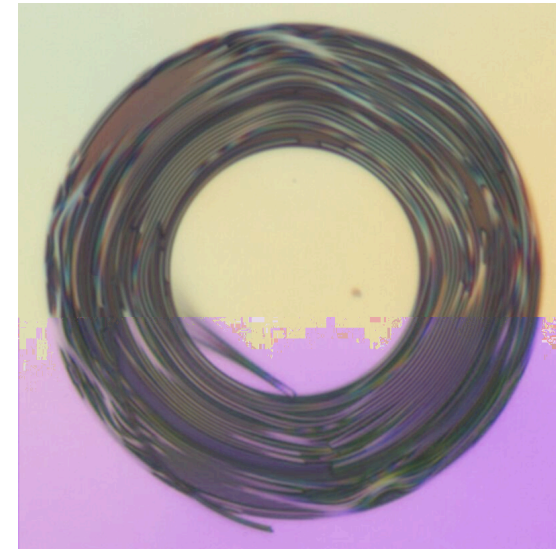
Position Monitor, KEYENCE LC2420.

Lifetime of Ta-FZP for radiation damage
~ 3 days in air,
>> 1 month in vacuum or in He.

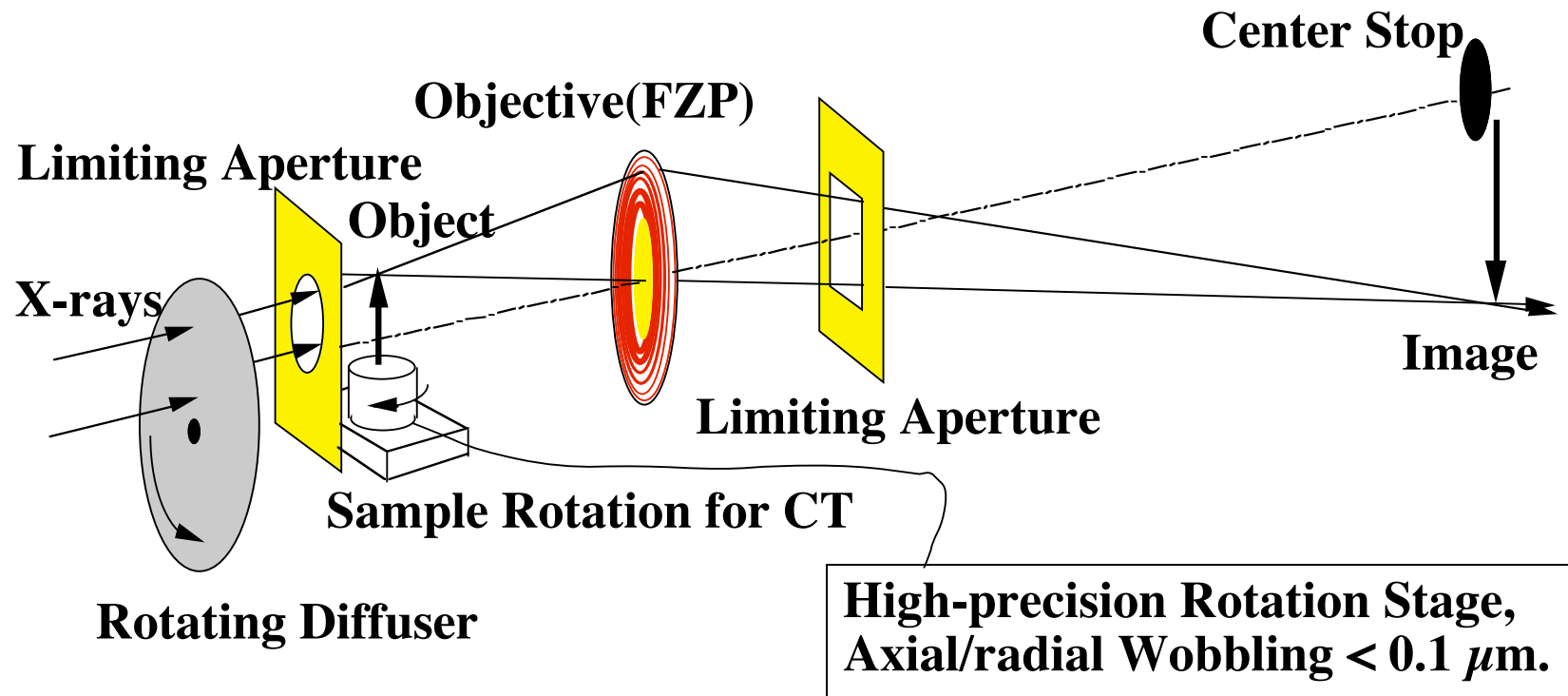


Irradiation in air

FZP: 100 μm diameter,
0.25 μm outermost zone width,
X-ray energy: 10 keV @BL47XU,
Flux density: $\sim 5 \times 10^{13}$ photons/s/mm,
Total flux: $\sim 10^{17}$ photons

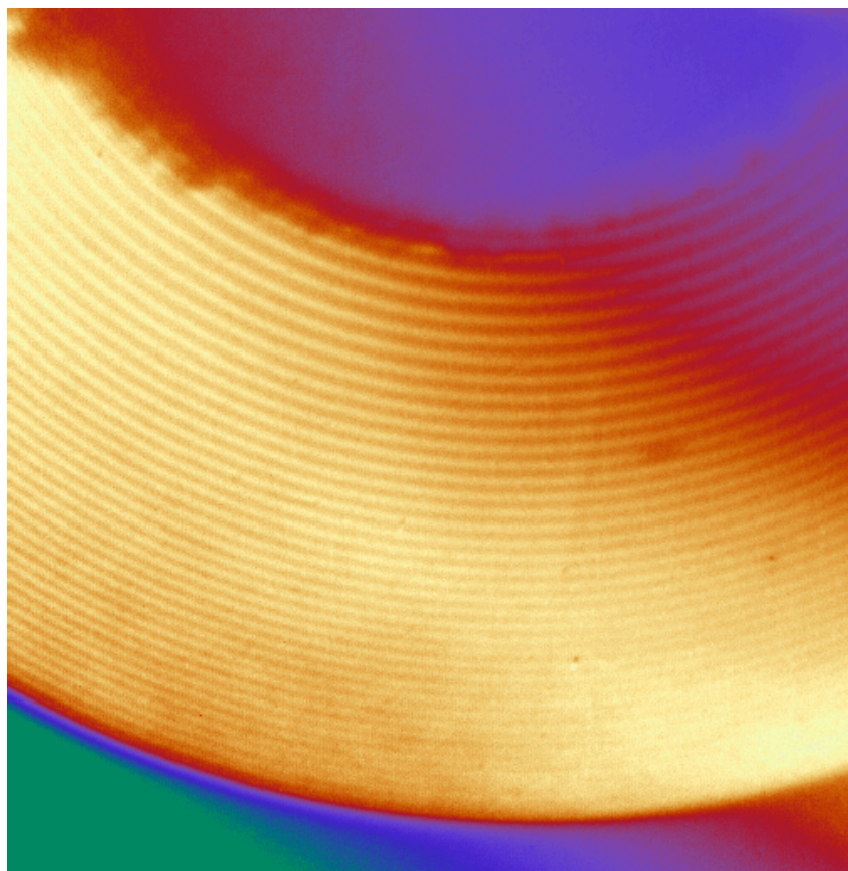


Damage problem is solved at present!



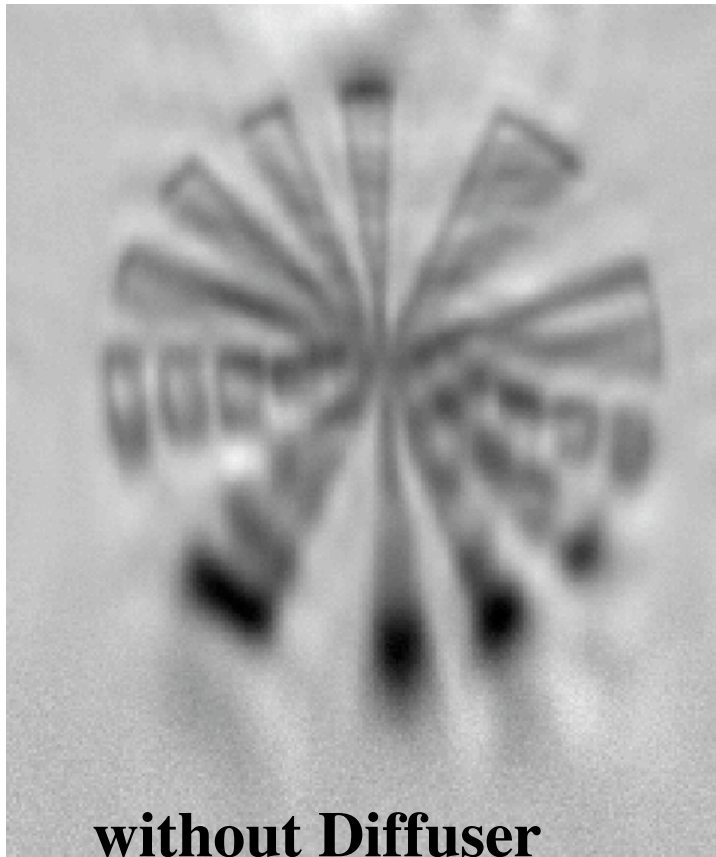
**Schematic Diagram Experimental Setup
for Imaging Microscopy and Micro-tomography**

@ BL47XU



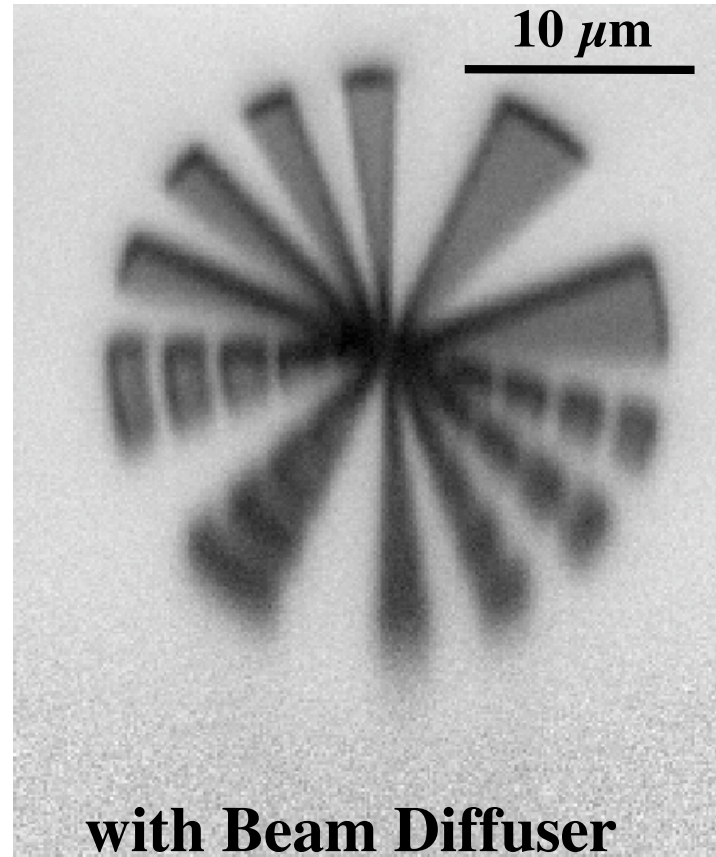
Imaging Microscopy

**Objective & Sample: FZP with $0.25 \mu\text{m}$ outermost zone width,
X-ray Energy: 8 keV.**



without Diffuser

- Coherent Illumination -



with Beam Diffuser

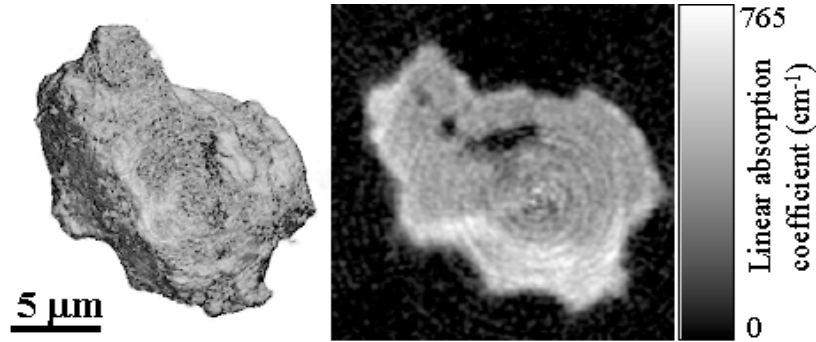
- Incoherent Illumination-

Imaging Microscopy with FZP Objective

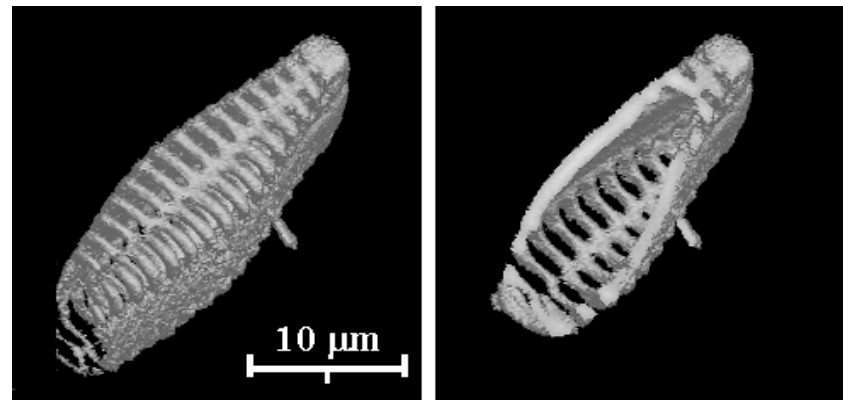
- Effect of Beam Diffuser -

X-ray energy: 8 keV

Control of coherence is important!



Stony Meteorite Allende
8 keV, x7.61, BM3(x10), voxel size 0.13 μm .
100 projection, exposure time:15 s/projection.



Diatom "Achnanthisidium lanceolata"
8 keV, x10, BM3(x10), voxel size 0.1 μm .
360 projection, exposure time: 60 s/projection.

X-ray Micro-tomography using Imaging Optics with Fresnel Zone Plate Objective

**Low-emittance SR source is not suitable for imaging microscopy,
because of**

high spatial coherence:

**Small source size ($\sim 10 \mu\text{m}$ vertical x $100 \mu\text{m}$ horizontal),
Small divergent angle ($\sim 10 \mu\text{rad}$).**

Critical illumination with simple condenser lens:

**F-number matching --> small field of view (< a few μm),
Coherent illumination --> Speckle noise.**

**Critical illumination and Köhler illumination
(best optics for imaging microscopy)**

Critical illumination:

**Demagnified image of source at the object plane.,
Each point of source corresponds to each point of field of view,
Not suitable for 3rd generation SR source.**

Köhler's illumination:

**Infinite focus,
Each points at source to each angle of illuminating beam.**

Illumination Optics for Imaging Microscopy

First experiment on imaging microscopy at SPring-8:

Parallel beam illumination

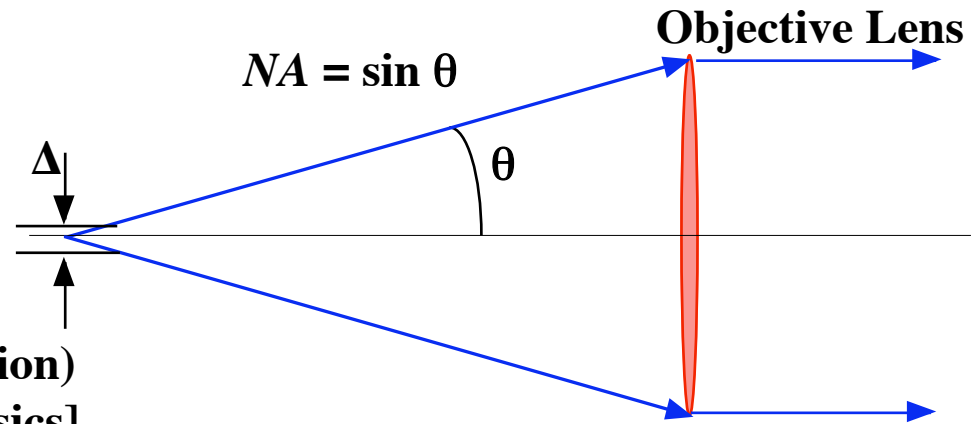
**--> Edge-enhancement artifact,
Strong speckle noise.**

2nd Step:

Partial Coherent illumination by diffuser

**--> Less artifacts, and no speckles,
Weak edge-enhancement,
Nonuniform imaging properties in the field of view,
Asymmetric feature of imaging properties.
(off-axial illumination)**

Need of Condenser Optics for Imaging microscopy.



Rayleigh's criterion (incoherent condition)
[uncertainty principle in quantum physics]

$$\Delta = 0.61 \lambda/NA,$$

NA: numerical aperture of objective lens.

$$NA = \sin\theta.$$

Useful formula:

$$\Delta = 1.22 dr_N$$

dr_N : Outermost zone width

Parallel beam illumination:

$$\Delta = 0.82 \lambda/NA,$$

With condenser optics of 1.5NA:

$$\Delta = 0.57 \lambda/NA,$$

Example:

$$dr_N = 100 \text{ nm},$$

$$\Delta = 122 \text{ nm}.$$

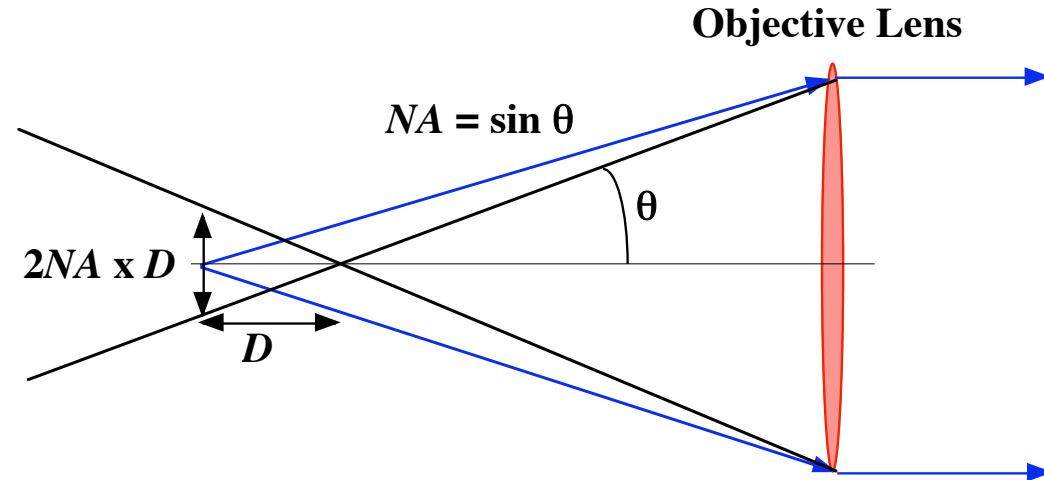
$$NA = 7.75 \times 10^{-4} \text{ at } 8 \text{ keV}.$$

$$(8 \text{ keV} = 1.55 \text{ \AA})$$

Spatial Resolution of FZP Microscope

Geometrical defocusing:

$$2D \times NA$$



Limit of defocusing:

Diffraction-limited resolution = Geometrical defocusing

$$2D \times NA = 0.61 \lambda / NA,$$

Depth of focus (tolerance of sample thickness):

$$2D = 0.61 \lambda / NA^2.$$

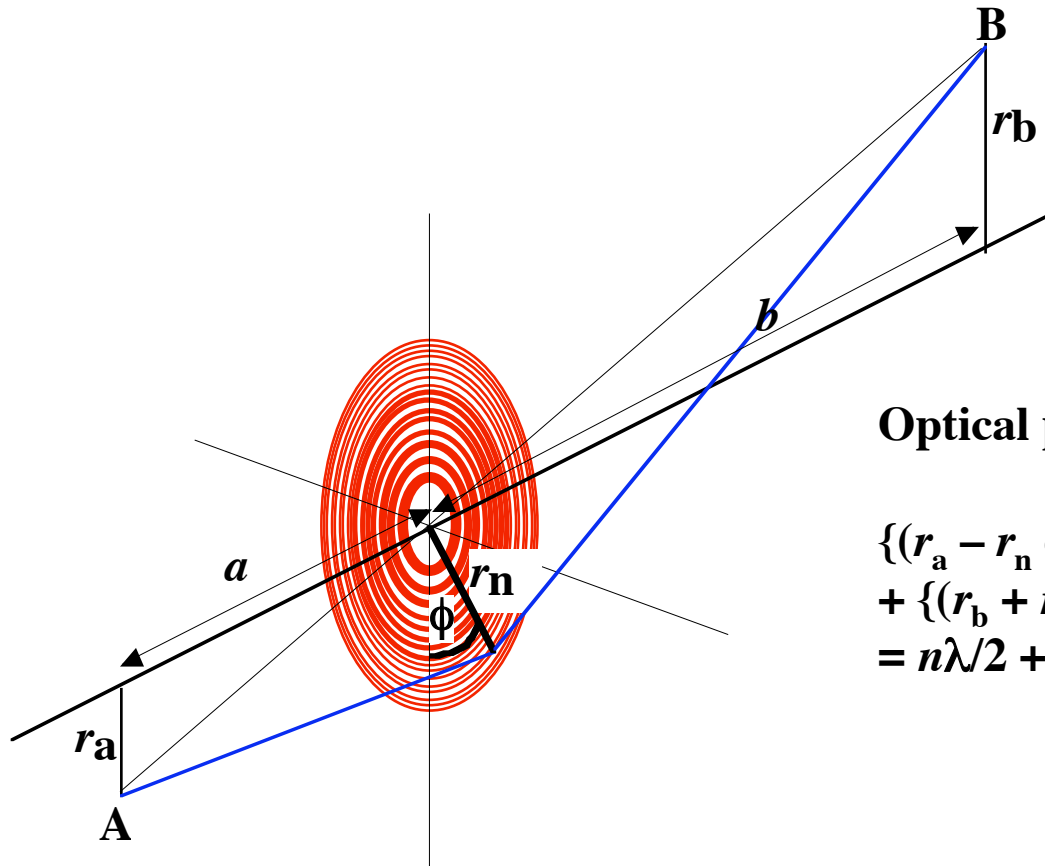
**In tomography measurement,
Depth of focus > Sample diameter.**

Example:

$\Delta = 122 \text{ nm}$ ($dr_N = 100 \text{ nm}$),
 $NA = 7.75 \times 10^{-4}$ at 8 keV.

$2D = 157 \mu\text{m}$.

Depth of Focus



Optical path description:

$$\begin{aligned} & \{(r_a - r_n \cos\phi)^2 + (r_n \sin\phi)^2 + a^2\}^{1/2} \\ & + \{(r_b + r_n \cos\phi)^2 + (r_n \sin\phi)^2 + b^2\}^{1/2} \\ & = n\lambda/2 + (a^2 + r_a^2)^{1/2} + (b^2 + r_b^2)^{1/2}, \end{aligned}$$

Second order approximation and the Rayleigh's quarter wavelength rule:

$$\begin{aligned} & | \{- r_a r_n \cos\phi/a + r_b r_n \cos\phi/b \} + 1/2 \{ r_n^2/a + r_n^2/b - n\lambda \} \\ & - 1/8 \{ (r_a^2 - 2r_a r_n \cos\phi + r_n^2)^2/a^3 - r_a^4/a^3 + (r_b^2 + 2r_b r_n \cos\phi + r_n^2)^2/b^3 - r_b^4/b^3 \} | < \lambda/4. \end{aligned}$$

Aberration Theory of FZP Microscope by Wave Optics

Depth of focus (tolerance of sample thickness for tomography):

$$2D = 0.61 \lambda / NA^2,$$

$$NA \sim r_N/f, D \sim r_a \quad \text{---->} \quad r_a r_N^2 / f^2 < 0.3\lambda$$

$$r_N/f \ll 1, r_a/f \ll 1 \text{ for hard -X-ray FZP.}$$

Other wavefront aberrations:

$$3r_a^2 r_N^2 / f^3 < \lambda,$$

$$2r_a r_N^3 / f^3 < \lambda,$$

$$1/2 r_N^4 / f^3 < \lambda$$

Chromatic aberration:

$$\Delta\lambda/\lambda < 0.61/N, (N: \text{total zone number}).$$

$$\Delta\lambda/\lambda \sim 10^{-4} \text{ (crystal monochromator, Si 111)}$$

Example:

$$f = 100 \text{ mm at } 8 \text{ keV,}$$

$$r_N = 77.5 \mu\text{m,}$$

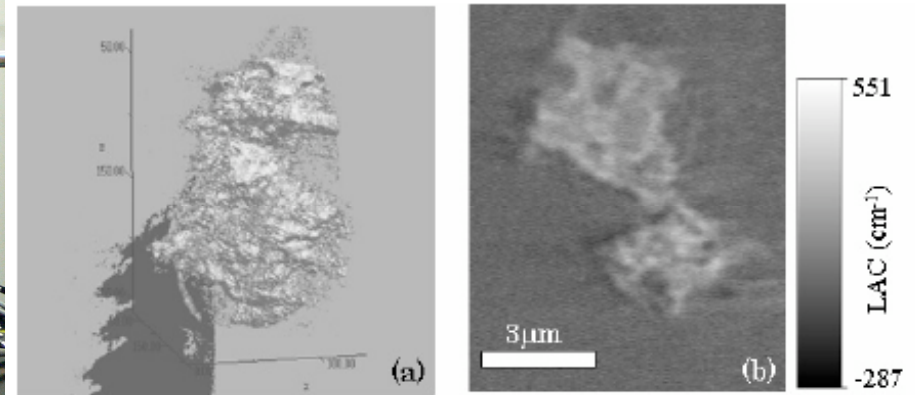
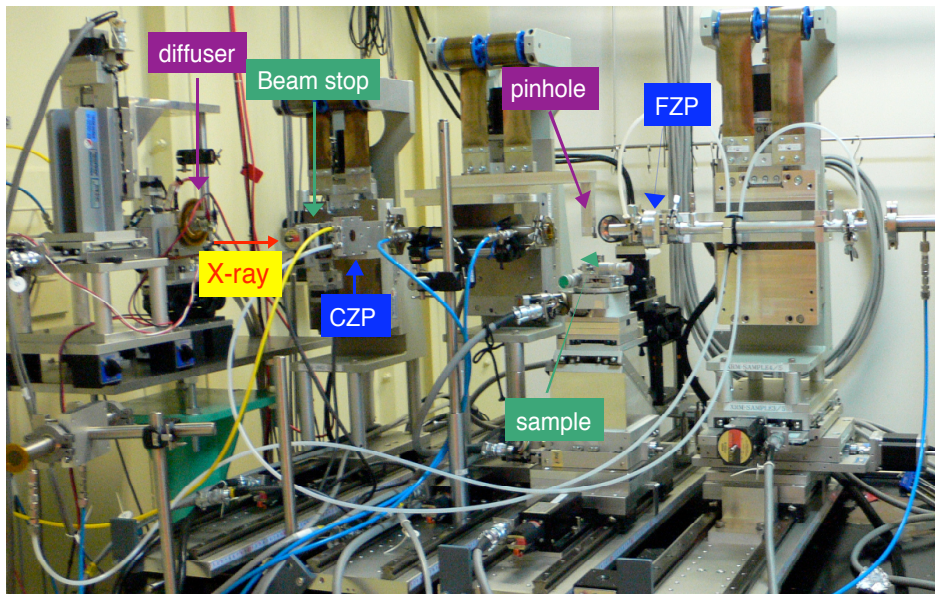
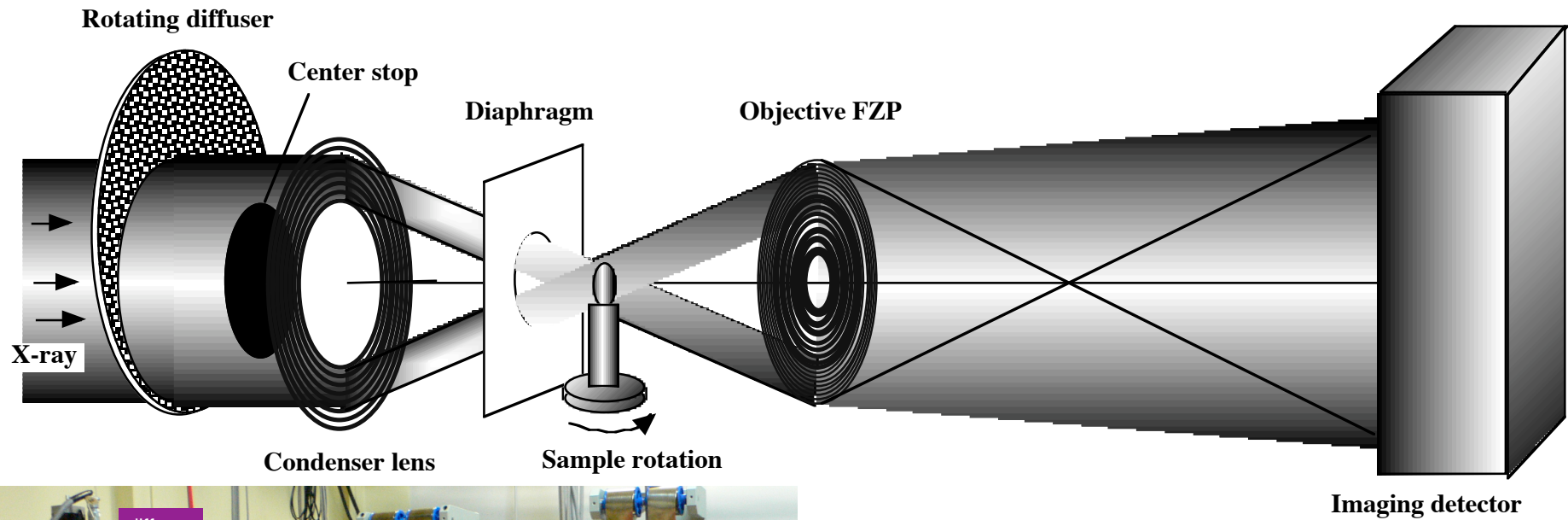
$$N = 388,$$

$$NA = 7.75 \times 10^{-4} \text{ at } 8 \text{ keV.}$$

$$(\lambda = 1.55 \text{ \AA})$$

$$M \sim 70$$

Most serious aberration is Depth of Focus!



CT image of IDP (L2008D3 #17). (a) three dimensional reconstruction from CT images. (b) sagittal slice derived from three dimensional reconstructed image.

Hard X-ray Imaging Micro-tomography

Fresnel zone plate = Chromatic aberration,

**Requirement on monochromaticity for Fresnel zone plate
~ Number of Fresnel zone.**

---> $\Delta\lambda/\lambda < 1/N$ (number of Fresnel zone)

$N \sim$ or > 100 , (requirement for natural lens approximation).



**$\Delta\lambda/\lambda \sim 10^{-4}$ with crystal monochromator,
too narrow! ---> loss of photon flux.**



Use of direct undulator radiation,

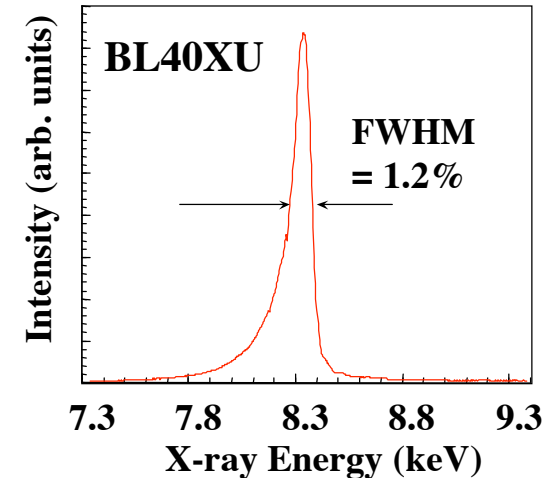
$\Delta\lambda/\lambda \sim 100$.

----> High flux microbeam,

Short Exposure Time.

BL40XU of SPring-8 (High Flux Beamline)

1. Undulator radiation without monochromator,
 $\Delta\lambda/\lambda \sim 1.2\%$ @ $\varepsilon = 3$ nm rad
2. Helical Undulator --> Suppression of higher order,
3. Condenser Optics: K-B mirror

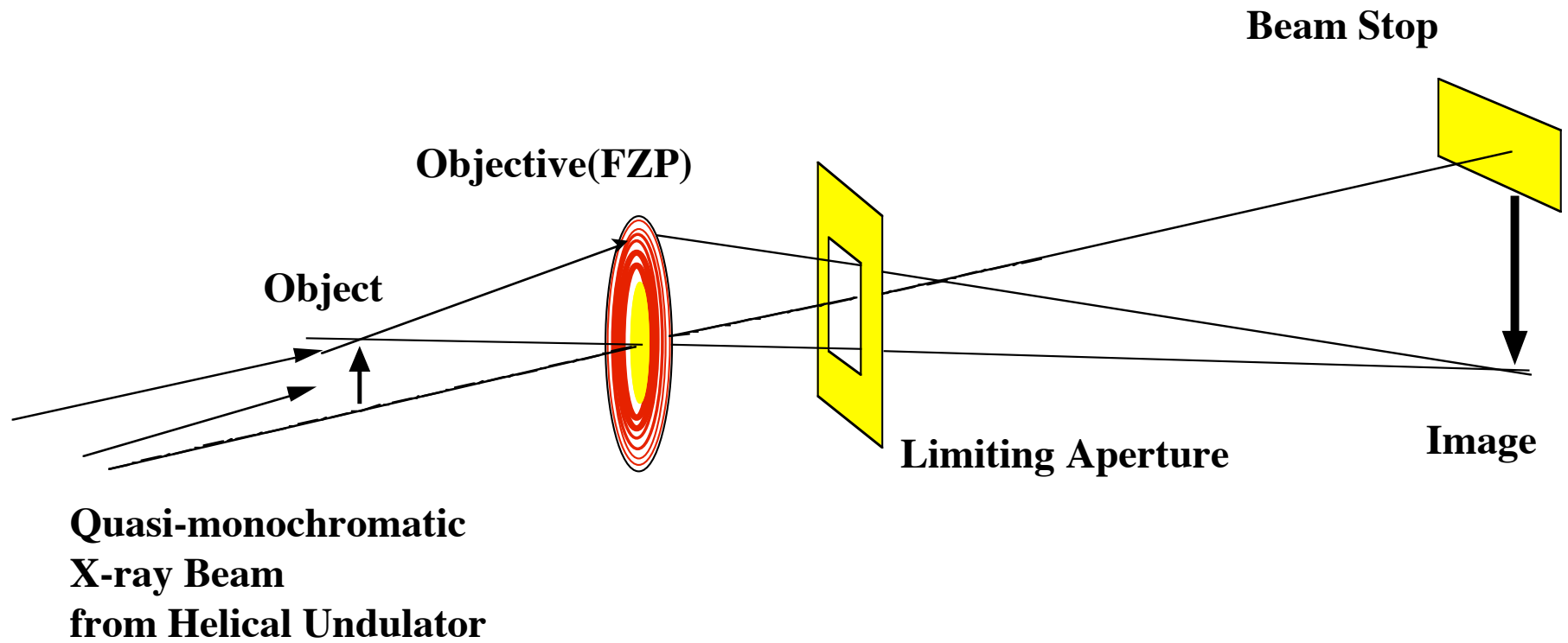


Measured Spectra of Undulator Radiation
Front-end Slit Aperture:
15 μ rad (horizontally) x 5 μ rad (vertically)

Available flux ~ 100 times that at conventional beamlines
(undulator beamlines with crystal monochromator).

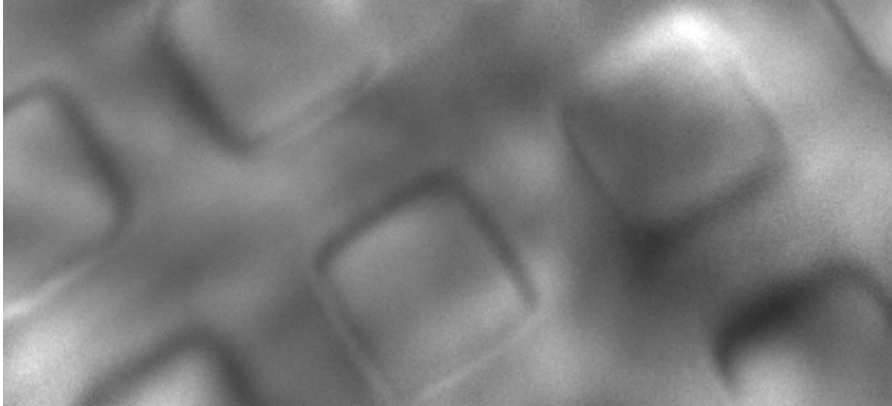
X-ray Microbeam & Imaging Microscopy with
Sub-micron resolution
and high flux!

(~ 100 times, compared with conventional beamlines)



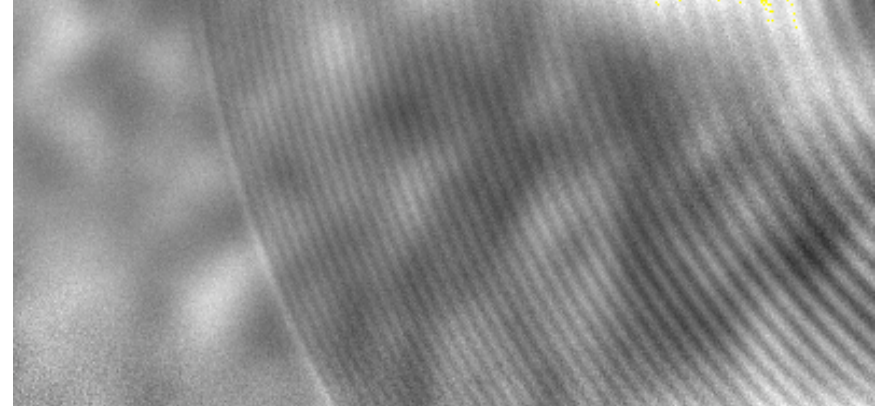
**Experimental Setup for Imaging Microscopy
at BL40XU SPring-8**

10 μm



**Object: Cu mesh,
2000 lines/inch**

10 μm



**Object: Fresnel zone plate,
0.25 μm outermost zone width**

Image of test object

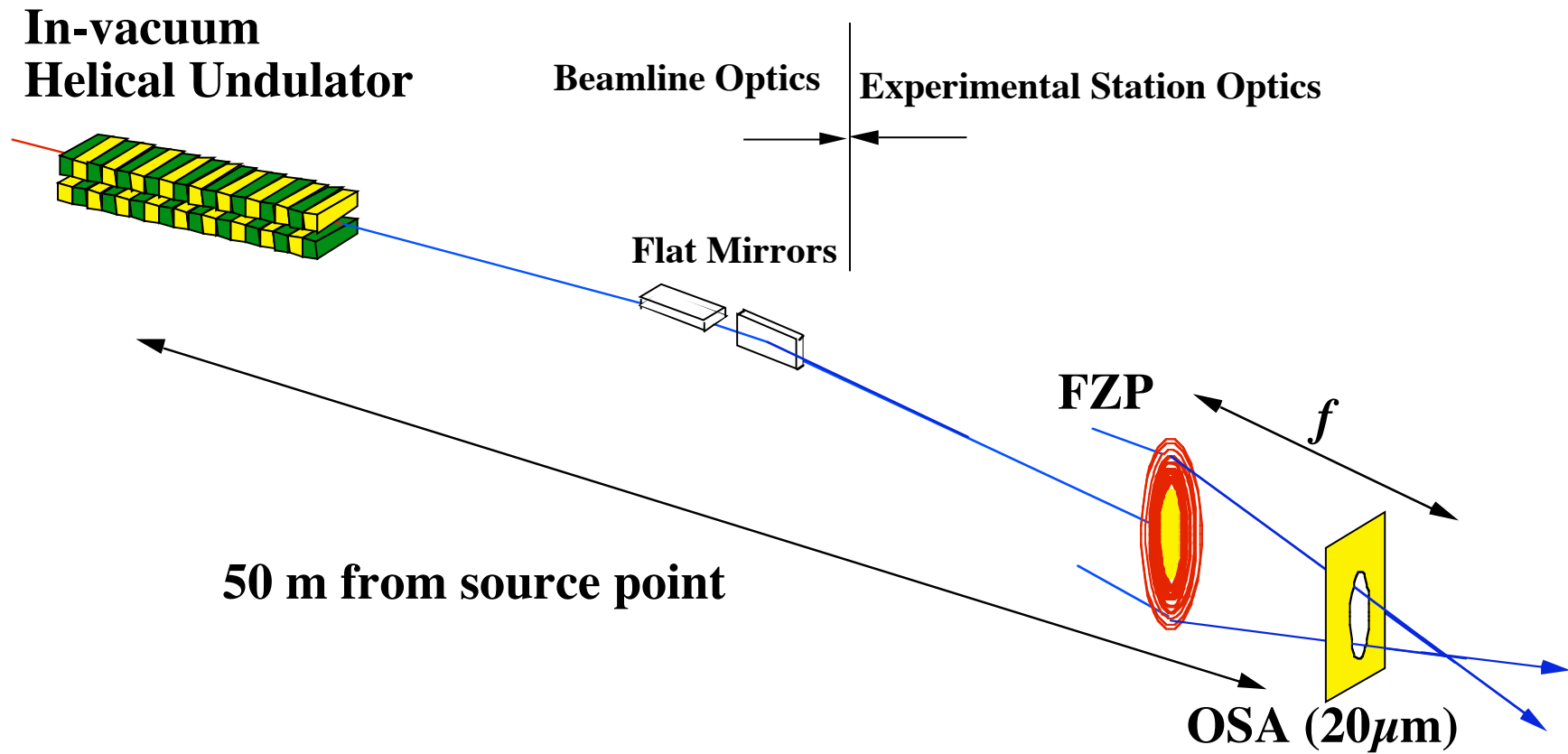
Objective: FZP, 0.25 μm outermost zone width, 100 zones,

Magnification: 11.3,

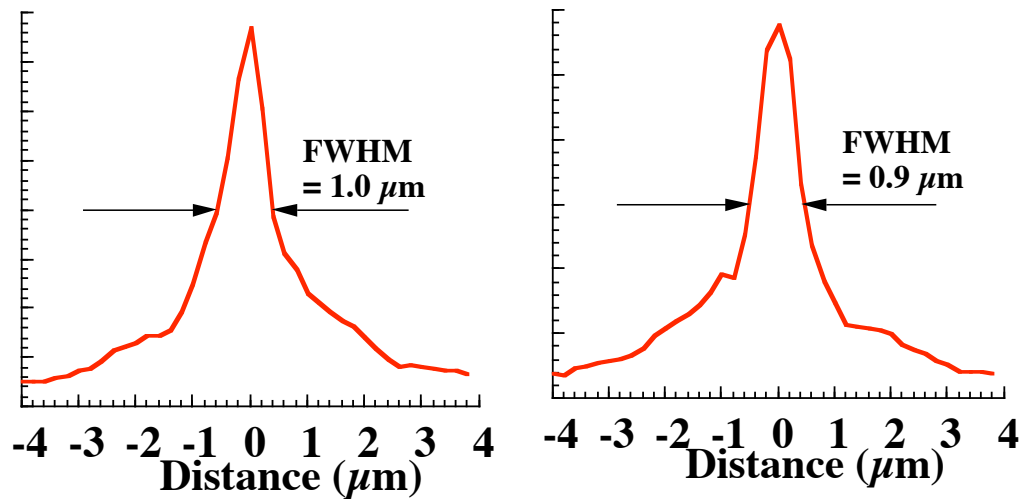
X-ray energy: 8.34 keV,

Exposure time: 1.5 ms (Single Shot)

**Hard X-ray Imaging Microscopy with Fresnel Zone Plate Objective
& Quasi-monochromatic Undulator Radiation at BL40XU**



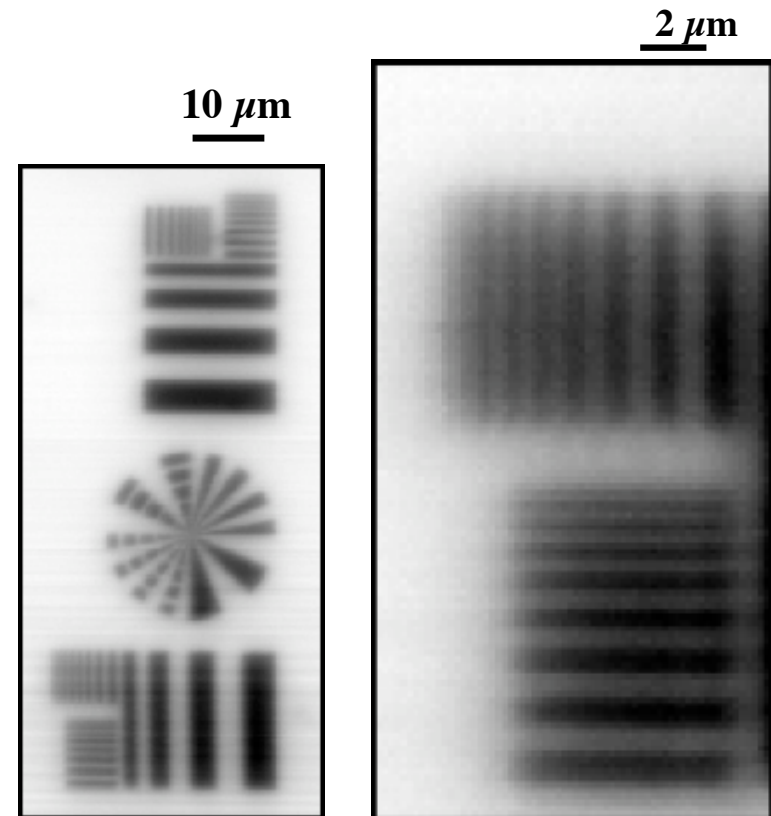
**Optical Layout of Microbeam Experiment
at BL40XU of SPring-8**



Measured Profiles of Focused Beam

X-ray Energy: 8.317 keV

Total Flux of Focused Beam:
 $\sim 2 \times 10^{12}$ photons/s

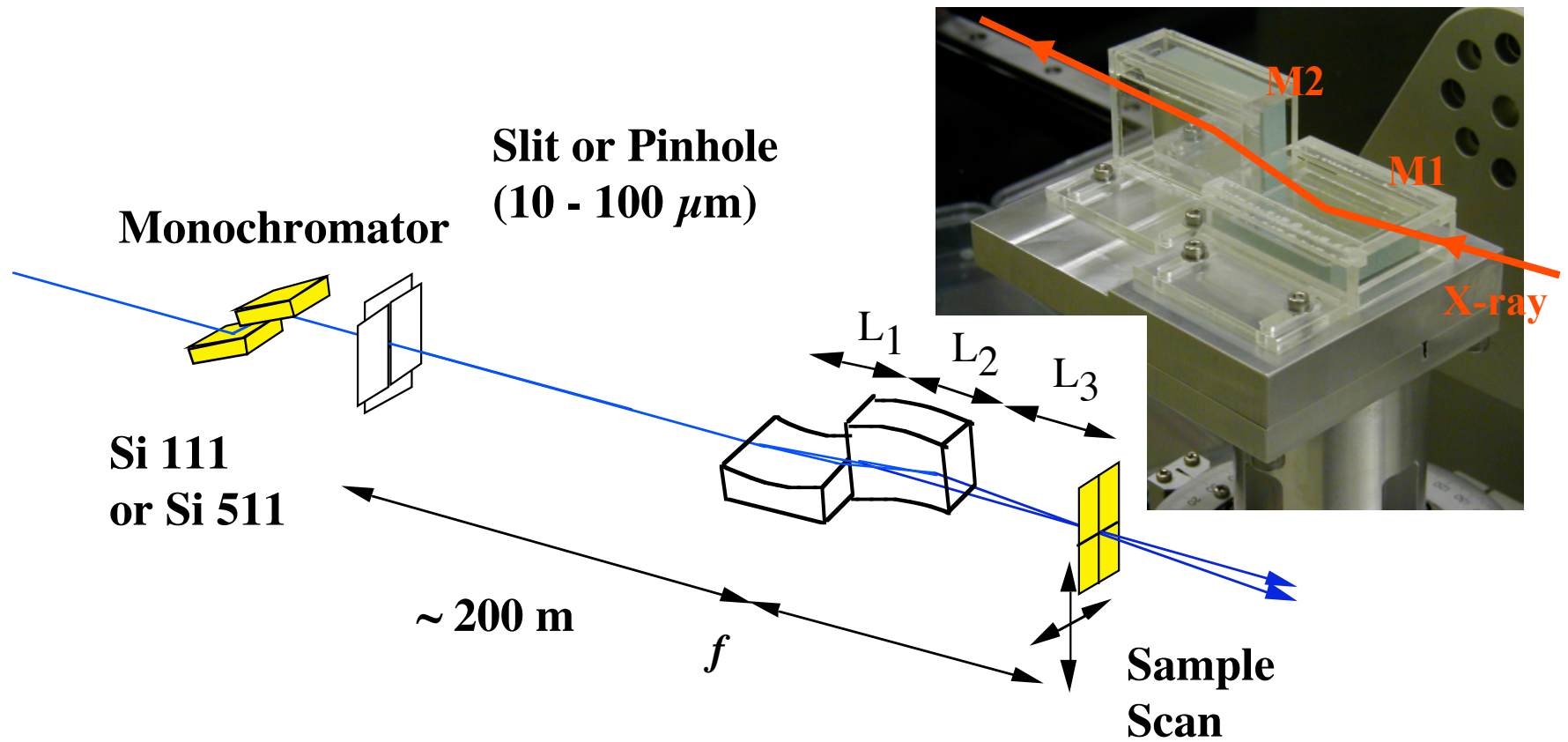


151 x 334 pixels,
 0.3 $\mu\text{m}/\text{pixel}$,
 0.3 s dwell time.

66 x 126 pixels,
 0.2 $\mu\text{m}/\text{pixel}$,
 0.2 s dwell time.

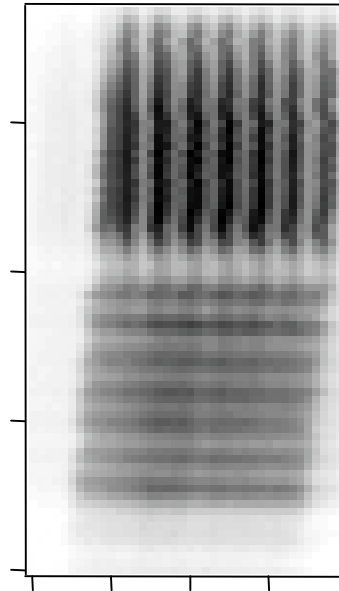
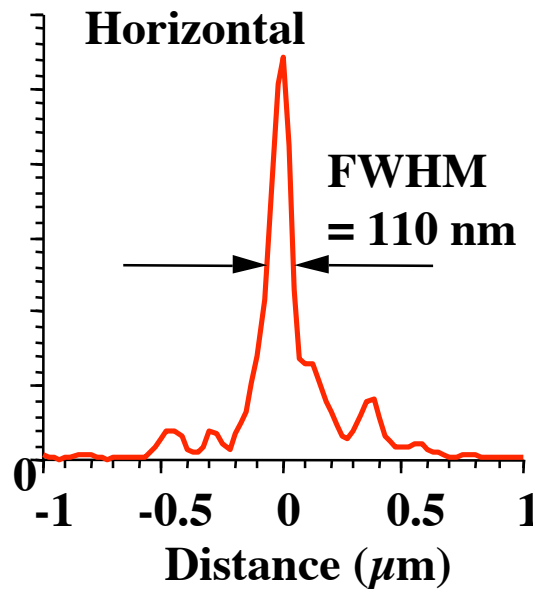
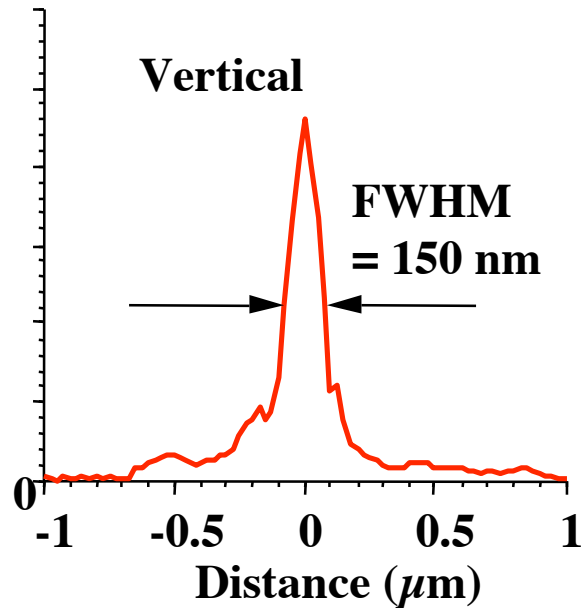
Scanning Microscopic Images of Resolution Test Patterns

**Microbeam and Scanning Microscopy
 with FZP and Quasi-monochromatic Undulator Radiation**



**Experimental Setup of X-ray Microbeam/Scanning Microscopy
with Total-reflection Mirror Optics (Kirkpatrick-Baez Configuration)**

**Kirkpatrick-Baez Optics with Aspherical (Plane Parabola) Mirrors,
L1: 45 mm, L2: 45 mm, L3: 25 mm, f : 75 mm,
Glancing angle: 2.8 mrad. (Pt coated SiO_2),
Fabricated at Cannon Co. Japan.**

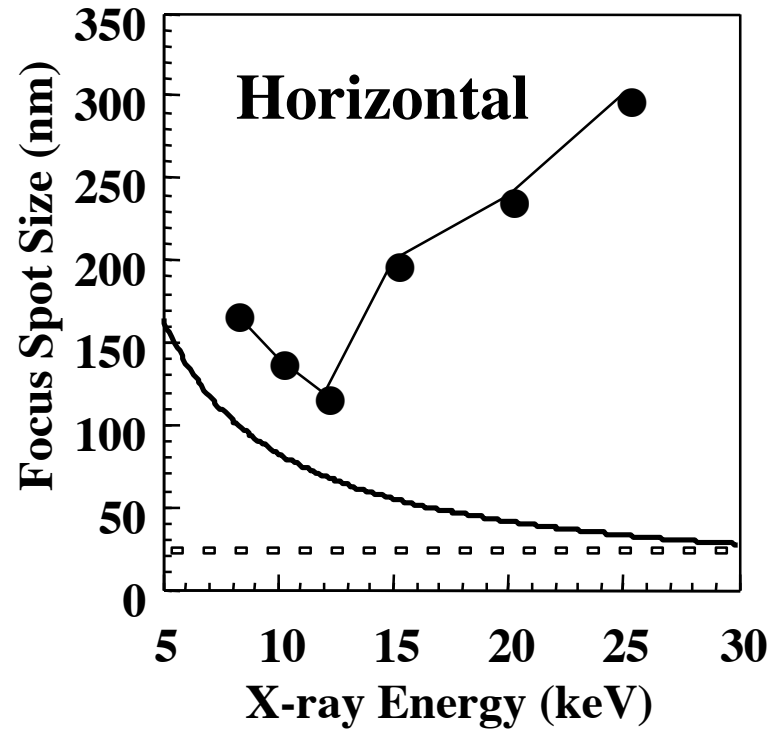
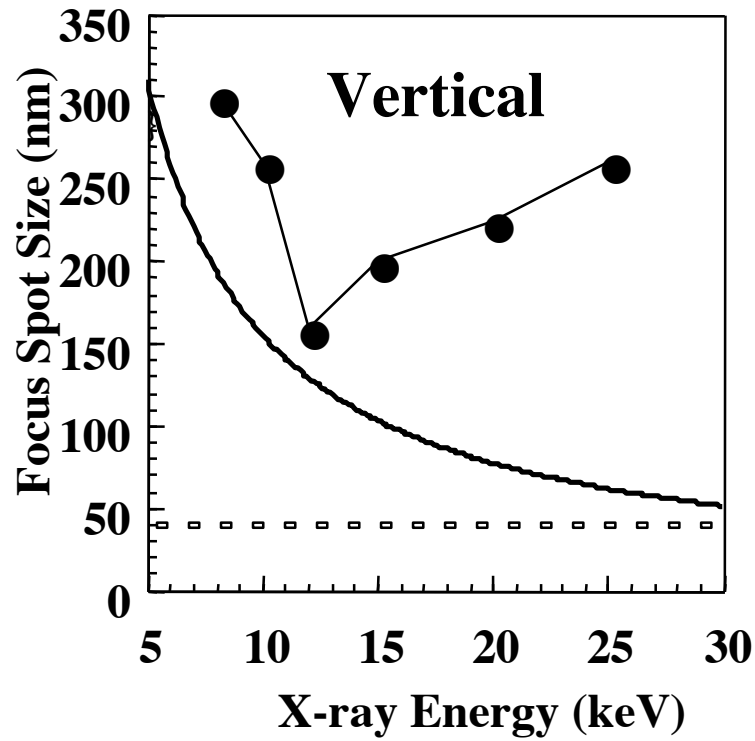


Scanning Microscopy Image
of Test Patterns
0.1 μm line&space

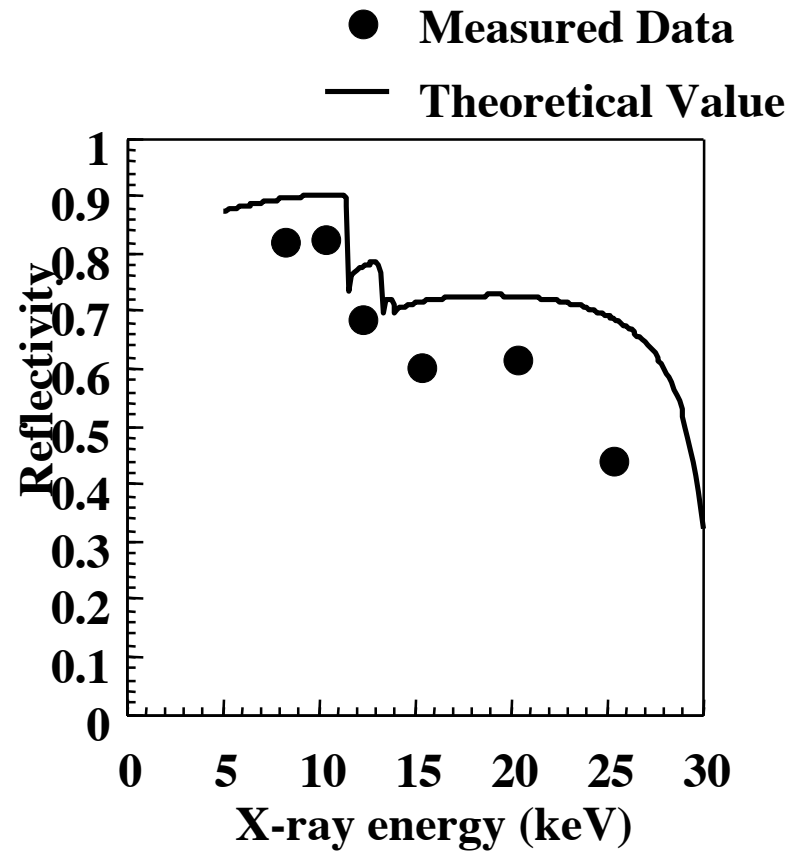
Focused Beam Profiles
measured by Knife-edge Scan
X-ray Energy: 12 keV

Microbeam and Scanning Microscopy with Total-reflection Mirror Optics

- Measured data (FWHM)
- Diffraction limit
- - - Geometrical Size



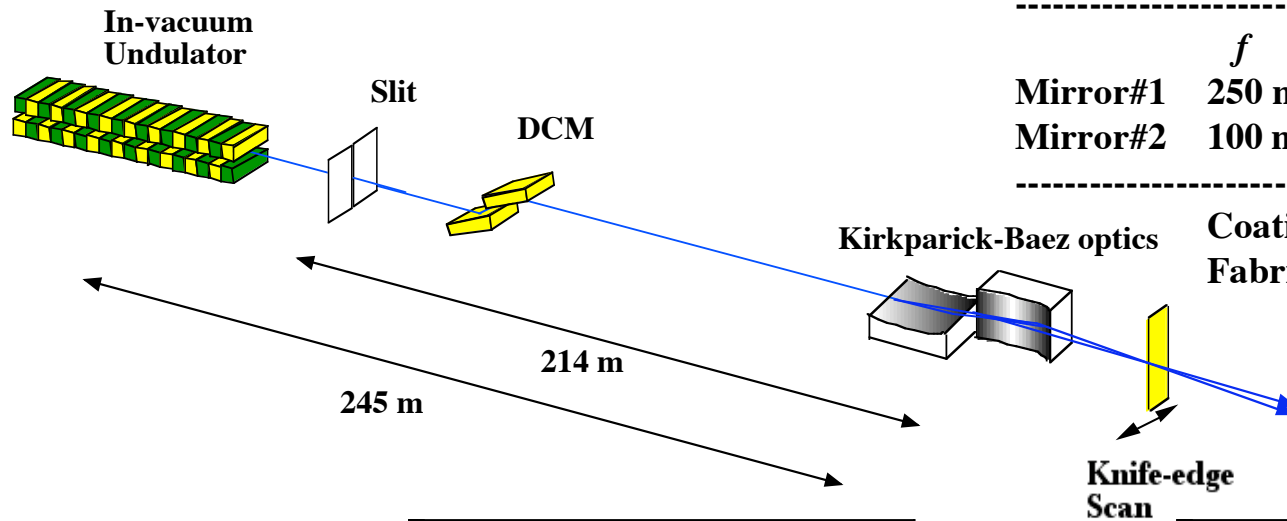
Energy Dependence of Resolution



Reflectivity of Total Reflection Mirrors

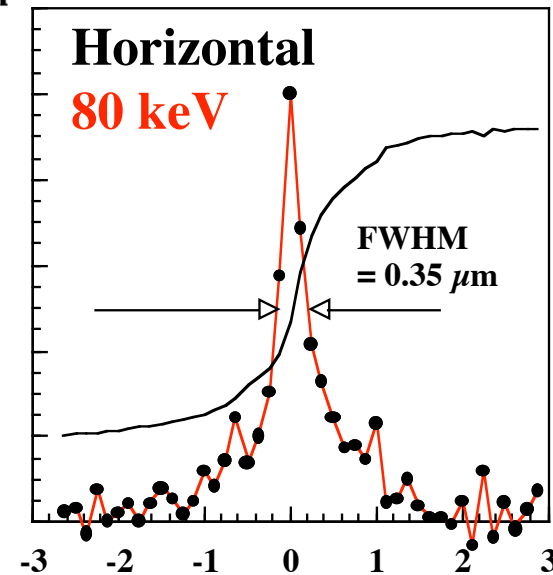
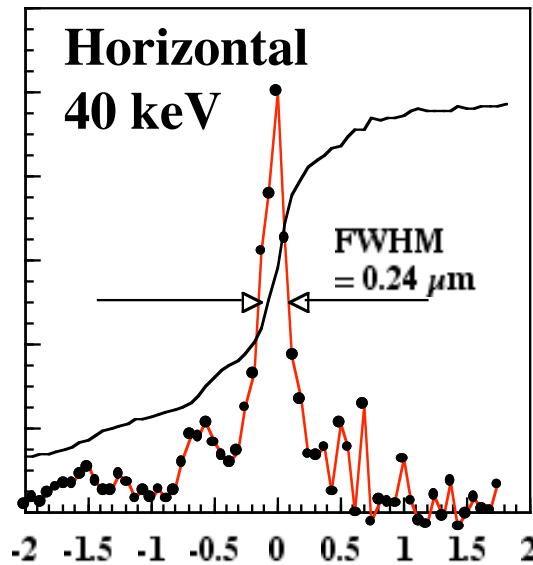
Pt surface,
Glancing angle: 2.8 mrad.

Design Parameters of Parabolic Mirrors

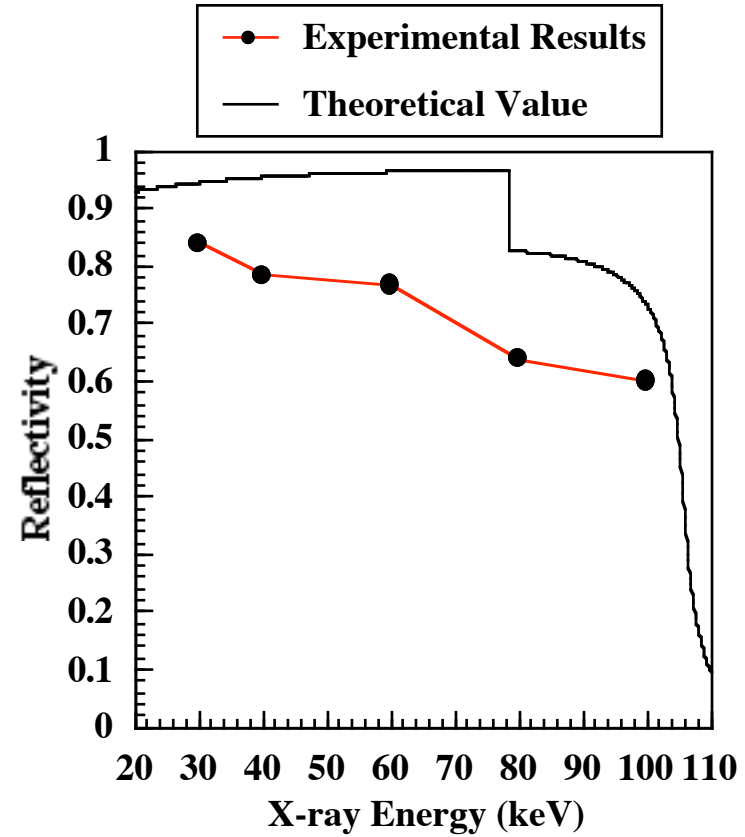
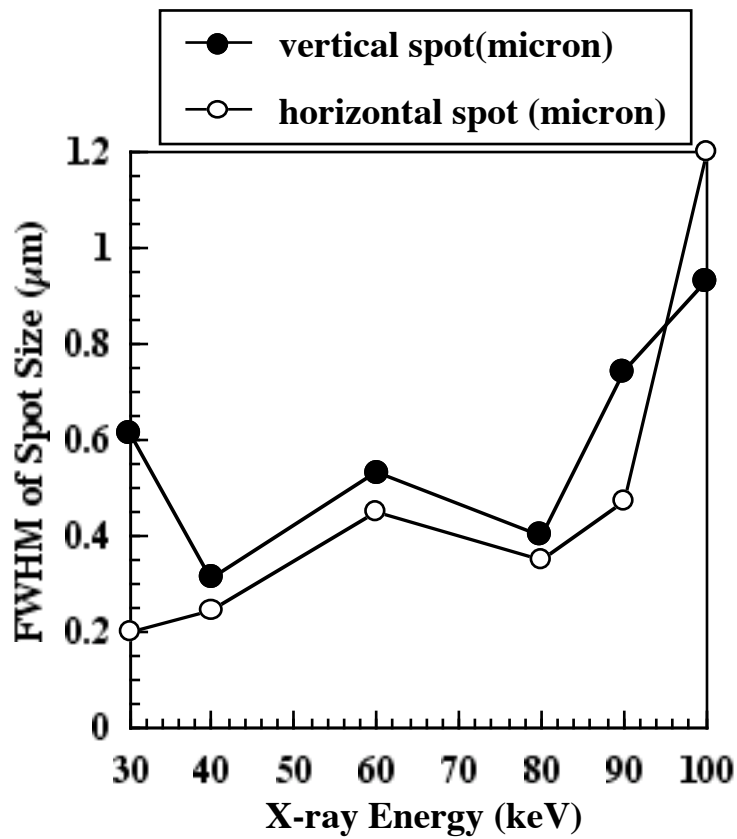


	f	length	Glancing angle	NA
Mirror#1	250 mm	100 mm	0.8 mrad	1.64×10^{-4}
Mirror#2	100 mm	100 mm	0.8 mrad	4.78×10^{-4}

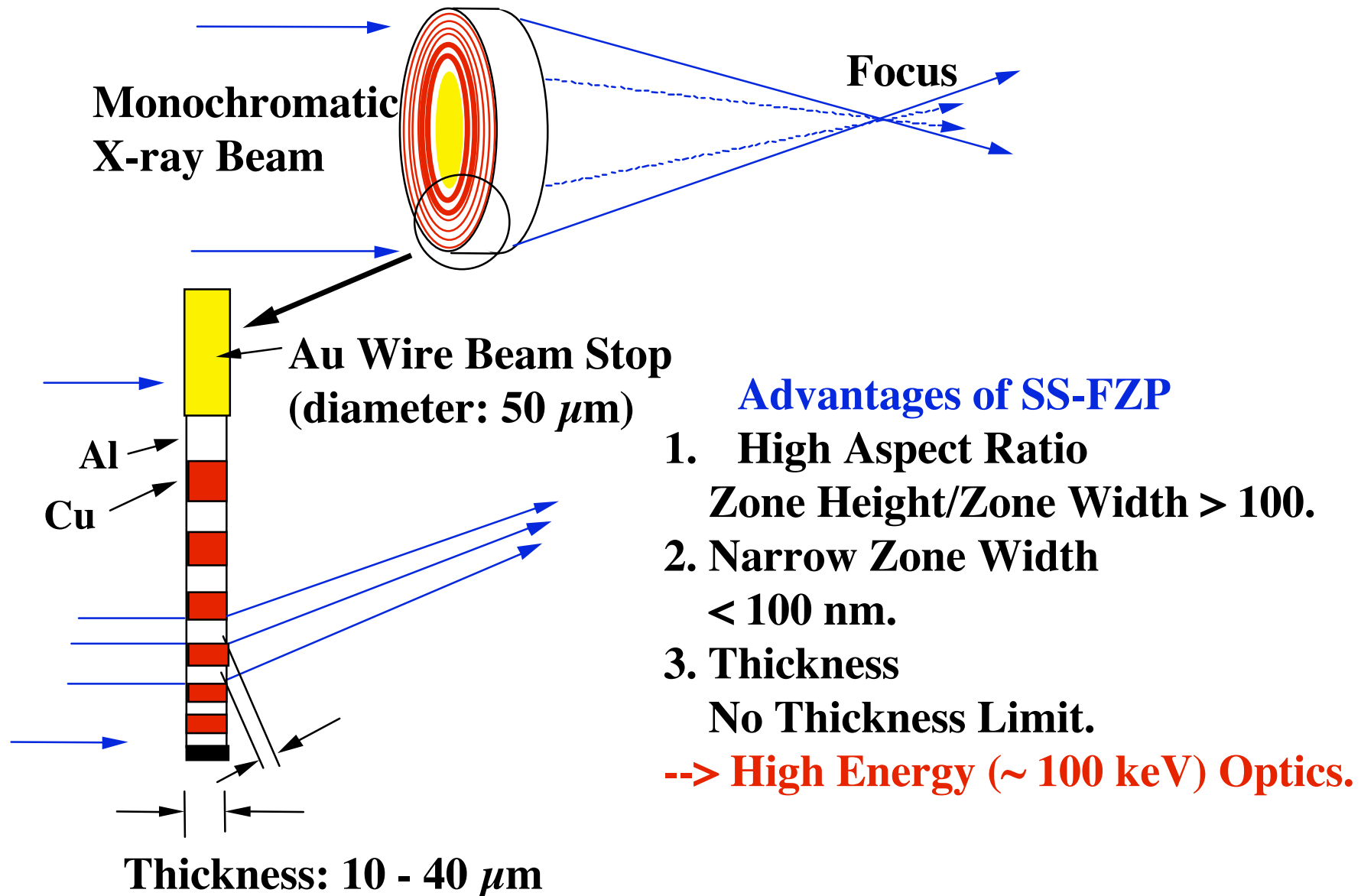
Coating: Pt
Fabricated at Cannon Co. Japan.



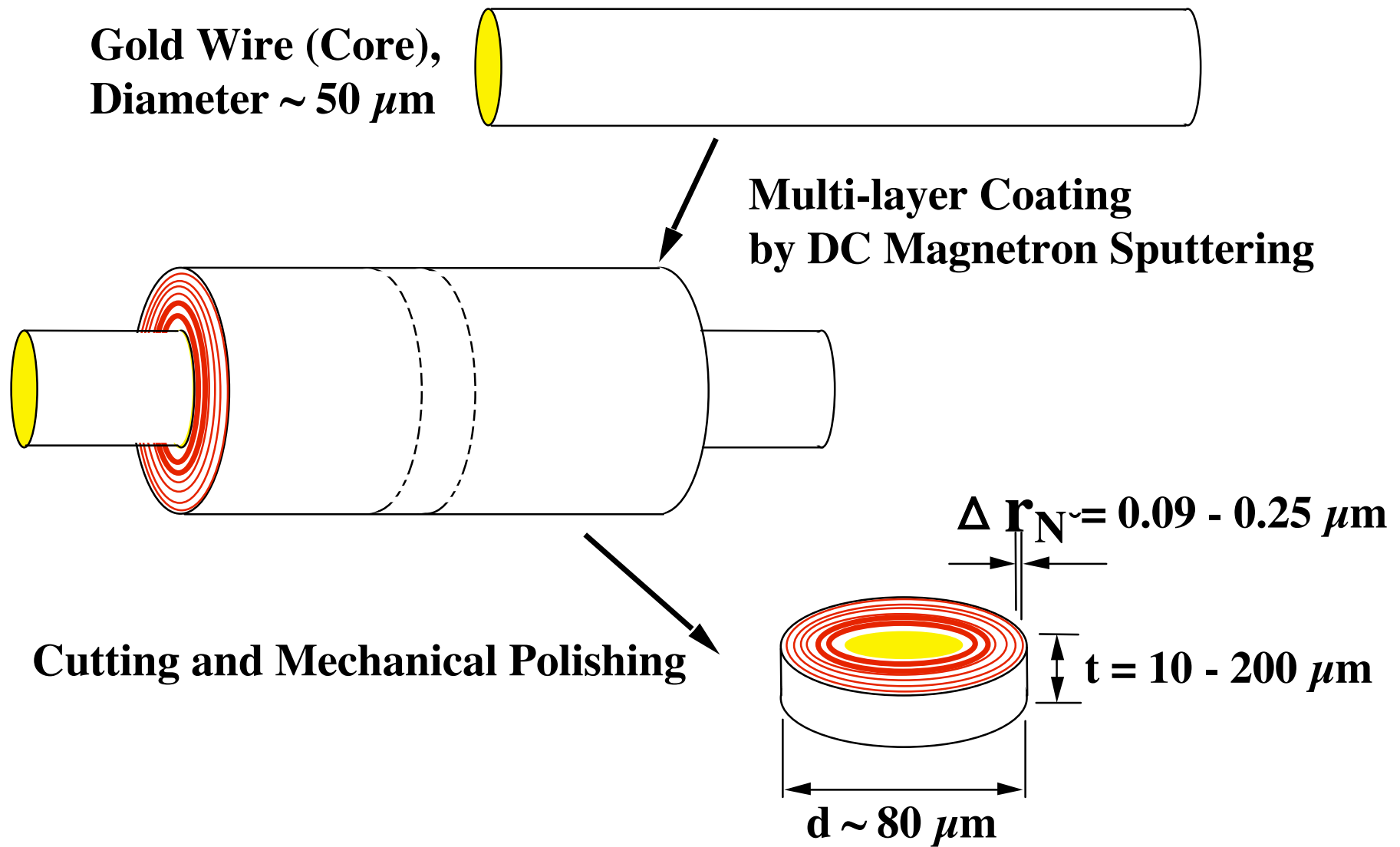
Total Reflection Mirror for High Energy X-ray Microbeam



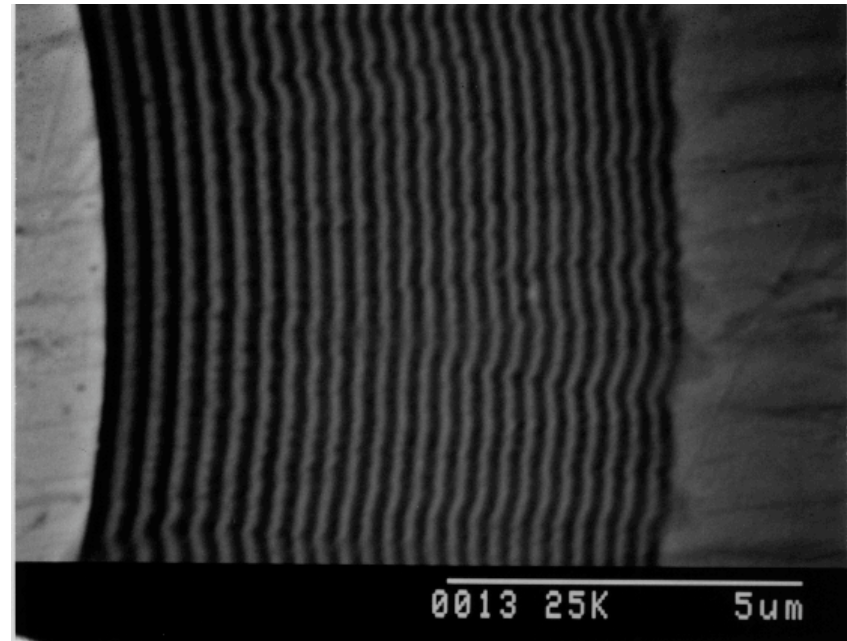
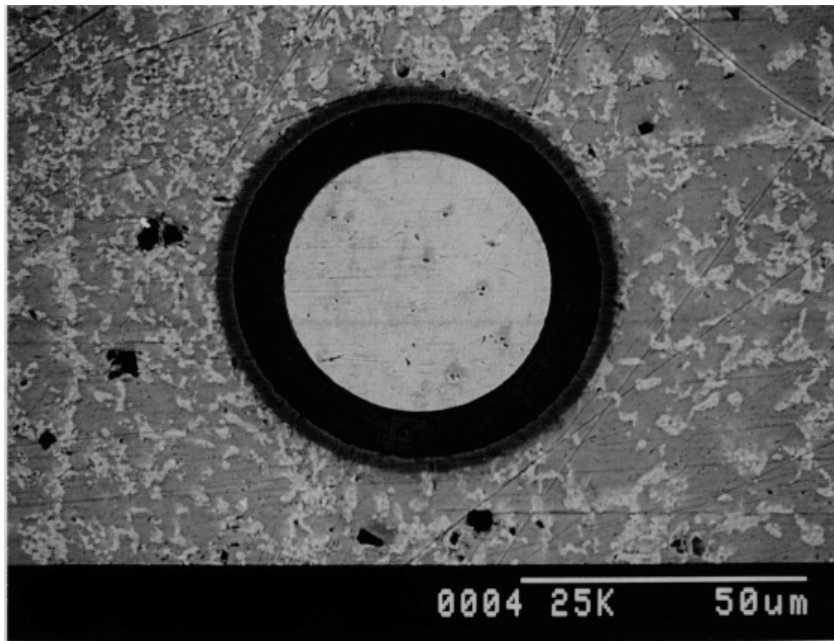
Total Reflection Mirror for High Energy X-ray Microbeam



Schematic View of Sputtered-sliced Zone Plate

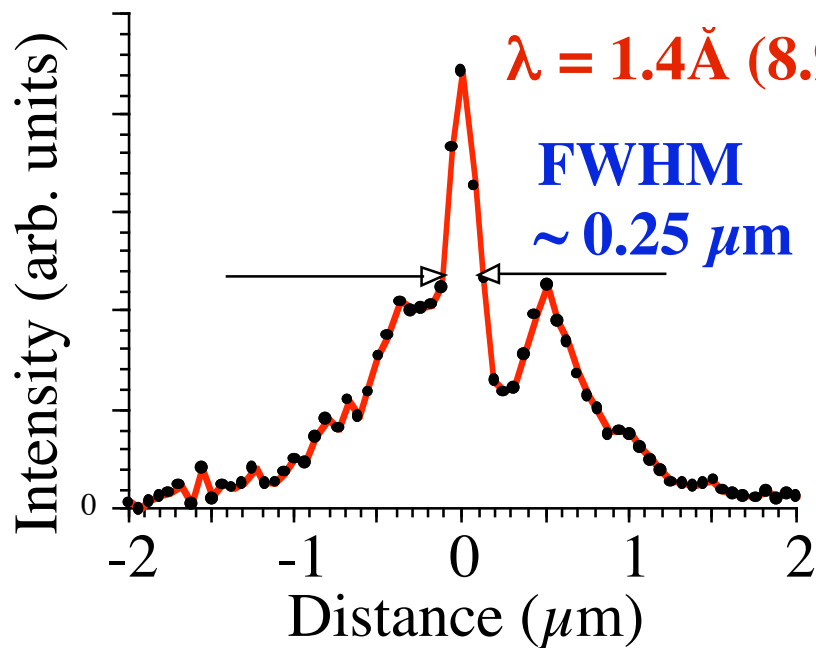


Fabrication Process of Sputtered-sliced Fresnel Zone Plates

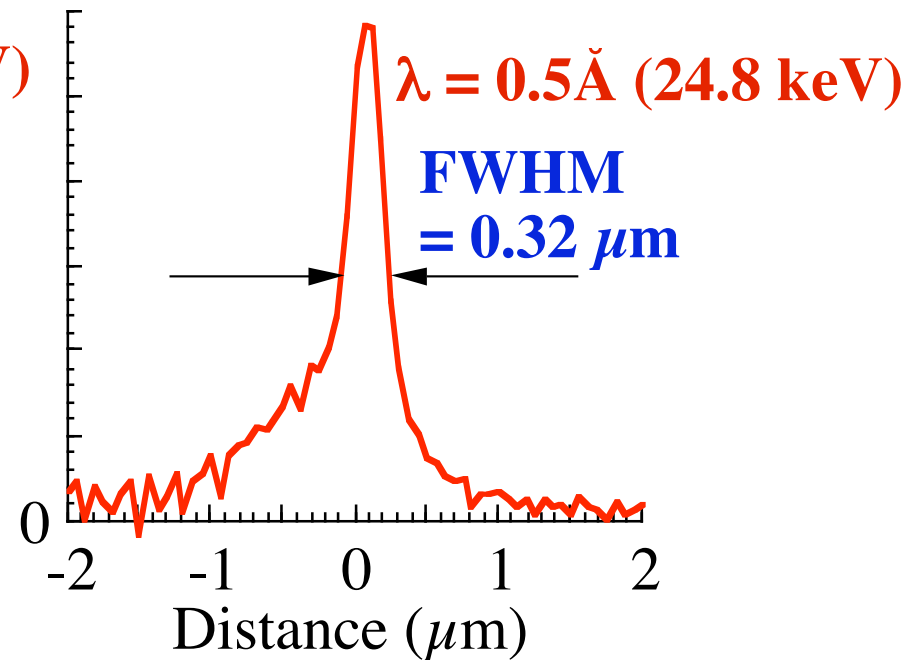


SEM Image of Sputtered-sliced Fresnel Zone Plate

**Au Core (50 μm in diameter), Cu/Al 50 Layers,
Outermost zone width of 0.15 μm .**

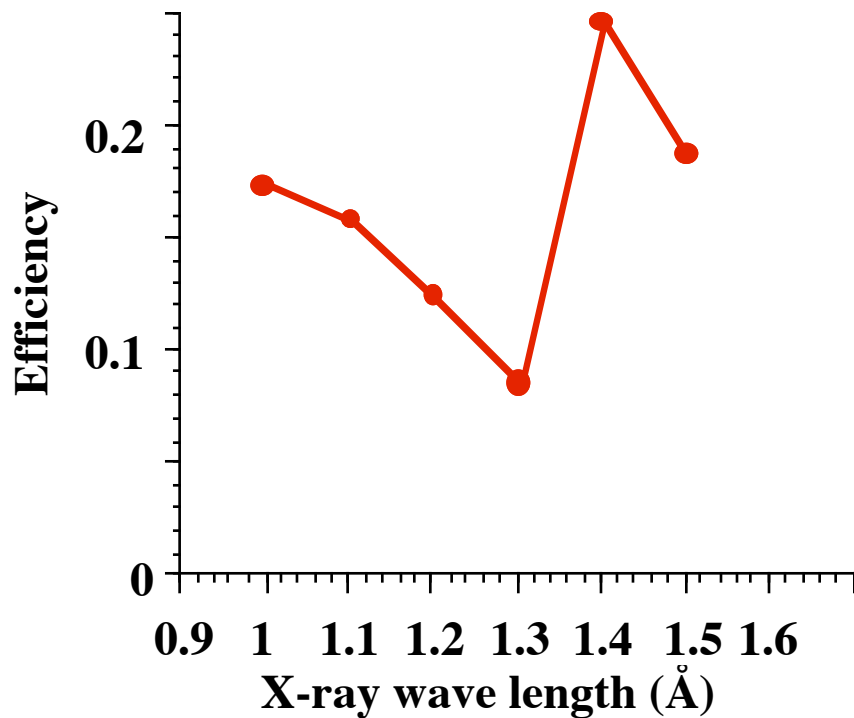


X-ray wavelength: 1.4 Å (8.9 keV),
 $f \sim 158 \text{ mm}$,
 Cu/Al sputtered-sliced FZP (50 layers),
 Core (beam stop): Au 50 μm in diameter,
 Outermost zone width: 0.25 μm,
 Thickness: $\sim 20 \mu\text{m}$.
Diffraction efficiency: 25% @ 1.4 Å

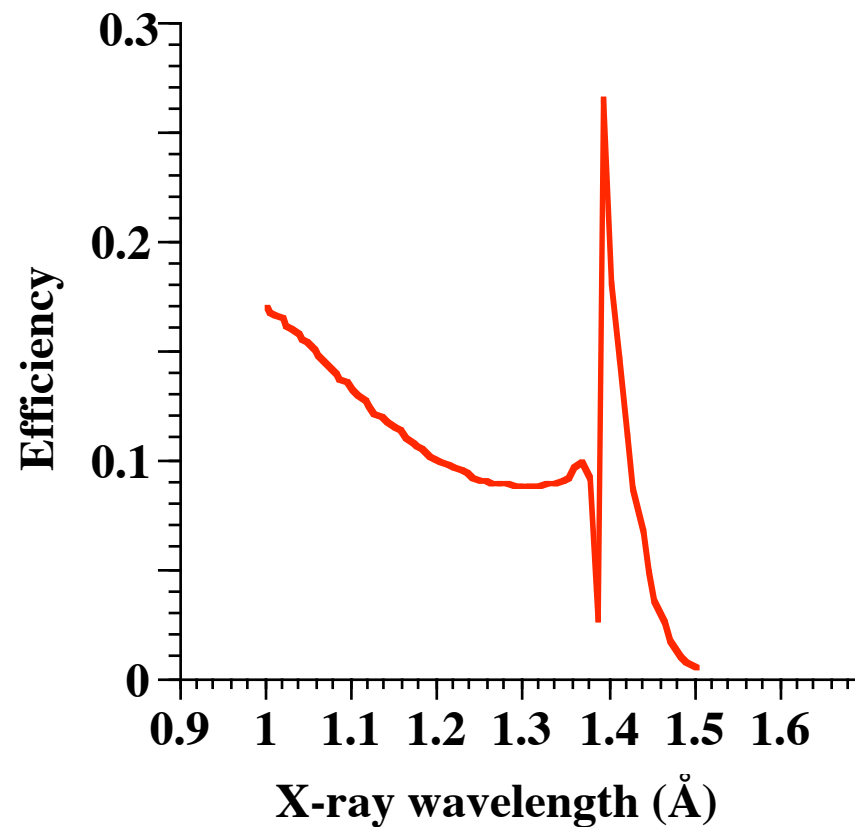


X-ray wavelength: 0.5 Å (24.8 keV),
 $f \sim 220 \text{ mm}$,
 Cu/Al sputtered-sliced FZP (70 layers),
 Core (beam stop): Au 100 μm in diameter,
 Outermost zone width: 0.09 μm,
 Thickness: $\sim 60 \mu\text{m}$.
 Sagittal Focus (1/4 of annular aperture)

Focused Beam Profile Measured by Edge-scan @BL20XU

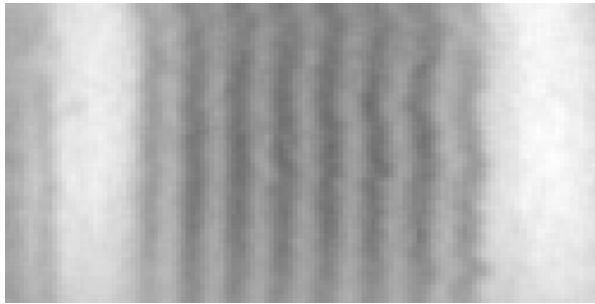


**Diffraction efficiency of
Fresnel zone plate (first order)**
Cu/Al Sputtered-Sliced FZP,
Thickness: $\sim 20 \mu\text{m}$.
Core: Gold, $50 \mu\text{m}$ in diameter,
50 layers.

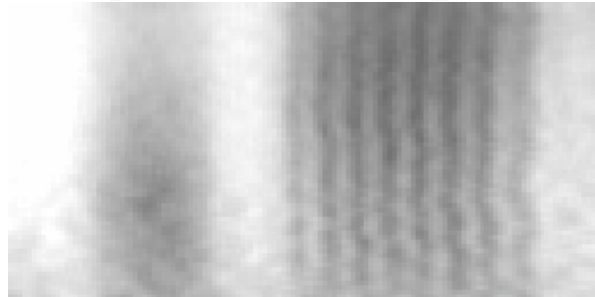


**Calculated diffraction efficiency
of Fresnel zone plate**
Cu/Al multilayer
Thickness: $20 \mu\text{m}$

5 μm



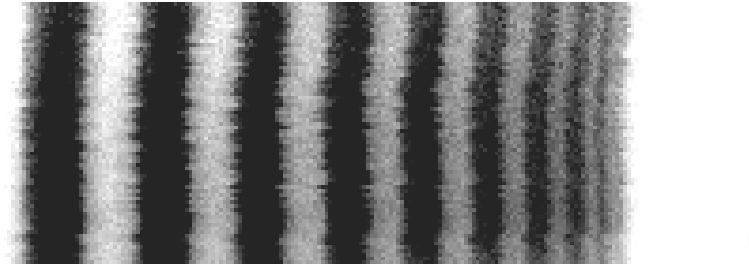
0.3 μm line & space



0.2 μm line & space

X-ray wavelength: 1.4 \AA ,
128 x 64 pixels,
0.0625 $\mu\text{m}/\text{pixel}$,
Dwell time: 0.4 s/pixel.

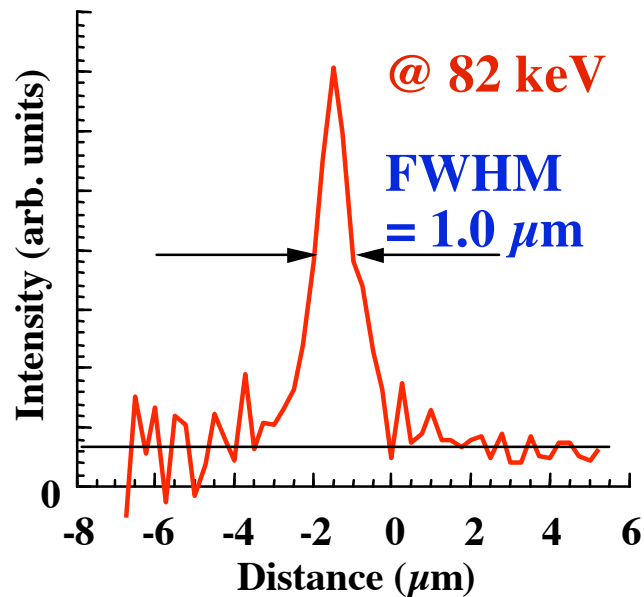
5 μm



0.1 μm line & space

X-ray wavelength: 1.0 \AA ,
256 x 70 pixel,
0.0625 $\mu\text{m}/\text{pixels}$,
Dwell time: 0.4 s/pixel.

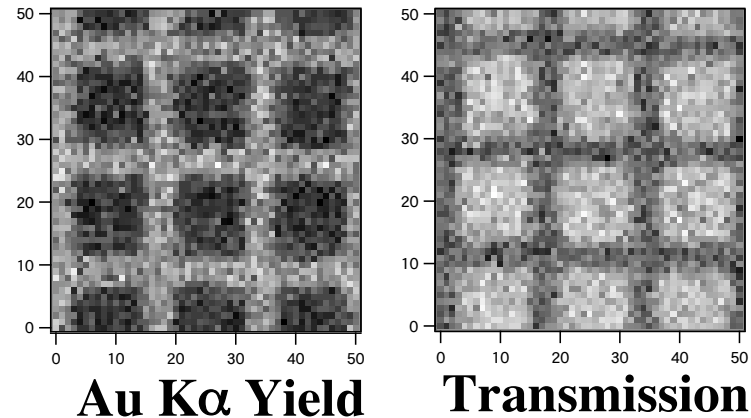
Scanning Microscopic Image of Resolution Test Pattern



**Focused Beam Profiles
measured by Knife-edge Scan**

**X-ray Energy: 82 keV (0.151 Å), f ~ 700 mm,
Cu/Al sputtered-sliced FZP (50 layers),
Core (center beam stop): Au 50 μm in diameter,
Outermost zone width: 0.15 μm,
Thickness: ~ 40 μm.**

10 μm

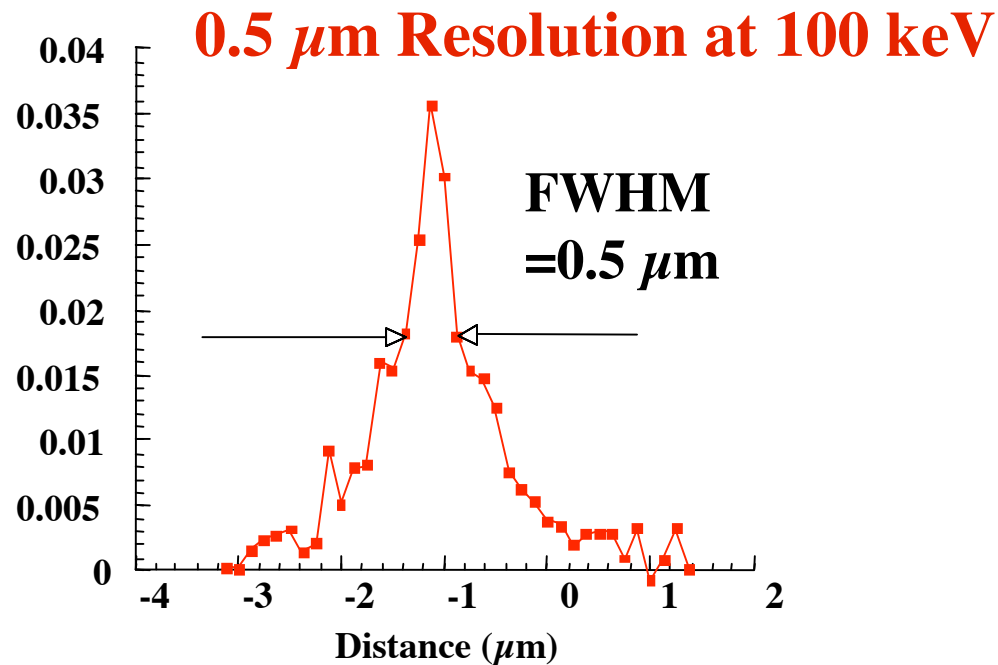


Scanning Microscopic Image

**Sample: gold mesh (1500 lines/inch),
X-ray Energy: 82 keV ,
51 x 51 pixels,
1 μm/pixel,
Dwell time: 2 s/pixel,
CdZnTe-detector for fluorescent X-rays.**

Microfocusing/scanning microscopy with SS-FZP at 82 keV

Diffraction efficiency: 15%



Microbeam with Sputtered-sliced FZP

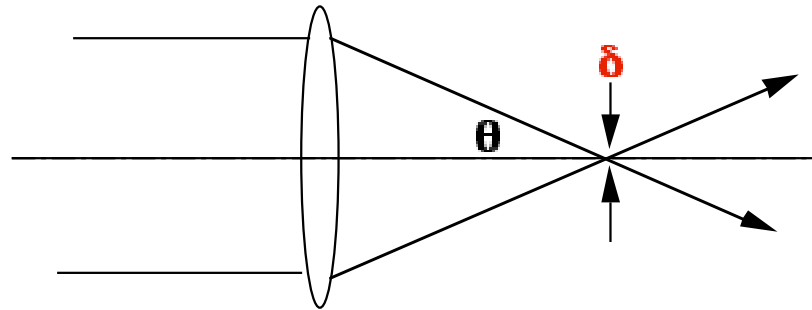
Focused Beam Profile Measured by Edge-scan @BL20XU

**X-ray wavelength: 0.124 \AA (100 keV), $f \sim 900$ mm,
Cu/Al sputtered-sliced FZP (70 layers),
Core (beam stop): Au 50 μm in diameter,
Outermost zone width: 0.16 μm ,
Thickness: ~ 180 μm .**

Resolution Limit of X-ray Microscope

General Theory

Rayleigh's criterion (Diffraction Limit in Classical Optics)



$$\delta \sim C \lambda / NA,$$

$$NA = n \sin\theta,$$

n: Index of Refraction,

C ~ 1 (constant, dependent on optics configuration).

Typically, **C ~ 0.61** (Circular aperture), **n ~ 1** (in air), **sinθ ~ 0.5** (F ~1) for visible light,

$\delta \sim \lambda$: Resolution limit of Optical Microscope.

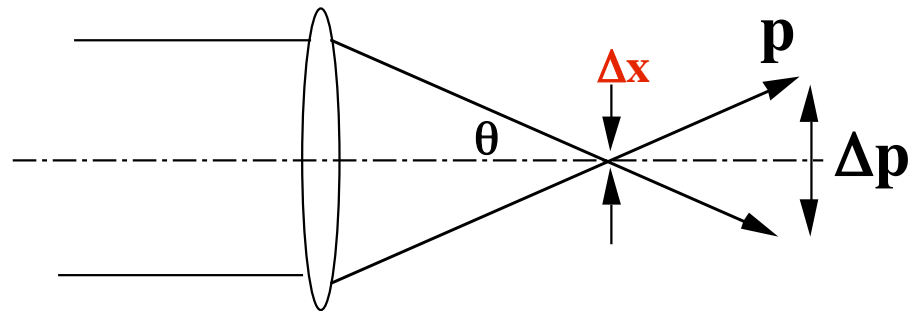
General Theory

Uncertainty Principle (Quantum Mechanics)

$$\Delta p \Delta x \geq h$$

Momentum of Photon: h/λ ,

Momentum Spread by Focusing Optics: $\Delta p = 2|p| \sin\theta$.



$$\Delta x \geq \lambda / (2 \sin \theta)$$

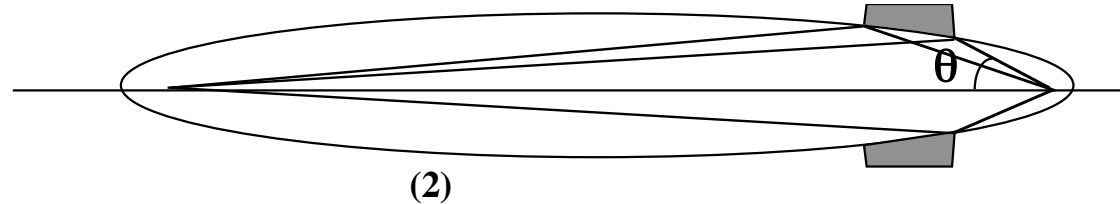
How about hard X-ray microscopy?

Total Reflection Mirror Optics

Elliptical Mirror Optics:

$$NA_{\max} = 2\theta c$$

$$\Delta x \geq 0.61 \times \lambda / (2\theta c).$$



Using free-electron approximation,

$$\theta c (\text{rad}) \sim 1.6 \times 10^{-2} \lambda \rho^{1/2}, \quad (3)$$

ρ (g/cm³): Density of Mirror Material,
 λ (nm): X-ray wavelength.

The theoretical limit of spatial resolution, Δx , is determined only by the density of the reflector surface material, $\sqrt{\rho}$.

The limit of spatial resolution is approximately 10 nm.

For combined mirror optics

(Wolter-type-mirror or tandem-toroidal-mirror optics),

$$\Delta x = 0.61 \times \lambda / (4\theta c). \quad (4)$$

1. P. Kirkpatrick and A. V. Baez: J. Opt. Soc. Am. **38** (1948) 766.
2. Von H. Wolter: Ann. Physik **10** (1952) 94.
3. Y. Sakayanagi: Optica Acta **23** (1976) 217.

X-ray Wave Guide

Planar wave guide, 1-D solution,

Boundary Condition: $2d \sin\theta = m\lambda$, $m = 1, 2, 3, \dots$

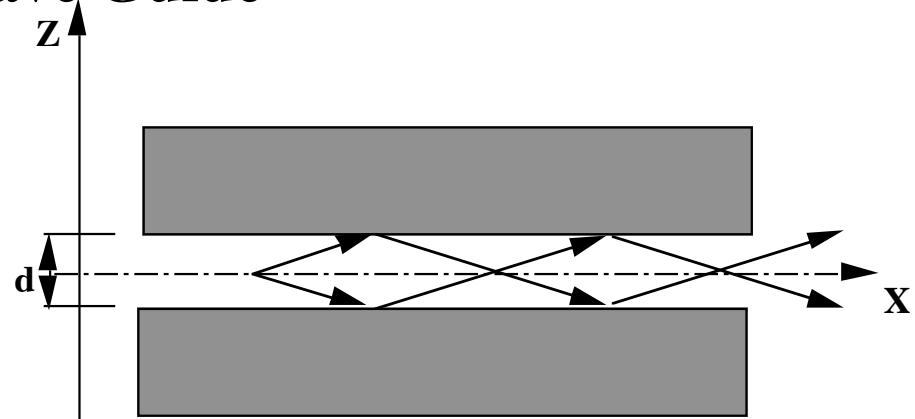
Lowest mode of propagating wave: $m = 1$,

$d \sin\theta = \lambda/2$,

d : gap of waveguide (inner diameter of waveguide)

θ : glancing angle to wall

$\theta \leq \theta_c$ (θ_c : critical angle for total reflection)



When the phase jump at total reflection = π (case of $\theta \ll \theta_c$), minimum size of wave guide, do,

$d_0 = \lambda / (2\theta) \leq \lambda / (2\theta_c)$.

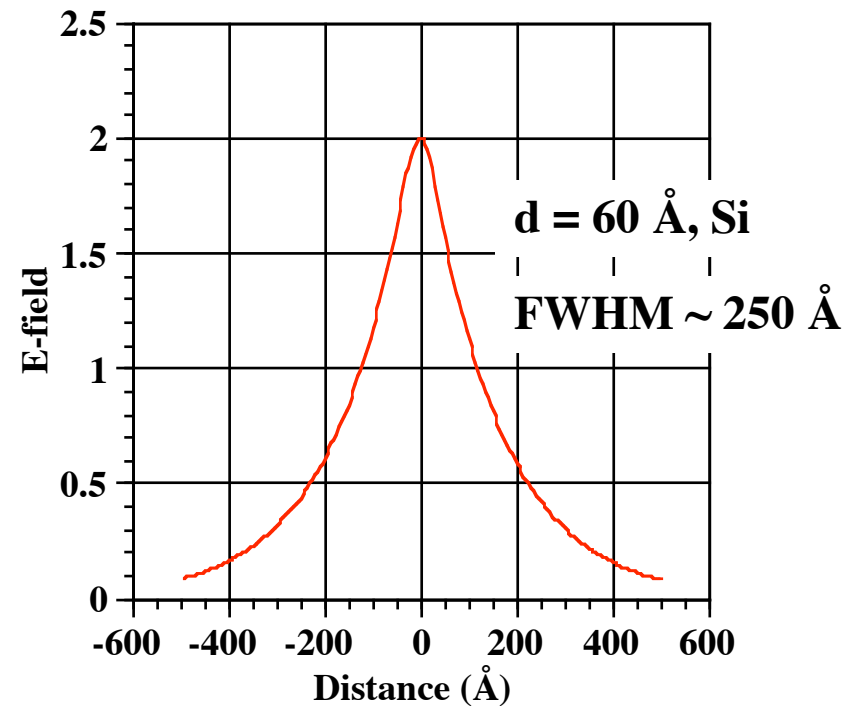
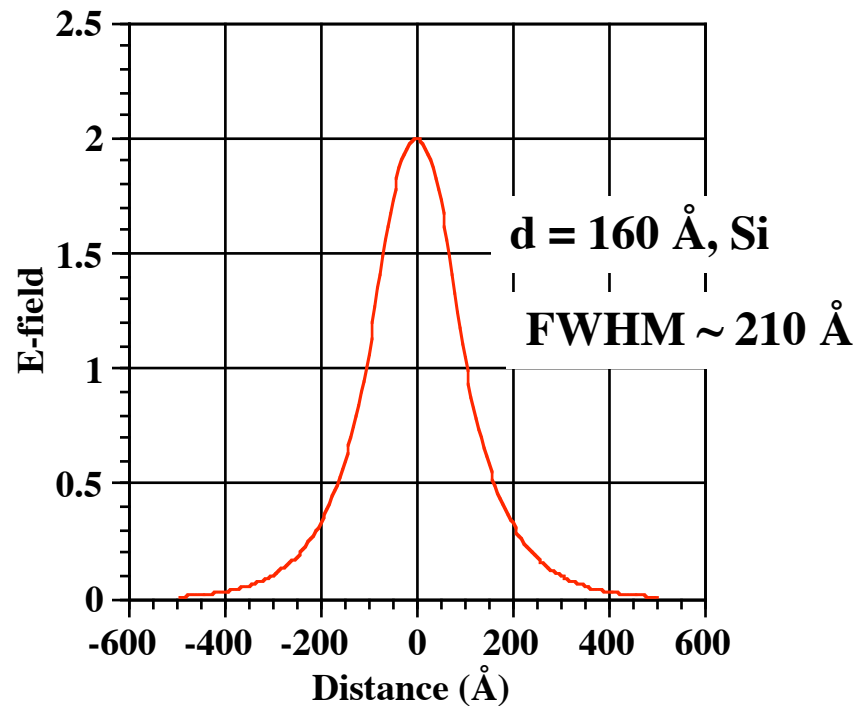
However, the penetration depth of evanescent wave, t ,

$t \sim \lambda / (\theta_c^2 - \theta^2)^{1/2}$. So, effective broadening of wavepacket is

$\Delta x \sim t \sim \lambda / \theta_c$: the same as that of total reflection mirror optics.

or $\Delta x \leq \lambda / (2\theta_c)$, simply from uncertainty principle.

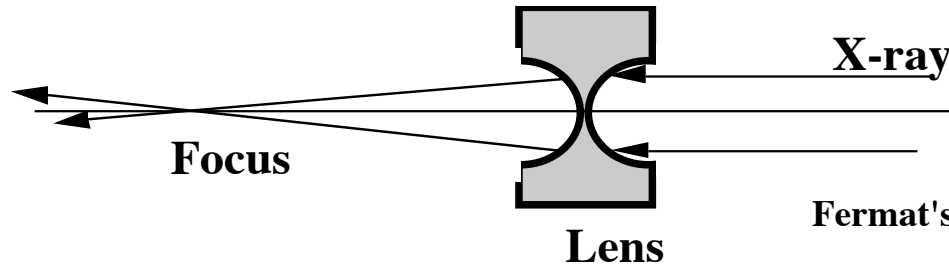
Numerical Calculation of Wave-packet in Wave-guide



Electric Field Intensity in the Wave-guide

Si ($\rho = 2.34$), $\lambda = 1.28 \text{ \AA}$,
 $d = 60 \text{ \AA}$, and $d = 160 \text{ \AA}$.

Refractive Lens Optics



Fermat's principle of least time.

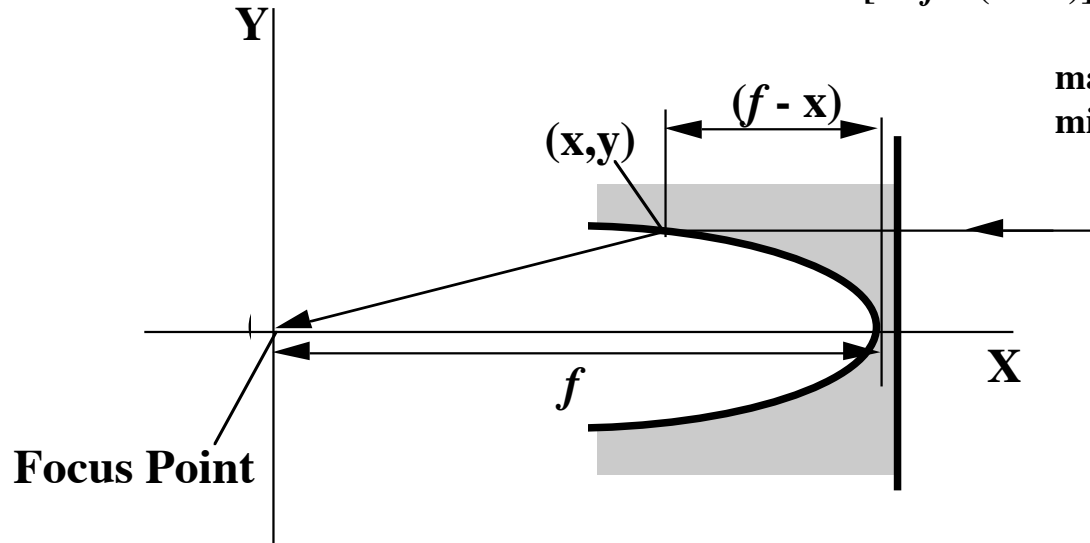
$$(x^2 + y^2)^{1/2} + n(f - x) = f, \quad (6)$$

Spherical Lens: Spherical Aberration

n : index for refraction

$$[x - f n / (1 + n)]^2 / [f^2 / (1 + n)^2] + y^2 / [f^2 (1 - n) / (1 + n)] = 1, \quad (7)$$

major axis of ellipse: $f / (1 + n)$,
 minor axis of ellipse: $f [(1 - n) / (1 + n)]^{1/2}$.



Refractive Lens: Exact Solution

Diffraction-limited Resolution of Single Refractive Lens

Considering phase shift of $2m\pi$, ($m\lambda$, $m = 1, 2, 3, \dots$)

$$(x^2 + y^2)^{1/2} + n(f - x) = f + m\lambda, \quad (8)$$

$$[x - \{f + m\lambda/(1 - n)\}n/(1 + n)]^2 / [\{f + m\lambda/(1 - n)\}^2/(1 + n)^2] + y^2 / [\{f + m\lambda/(1 - n)\}^2(1 - n)/(1 + n)] = 1. \quad (9)$$

Numerical Aperture of the Lens (NA):

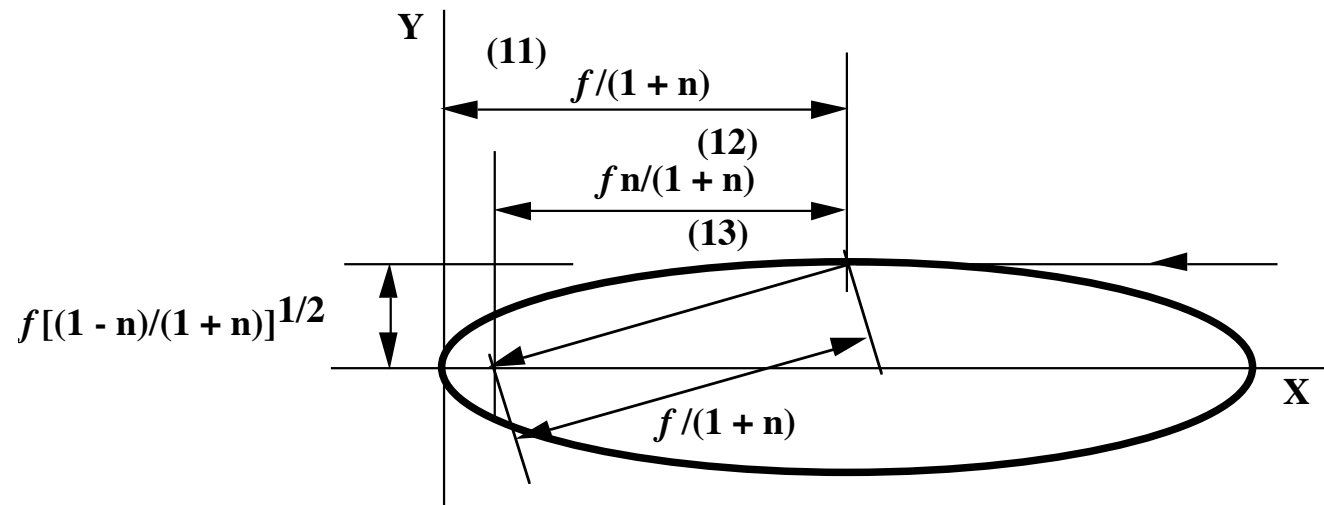
$$NA_{\max} = [(1 - n)/(1 + n)]^{1/2} / [1/(1 + n)] = (1 - n^2)^{1/2}. \quad (10)$$

Using $n = 1 - \delta$, and $\delta \ll 1$,

$$NA_{\max} \sim (2\delta)^{1/2}.$$

$$\theta_c \sim (2\delta)^{1/2}.$$

$$\Delta = 0.61 \lambda / \theta_c.$$



Expansion to Fresnel Lens and Fresnel Zone Plate

The nesting configuration, the series of ellipsoids $m = 0, 1, 2, 3, \dots, M$
 Fresnel zone plate at $f = x$:

$$(f^2 + y^2)^{1/2} = f + m\lambda. \quad (14)$$

$$y = [2m\lambda f + (m\lambda)^2]^{1/2}. \quad (15)$$

When $f \gg m\lambda$, by neglecting the higher-order terms,

$$y = (2m\lambda f)^{1/2}. \quad \text{[Zone Plate Equation]} \quad (16)$$

The major axis of the ellipse: $\{f + m\lambda/(1 - n)\}/(1 + n)$,

The major axis of the ellipsoid for the outermost zone should be smaller than the focal length f .

$$\{f + m\lambda/(1 - n)\}/(1 + n) \leq f. \quad (17)$$

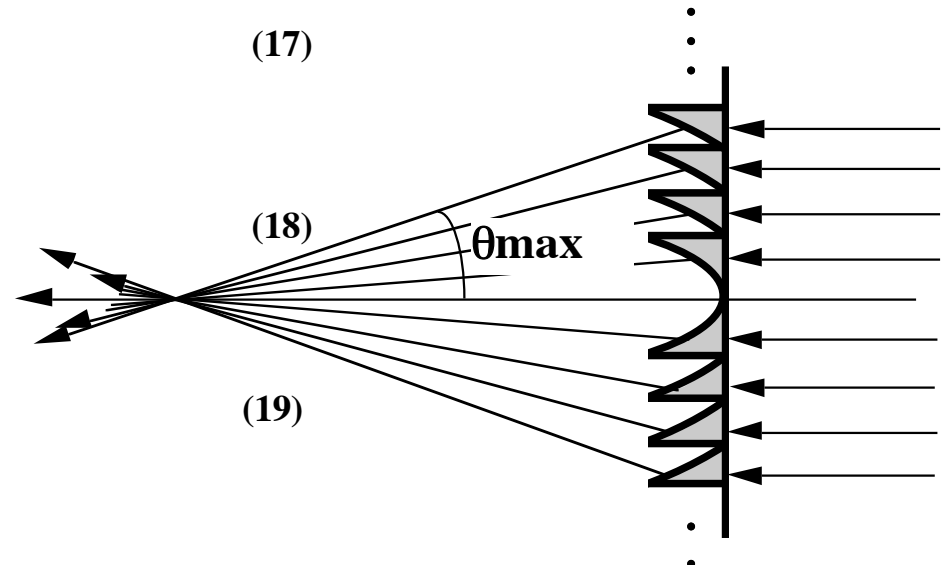
The possible outermost zone for the planar zone plate:

$$\{f + M\lambda/(1 - n)\}/(1 + n) = f. \quad (18)$$

M : the maximum m .

$$M\lambda/(1 - n) = n f. \quad (19)$$

$$\begin{aligned} \theta_{\max} &= (2M\lambda f)^{1/2}/f \\ &= [2f^2 n(1 - n)]^{1/2}/f \\ &\sim (2\delta)^{1/2}, \end{aligned}$$



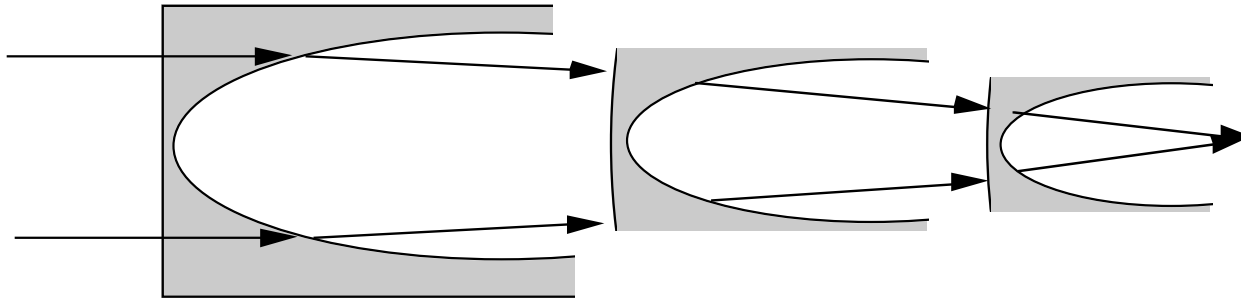
cf. Y. Suzuki, Jpn. J. Appl. Phys. 43 (2004) 7311-7314.

Theoretical Resolution Limit of
Total Reflection Mirror Optics,
Wave-Guide,
Refractive Lens,
Fresnel Zone Plate

$\sqrt{2\delta} \sim 10 \text{ nm}$ in hard X-ray Region

Possible Ways to Nanometer Resolution

1. Combined Refractive Lens,



Diffraction Limited Resolution

$$\sim 0.61 \times \lambda / (N\theta c)$$

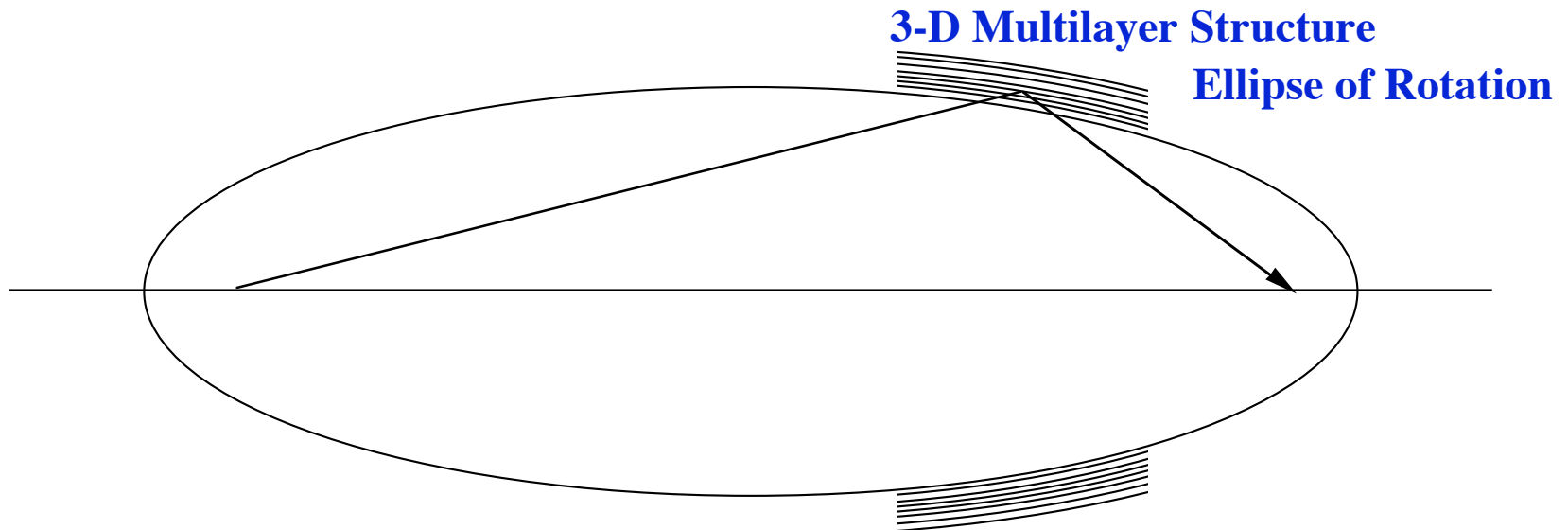
N: Number of Combined Lens

**Spherical lens might be feasible,
because smaller lens has smaller aberration.**

cf. C. Schroer and B. Lengeler, Phys. Rev. Let. 94 (2005) 054802

Possible Ways to Nanometer Resolution

2. Three Dimensional Zone Plate (Volume Zone Plate or Laue Lens)



**Nested Multilayer Structure of Ellipse of Rotation
with Optical Path Difference of $m\lambda$.**

Ideal only on Focusing Property.

H. C. Kang et al., Phys. Rev. Lett. 96 (2006) 127401,
C. Schroer, Phys. Rev. B 74 (2006) 033405.

Next limit: atom size with $\lambda/4$ rule (Rayleigh limit)

~ 1 nm.

ERL & FEL

Complementary?

Which is better for users?

Time structure & spectral structure.

Nano-optics:

Applications? Users? Practical?

R&D of optics: 10 nm resolution -> 1 nm resolution..?

Problems in the 3rd-generation SR source, Spring-8

Most of users and experiments are 2nd generation!

Important Problems in Coherent X-ray Sources

1. Vibration: optics, light source, ground&building
2. Temperature stability: $\sim 0.01^\circ$ environment.
3. Radiation damages, cooling.
Same as Spring-8?
4. Speckles:
No optics without any speckles.
5. No optics is best optics?